



The UNIVERSITY of WESTERN ONTARIO

BOARD OF GOVERNORS MEETING

1:00 p.m., Tuesday, September 25, 2007
London Club

1. Adoption of Agenda - Open Session
2. Report of the President (Paul Davenport)
 - Emergency Preparedness (Elgin Austin/Steve Clemens)
3. Unanimous Consent Agenda - **Appendix I**
 - Includes Minutes of the Meeting of June 26, 2007
4. Business Arising from the Minutes
5. Reports of Committees:
 - Property & Finance Committee - **Appendix II** (Frank Angeletti)
 - Senior Operations Committee - **Appendix III** (Michele Noble)
 - Audit Committee - **Appendix IV** (Keith Zerebecki)
 - McIntosh Gallery Committee - **Appendix V**
 - Report of the Joint Pension Boards - **Appendix VI**
6. Items Referred by Senate - **Appendix VII** (Paul Davenport)
7. Questions from Members
8. Other Business
9. Adjournment to Confidential Session

The UNIVERSITY of WESTERN ONTARIO



MINUTES OF THE MEETING OF THE BOARD OF GOVERNORS

September 25, 2007

The meeting was held at 1:00 p.m. in the East Room at the London Club, London, Ontario.

PRESENT: Ms. M. Noble, Chair
Ms. I. Birrell, Secretary

Mr. F. Angeletti
Mr. S. Coxford
Dr. P. Davenport
Mr. T. Garrard
Ms. L. Gribbon
Ms. S. Grindrod
Dr. T. Hewitt
Mr. E. Holder
Mr. H. Kelly
Ms. G. Kulczycki

Dr. F. Longstaffe
Dr. L. Miller
Ms. J. Moss
Mr. M. Reid
Dr. S. Singh
Ms. J. Vanderheyden
Dr. J. White
Mr. R. Yamada
Mr. K. Zerebecki

By Invitation: E. Austin, M. Belanger, S. Farnell, L. Logan, S. Jarrett

BG.07-88 **REPORT OF THE PRESIDENT**

The President reported on O-Week 2007, recent research accomplishments, Western Serves and activities of the President since the June 2007 Board meeting. Overhead slides used to highlight his presentation are attached as [Appendix 1](#).

BG.07-89 **Emergency Preparedness**

Mr. E. Austin, Director, Campus Community Police Service, gave a presentation on Western's Emergency Preparedness including details about Western's Emergency Plan Exercise conducted on August 15, 2007 and recommendations resulting from the exercise. Details are contained in the exhibit distributed with the agenda.

BG.07-90 **UNANIMOUS CONSENT AGENDA** [Appendix I]

It was moved by K. Zerebecki, seconded by L. Gribbon,

That the 21 items listed in Appendix 1 be approved and/or received for information by the Board of Governors by unanimous consent.

CARRIED

BG.07-91 **Minutes of the Previous Meeting**

The minutes of the open session of the meeting of June 26, 2007 were approved as circulated.

REPORT OF THE PROPERTY & FINANCE COMMITTEE [Appendix II]

BG.07-92 **MAPP 1.42 Video Monitoring Policy**

It was moved by F. Angeletti, seconded by L. Gribbon,

That MAPP 1.42, Video Monitoring Policy, detailed in Appendix II, Annex 1, be approved.

CARRIED

BG.07-93 **MAPP 2.22: Funding of Academic Chairs and Professorships and MAPP 2.26 Funding of Designated Faculty Fellowships - Amendments to Required Funding Levels**

It was moved by K. Zerebecki, seconded by L. Gribbon,

That the increases in the required levels of support to establish a Chair, Professorship or Faculty Fellowship on an expendable or endowed basis be approved as follows:

Position	Current	Proposed
Chair	\$112,500 annually \$2.5 million endowed	\$135,000 annually \$3 million endowed
Professorship	\$56,250 to \$112,500 annually \$1.25 million to \$2.5 million endowed	\$67,500 to \$135,000 annually \$1.5 million to \$3 million endowed
Faculty Fellowship	\$11,250 to \$56,250 annually \$250,000 to \$1.25 million endowed	\$11,250 to \$67,500 annually \$250,000 to \$1.5 million endowed

That the increases to required funding levels be effective immediately upon approval, with the exception that all new Chairs, Professorships and Faculty Fellowships that are part of the established fundraising campaign of the Richard Ivey School of Business be grandparented under the current required funding levels.

CARRIED by Unanimous Consent

BG.07-94 **Information Items Reported by the Property & Finance Committee**

The Report of the Property & Finance Committee contained the following items that were received for information by unanimous consent:

- Research Purchases Greater than \$1 Million
- T.R. Meighen Family Foundation Community Nursing Professorship
- Name Change - Nabisco Professorship in Marketing
- Membership Appointments for Investment Committee
- Report of the Investment Committee

- Quarterly Financial Report (Operating Budget)
- Changes to Ancillary Fees
- Scholarships/Awards/Prizes

REPORT OF THE SENIOR OPERATIONS COMMITTEE [Appendix III]

BG.07-95 **Recommendation to Establish a Presidential Selection Committee**

It was moved by M. Noble, seconded by F. Angeletti,

That a Presidential Selection Committee be struck at the November 22, 2007 meeting of the Board and that the Senate be invited to elect its representatives to the Presidential Selection Committee by November 22, 2007.

CARRIED

BG.07-96 **Information Items Reported by the Senior Operations Committee**

The Report of the Senior Operations Committee, detailed in Appendix III, contained the following items that were received for information by unanimous consent:

- Appointments - McIntosh Gallery Committee and Thompson Recreation and Athletic Centre Advisory Committee
- Board Election Schedule - Revision
- Annual Report of the Senior Operations Committee

REPORT OF THE AUDIT COMMITTEE [Appendix IV]

BG.07-97 **Retirement Income Fund Financial Statement**

It was moved by K. Zerebecki, seconded by F. Angeletti,

That the Board of Governors approve the audited financial statements for the Retirement Income Funds for the fiscal year ended December 31, 2006 (Appendix IV, Annex 1, Tab 16), with the addition of Note 10, Subsequent Event:

At July 31, 2007, the Fund had investments in non-bank Asset Backed Commercial Paper (ABCP) with a carrying value of approximately \$4.4 million. Subsequent to this date, market conditions called into question the liquidity, and therefore the valuation of such investments. These investments have the highest possible credit rating from Dominion Bond Rating Services based on the assessed quality of the underlying assets. Due to the market conditions which may continue to exist, there may be uncertainty in the future valuation of these investments. The likelihood of loss, if any, is not determinable at this time.

The question was called and CARRIED.

BG.07-98 **Retirement Income Funds - Change in Redemption Process**

It was moved by K. Zerebecki, seconded by M. Reid,

That redemption restrictions, consistent with the restrictions imposed by the manager of the investment funds for the Retirement Income Funds, be applied to individual RIF Members effective September 30, 2007 (see Appendix IV, Annex 2).

Asked what options are available to plan holders affected by the change in redemption process. Mr. Belanger advised that the 140 members affected by the change have been contacted with respect to their options. Members have the opportunity to change the investment direction of their contributions to a variety of funds with different investment mandates and may make these elections only once per month effective at the end of the month. Those choosing to redeem or transfer from affected funds will receive a full redemption of assets in a fund that are not affected by the liquidity crisis. For example, if they redeem \$1,000 of Diversified Equity Fund units, and 3.8% of the Fund is illiquid, they will be able to redeem and reallocate \$962 (96.2%) of the total amount.

In response to a question about Western's legal responsibilities with respect to investments by plan holders, Ms. Kulczycki noted that Western's pension plan is a defined contribution plan. This means the University's contribution is known and that it is up to each individual to oversee and make decisions about his or her investment. Western supports employees and retirement plan members in furthering their knowledge and understanding of not only their Western Retirement Plan, but also broader financial planning at all career stages by offering group education opportunities.

Asked about the protection of the Board from an insurance standpoint, Ms. Kulczycki took the question under advisement and noted that she would follow up with Western's insurance agency.

The question was called and CARRIED.

BG.07-99 **Audited Financial Statements - The University of Western Ontario**

It was moved by K. Zerebecki, seconded by J. Moss,

That the Board of Governors approve the combined audited financial statements for the University for the fiscal year ended April 30, 2007 (Appendix IV, Annex 1, Tab 1).

CARRIED

BG.07-100 **MAPP 1.43: Safe Disclosure Policy**

It was moved by K. Zerebecki, seconded by S. Singh,

That the Board of Governors approve Policy 1.43 - Safe Disclosure Policy (Appendix IV, Annex 3).

Mr. Angeletti noted that the term "good faith" is used in the Safe Disclosure Policy and suggested that guidelines be developed to define what is meant by good faith.

The question was called and CARRIED.

BG.07-101 **Related Companies and Other Organizations**

The financial statements for related companies and other organizations of The University of Western Ontario for the fiscal year ended April 30, 2007 were received for information. A list setting out the organizations included in the Combined Financial Statements is attached as Appendix IV, Annex 4.

BG.07-102 **REPORT OF THE MCINTOSH GALLERY COMMITTEE** [Appendix V]

The Report of the McIntosh Gallery Committee, detailed in Appendix V, contained the following items that were received for information by unanimous consent:

- Size and Value of the University Art Collection
- McIntosh Estate
- Budget for 2007-08
- Report of the Director

REPORT OF THE JOINT PENSION BOARDS [Appendix VI]

BG.07-103 **Western Retirement Plans Report**

The Board received for information, detailed in Appendix VI, the Western Retirement Plans Report which covers calendar year January 1 to December 31, 2006. The report provides an overview of the Academic and Administrative Pension Plans, reviews the changes made to the plans in 2006, describes the projects under way at the end of the year and analyses the impact of significant market events on the Western Retirement Plans.

ITEMS REFERRED BY SENATE [Appendix VII]

BG.07-104 **Appointment Procedures for Senior Academic and Administrative Officers**

It was moved by S. Singh, seconded by R. Yamada,

That Section Q of the *Appointment Procedures for Senior Academic and Administrative Officers* read as follows:

Q. VICE-PROVOST (GRADUATE STUDIES)

Composition of Selection Committee

A committee to select a Vice-Provost (Graduate Studies) shall consist of:

- (a) the Provost & Vice-President(Academic), who shall be Chair
- (b) the Vice-President (Research & International Relations)
- (c) 5 persons elected by Senate, one of whom shall be a Dean and one of whom shall be a Graduate Student

Procedure

1. The Chair shall convene the Committee.
2. The Chair shall undertake negotiations with prospective candidates.
3. The Chair shall report to Senate through the President & Vice-Chancellor.

Terms

The term for the Vice-Provost (Graduate Studies) is five years, renewable. In the case of renewal of an appointment where the incumbent takes a Study Leave at the end of the first term, the term of reappointment will be six years.

CARRIED

BG.07-105 **Information Items Reported by Senate**

Appendix VII, Items Referred by Senate, contained the following items that were received for information by unanimous consent:

- Honorary Degree Recipients - Autumn Convocation 2007
- University Council on Animal Care Annual Report
- Academic Administrative Appointments

The meeting adjourned to the confidential session.

M. Noble
Chair

I. Birrell
Secretary

President's Report to the Board of Governors

- Orientation Week 2007
- Recent Research and Teaching Awards

Paul Davenport
September 25, 2007

Orientation Week 2007

- First year entering students close to target:
4380 Constituent University, 1550 Affiliates
- Students from all Provinces in Canada and
over 200 from outside Canada
- Entering class 58% female, 42% male

Orientation Week

- Exceptional engagement by Registrar's
and Residence staff
- Superb leadership by Tom Stevenson
and USC Council, Sophs and volunteers

Orientation Week

- We welcomed new students to an environment
of diversity, tolerance, courtesy and respect
- O-Week was fun, very well-organized, orderly
and safe – an outstanding beginning to the
academic year.

Research Successes

- Ontario Bioindustrial Innovation Centre in
Western's Sarnia Lambton Research Park
- \$10 Million Provincial Investment, with
University and Community Partners
- Places Western in a leadership position in
developing environmentally friendly alternatives
to fossil fuels and commercialization of
Industrial Biotechnology

Research Awards

- Regna Darnell (Anthropology) – Premier's
Discovery Award
- Aaron Fenster (Schulich and Robarts)
Premier's Catalyst Award
- Isaac Luginaah (Geography) World Bank
Award – Populations and Environmental Health
– "Western Heads East"
- Dennis O'Carroll (Engineering) – Four provincial
and corporate awards for nano-bioremediation

Newly Elected Fellows: Royal Society of Canada

- Allan Donner (Epidemiology & Biostatistics)
- Michael Groden (English)
- Peter Norton (Chemistry)

Leadership in Faculty Teaching (LIFT) Awards

- Anton Allahar (Sociology)
- Tom Haffie (Biology)
- Andrew Krahn (Schulich)
- Denis Shackel (Ivey)
- Tom Stavraký (Physiology)
- Mel Usselman (Chemistry)

To: Board of Governors

From: Dr. Paul Davenport

Date: September 19, 2007

Subject: President's Report to the Board: September 2007

The President's Report to the Board for September 2007, will consist of three items:

1. O-Week 2007. This year's Orientation Week was the most successful in Western's recent history. A welcoming environment was created by staff members from the Office of the Registrar, by residence staff, and by the University Students' Council, led by President Tom Stevenson, and the hundreds of Sophs and volunteers recruited by the USC. In our welcome, we stressed the nature of Western's academic community: we celebrate our environment of diversity, tolerance, and respect for those from a variety of cultural backgrounds, beliefs and orientations. O-Week 2007 was fun for students, well-organized, safe and orderly – an outstanding beginning for this new academic year.
2. Recent Research Accomplishments. The summer has seen a number of significant developments and awards in the area of research at Western. We received news of two distinguished Premier's Awards, to Anthropologist Regna Darnell and Biomedical imaging leader Aaron Fenster; three faculty members' election as Fellows of the Royal Society of Canada: Allan Donner (Epidemiology & Biostatistics), Michael Groden (English), and Peter Norton (Chemistry). Isaac Luginaah of Geography was named as World Bank Award winner for his work in population and environmental health, and Denis O'Carroll in Engineering received four separate awards totalling nearly \$750,000 for his current project in nano-bioremediation. Western also received a grant of \$10 million in support of the Bioindustrial Innovation Centre, positioning us as a national leader in industrial biotechnology and the development of biofuels.
3. Activities of the President. Attached is a chronology of the activities of the President since the last meeting of the Board of Governors. Those activities after September 19, are tentative commitments and hence marked with an asterisk.

**Activities of the President
July 1, 2007 to September 30, 2007**

On Campus

- August 22 Tree Planting Event with David Ramsay, Minister of Natural Resources; Deb Matthews, MPP; Mayor Anne Marie DeCicco-Best; and Geoffrey Cape, Executive Director of Evergreen – University College
- 29 Remarks at Hospitality Services Annual Staff Conference – Labatt Building
- 30 Welcome at Fall Perspectives on Teaching Conference
- Interview with Elizabeth Church, *Globe & Mail*: University Report Card
- September 3 UWO Football Game vs Queen's – TD Waterhouse Stadium
- 4 Scotiabank Ivey gift announcement with Sylvia Chrominska, HBA'75, Executive VP, Human Resources & Public, Corporate and Government Affairs – Ivey Atrium
- Welcome at Opening Ceremony for Student Orientation – Talbot Hill
- 5 Interview with Andy Fleming, *Rogers TV*: Construction on Campus
- Ivey Management Services Board Meeting – Dean's Conference Room, Ivey
- 7 Interview with Andy Udman, *News Talk 1290*: What's New at Western
- COU Executive Committee teleconference
- Lunch meeting with Tom Stevenson, new USC President – The Grad Club
- G-13 Working Group teleconference
- 12 Western's Welcome to new staff
- Campus Council Meeting
- 13 Lunch meeting with Dr. Geno Francolini, HBA'54, LLD'84, Xenon Capital Corporation, and Mrs. Joan Francolini, HBA'55 – Michael's Dining Room
- 14 Ken Dryden, MP, York Centre, and Glen Pearson, MP, London North Centre, with Rob Esselment, Director of Government Relations at Western
- Chairs/Directors' Forum
- Welcome at International Students' Reception – Conron Hall
- 15 UWO Football Game vs Ottawa – TD Waterhouse Stadium

- 16 UWO Women's Rugby Game vs Laurier – Rugby Field
Remarks at Memorial Service for Professor Allen Phillbrick – Great Hall
- 18 Property & Finance Committee Meeting – 330/SLB
Senior Operations Committee Meeting – 330/SLB
- 19 Lunch meeting with Mr. Dennis Bennie, Chairman & CEO, XDL Capital Corporation, and Mrs. Laura Bennie, with Ted Garrard – Michael's Dining Room
Abhijit Gopal and Mike Carroll, UWOFA Meeting with Fred Longstaffe
- *21 Senate Meeting – Ivey School, room 40
- *26 Lunch meeting with Tom Stevenson, USC President – The Wave
- *28 Lunch meeting with Dr. Don Rix, BA'53, President, Southland Equities Ltd., and Dean Carol Herbert, with Ted Garrard – Michael's Dining Room
Homecoming: Alumni Awards Reception and Dinner – Great Hall
- *29 UWO Homecoming Football Game vs Windsor

In London

- August 23 2007 Community Picnic with special guest Chris Bentley, MPP London West – Springbank Park
- 29 Jim Downey and Elka Walsh, Higher Education Quality Council of Ontario (HEQCO) – Delta Armouries
LEDC Board of Directors reception and dinner in honour of John Kime, President & CEO, LEDC
- 31 Unveiling of Rowing Honour Plaque and rowing with the men's eight – Doug Wells Boathouse, Fanshawe Lake
- September 12 New Deans and New Faculty Reception – Gibbons Lodge
- 13 Farewell reception for Bill Bryce, Director of Education, Thames Valley District School Board
- 14 United Way Harvest Lunch – John Labatt Centre
Dinner meeting with Donald and Joan Smith, with Franco Berruti, Dean of Engineering – Gibbons Lodge

- 18 Jeff Fielding, Chief administrative Officer, City of London, with Dave Riddell: Floodway
- 19 United Way Retirees Reception – Gibbons Lodge
- *21 Jeff Fielding, Chief Murray Faulkner, Peter Steblin, Rob Panzer and Nancy Branscombe, with Susan Grindrod on by-law enforcement – City Hall
- *22-23 Doors Open London 2007 – Gibbons Lodge
Western Serves Tree Planting – St. Stephen's Park
Reception in honour of Professor John Thorp's 60th Birthday
- *24-25 Board of Governors Retreat, Reception and Dinner – London Club/Gibbons Lodge
- *26 Partners in Research Dinner for Dorinda Greenway – Gibbons Lodge
- *29 Homecoming Parade
- *30 President's Homecoming Garden Party – Gibbons Lodge

Off Campus

July	11	Paris, France	Lynda and Eamon Ryan, VP & General Manager Printing Solutions & Services Division (Europe, Middle East, Africa) with Josette Davenport
August	9-10	Trois Pistoles, Quebec	Trois Pistoles 75 th Anniversary Special Convocation with Honorary Degree Recipients: David Suzuki and Jean-Pierre Proulx
	14	Sarnia	Sarnia Research Park announcement with Caroline Di Cocco, Minister of Culture and Sarnia Mayor Mike Bradley, and local business leaders
	27-28	Ingersoll	Deans' Retreat – Elmhurst Inn
Sept	6	Toronto	2008 NCE Renewal – Sheraton Gateway Hotel
	10-11	Calgary, Alberta	Catherine Williams, BA'71, former CFO of Shell Canada Ltd. (all Calls with Ted Garrard) Larry Shaw, BA'62, President, Birchcliff Energy Ltd. Dr. Anthony Vysniauskas, BESC'74, MESC'76 Mr. James Watkinson, LLB'68, VP General Counsel &

Secretary, c/o Pembina Pipeline Income Fund

Eugene Olynyk, BSc'82, Manager of PRA & West Coastal,
Daylight Energy

Craig Wilkie, Executive Vice President, Corporate
Development, Newalta Corporation

David Holm, LLB'85, Executive VP, Provident Energy
Trust

Mr. Gary F. Martin, BSc'79, President, Martex Ltd

17 Toronto

Dr. Keith Barron, PhD'86, Founder and Director Aurelian
Resources, Founder of U308 Corporation (Uranium) with
David Wardlaw, Dean of Science (all Calls with Ted
Garrard)

Nan Shuttleworth, BA'65, President, Salamander
Foundation

Mr. John Findlay, BA'87, Director, Institutional Sales,
Merrill Lynch Canada Inc.

Dr. Jim Downey and Ken Norrie, Higher Education
Quality Council of Ontario (HEQCO), with Rob Esselment
and Marty England

Mr. Steve Coford, LLB'77, President, Gresham & St
Andrew Inc.

Mr. Thomas Brent, HBA'59, and Mrs. Sandra Brent

*20 Toronto

Paul Genest, new COU President and Jamie Mackay

Farewell Reception for Ian Clark, past COU President,
hosted by Provincial Government Officials

The University of Western Ontario
Board of Governors
September 25, 2007

Emergency Preparedness

CONTENT

1. Western's Emergency Plan Exercise – August 15, 2007
2. Exercise Debriefing Report and Recommendations
3. Incident Command and Unified Command structure
4. Emergency Management Preparedness
5. Safety Initiatives on Campus (50)
6. Safe Campus Community "Violence Continuum Chart"
7. Pandemic Plan Summary

UWO's training exercise hits home with observers

One says it's naive to think such a tragedy couldn't happen in Ontario.

BY VERA OVANIN
Sun Media

Imagine reading this: Four people were killed and a dozen seriously injured at UWO yesterday after a student went on a shooting rampage before taking his own life.

A mockup of that scenario — with the blood and gore of a real one — unfolded yesterday at the University of Western Ontario's Sydenham Hall residence as police officers trained to respond to a shooting such as the one at Virginia Tech in Blacksburg, Va.

The purpose was to test how quickly London and university police — who knew it was a drill but didn't know the specifics — could respond to a shooting rampage.

In April, a gunman opened fire at Virginia Tech, killing 32 and injuring a dozen, in what has been described as the worst campus shooting in U.S. history.

Western's campus police director Elgin Austen said the Virginia Tech shooting prompted concerns about safety in this country. The exercise drew observers from across the province.

J. Leigh Harold of the University of Windsor said it's naive to assume a large-scale shooting can't happen in Ontario.

"Universities can be very stressful environments for students and that could lead to even more elevated stresses that could result in a massacre like this," said Harold, that university's occupational health and safety manager.

Vera Ovanin is a Free Press reporter.
vovanin@lfpress.com



MORRIS LAMONT Sun Media

PRACTISING: A London police officer carries a student, who is pretending to have been shot, from Sydenham Hall residence during a training exercise at the University of Western Ontario yesterday.



Emergency Plan Training Exercise

Exercise – August 15, 2007

Report – September 7, 2007

HARMONY 2 DEBRIEF REPORT

Emergency Plan Exercise Harmony 2 was a live, real time exercise held at Western in conjunction with London Police Tactical Unit. The scenario involved a report of a suspicious person at Sydenham Hall residence and escalated to a man with a gun, and shots fired. Sydenham Hall has 104,000 square feet consisting of over 300 rooms on 4 levels (Ground, 1, 2, 3). The initial response was by Campus Community Police Service (CCPS), with final involvement including: Western's Emergency Response Team (ERT), Emergency Operations Control Group (EOCG), Student Emergency Response Team (SERT), Hazardous Material Response Team (Haz-Mat Team), London Police Service (LPS), London Fire Department (LFD), Thames Emergency Medical Service (TEMS), and County Ambulance Communications Centre (CACC) and unified command between Campus Police and London Police.

The University of Western Ontario has significant working relationships with all outside agencies who may respond to mitigate an emergency at Western. In order to facilitate and strengthen these relationships, Western has put significant emphasis into developing its Emergency Management program.

Western's Emergency teams, utilizing our Disaster Plan as a standard, have received Emergency Management training and certification through Emergency Management Ontario (EMO) that is consistent with municipalities. This training has given emergency responders at Western the knowledge for anticipated norms that are faced during an emergency and supports consistency between Western's plan and existing resources available from the City of London including their Fire, Police and Emergency Medical Service Departments.

Some of the highlights that exist in Western's Emergency Management Program include;

- Use of the Incident Management System, the recognized standard in Ontario.
- Communications staff attached to the ERT and also the EOCG.
- Unified Command between the University and external emergency resources.
- Communications systems which allow for interoperability of two-way radios between the university and external emergency resources.
- Regularly scheduled exercises to test resources and strengthen relationships between Western and external emergency responders.

Video cameras and audio feeds were installed at strategic locations to provide live viewing for 75 observer guests from Universities, Colleges and Municipalities.

In consideration of London Police staffing, assumptions were:

- Campus Police and university staff supported the inner and outer perimeters.

- Elgin Hall, directly across University Drive from Sydenham Hall, had a large bed and breakfast operation underway; otherwise the outer perimeter would have been much wider.
- The triage area was out of eyesight of the incident area but for media observation purposes closer than it would have been should this have been a real incident. In such case the triage area would have been established outside the outer perimeter.

Harmony 2 – Chronology of Events:

10:16	911 call received by Campus Community Police Service (CCPS)
10:18	CCPS Officer #10 Dispatched by CCPS Communications to Sydenham Hall desk clerk
10:19	CCPS Officer #10 on scene
10:21	CCPS Officer #10 CPIC & firearms check requested on subject person
10:24	London Police Service (LPS) confirms valid Fire Arms Certificate (FAC) – CCPS Sgt. #16 advised he would be attending the scene
10:26	CCPS Sgt. #16 requests CCPS Communications to have LPS attend
10:28	CCPS Director and Staff Sgt advised of incident
10:28	CCPS Director asks for a status report and to confirm if LPS was notified
10:29	CCPS Sgt. #16 requests Emergency Response Team (ERT) page, A safe route was designated at this time for all responders
10:33	Telephone call received from resident in lounge on lower level. Gunman reported to be in area, shots fired. Reports of people bleeding
10:33	ERT page sent by CCPS Communications Operator
10:34	LPS on site. CCPS Director closes University Drive from Richmond Street to Sunset and requests Fire Prevention to barrier road and to create an outer perimeter.
10:35	SERT paged to respond by CCPS Comm. Operator. EMS dispatched - entry via safe route.
10:37	Incident command post established at Alumni House by CCPS Director. Triage location established in safe area between Alumni House and Medway Hall Residence
10:38	CCPS Director assumes Incident Command and establishes the following sectors: CCPS Sgt. 16 – Site Leader; CCPS Sgt. 115 – Traffic; CCPS Sgt. 114 – Communications; CCPS Sgt. 24 – Recovery
10:38	Chief, Fire Prevention arrives on scene with emergency response trailer. Director, Operations & Maintenance; Manager, Power Plant; Haz-Mat Team Leader, SERT, arrive on scene Chief, Fire Prevention called for all parking staff on channel 3 to assist on outer perimeter and requested Haz-Mat Team Leader to dispatch Haz-Mat team and assigned Emergency Preparedness Officer to the Primary Emergency Operations Centre (EOC) and to notify Director, ITS and Telecommunications Team Leader to attend for assistance.
10:40	Director of Media Relations on scene
10:40	EMS requested London Fire to attend to assist
10:45	University Drive shut down, outer perimeter established Incident Commander (CCPS Director) reports Emergency Operations Control Group (EOCG) is to be called out and to meet in Primary EOC at 11:00 am. Director, Media Relations begins EOCG notifications. Director, Operations and Maintenance assisted with notifications. Director, Media Relations and Director, Operations and Maintenance leave Incident Command Post to attend EOC. CCPS Sgt. #16 assigned by I/C to brief EOCG at 11:00 am in EOC
10:45	Notification email sent to EOCG members by Communications and Public Affairs
10:46	Incident Commander (CCPS Director) requests CCPS Communications call in all off duty CCPS members.

10:47	Several calls received by CCPS Comm. and London Police reporting multiple injuries.
10:51	EMS advised of multiple injuries
10:56	EMS arrives
11:00	Notifications to EOCG completed
11:04	Unified Command, Western and LPS, request a list of staff and students in Sydenham Hall.
11:05	Director, Media Relations and Director, Operations and Maintenance arrive at EOC
11:05	London Fire arrive at scene
11:07	EOCG meet in the EOC
11:07	911 call received at CCPS Communications from Sydenham Hall Room N112 reporting the gunman is knocking on the door
11:18	Director Media Relations places a call to Communications and Public Affairs to prepare to change homepage to Emergency Information Page
11:20	S. Grindrod requested to attend the scene from EOC to assist with the identification of victims
11:25	EOCG finishes first cycle of meetings
11:25	A Public Address message was made in Sydenham Hall by the Incident Commander to advise occupants of the gunman and actions to take
11:27	Communications and Public Affairs has Emergency Homepage message posted
11:30	Communications and Public Affairs has changed the main switchboard greeting and exchanged it for an Emergency Message
11:30	Up-Date of information by Site Leader Sgt. 16 to EOCG at the EOC
11:30	General Safety messages are being prepared to go out to those students in classrooms, will be asked to remain where they are located at the present time and to stay on general campus.
11:30	Incident Command is faxing a list of identified injured people to the EOCG.
11:31	Call came in to EOCG Chair from Incident Command – Fax coming forth with full list of people sent to University Campus, Victoria Campus Housing and Ancillary Services be contacted to determine which personnel will be dispatched to hospitals. Four Critical Injuries have been identified. Ambulance has transported two critical injuries to each hospital. UH and Vic AVP Housing and Ancillary Services to dispatch housing personnel to go to each hospital and meet families. Humans Resources acquiring contact for EAP.
11:35	EOCG Reconvenes
11:35	AVP Housing and Ancillary Services in process of getting emergency contact info for students in hospitals; Director of Residences will call parents once it has been established which hospitals they are in.
11:40	Western's President is updated by Communications and Public Affairs at the request of EOCG Chair
11:41	Incident Command Update - Thames EMS Supervisor reporting that there are 7 patients at Victoria Hospital and 6 at University Hospital. Gunman not among these victims. All victims came from same residence – mostly from common area in basement.
11:41	EOCG Registrar Representative sending people to tell classrooms to stay where they are because of an incident on campus. EOCG Human Resources Representative is working on setting up a Reception Centre. Will be using Alumni Hall and PPD is setting it up so that we can receive people appropriately. EAP has been notified and will provide counselors to come in and assist. Also, will be contacting senior leadership who is available to assist in reception areas –

	Human Resources staff will meet with them initially to debrief. Communications personnel, parking and traffic staff required. EOCG to determine when is the best time to open the facility and how to communicate this.
11:41	Director of Residences Office is putting together a list of students that could be in Sydenham Hall at this point
11:41	Director of Media Relations updates that nothing has been said to media and media have come on site
11:41	EOCG Planning Representative updates Reservations & contacting Sport Western to make sure youngsters are kept away and a second reception area will be Springett Parking Lot.
11:41	EOCG reports that all Classrooms have been notified.
11:41	Communication has gone out advising that there is a reception centre for off-campus people. This area will be open at 12:15 p.m. Springett Parking Lot will be reception area for Sport Western and students. Reception Centres are for outside people only.
11:41	Questions being addressed on how to handle some of the events to take place later today, off campus events, and for those events take place in the evening. EOCG Chair asked that the Child Care Centre be contacted and advised
11:54	President updated
11:55	Western Incident Commander met with media
11:56	Western Incident Commander updates EOCG
11:58	Information update posted on website
12:03	Communications and Public Affairs to provide update re which Senior Level Personnel need to be notified. A representative is going to the President's office to provide him with update and stay with him so he is up to date. Board of Governors is to be notified. At this time there is no need for the President's presence in the EOC.
12:04	Advisory by Incident Commander on behalf of Unified Command the incident is concluded at Sydenham Hall. In building P/A utilized as well as verbal instructions to Recovery team and Perimeter team. Tactical Officers clean up area and prepare for debrief. Barrier tape is removed and scene is cleared.
12:10	Staff on site at both hospitals. Starting to put support systems in place. Western Health Services has been advised and prepared.
12:18	EOCG advised by EMS that 26 treated, and 14 transported, and 5 deceased.
12:18	Detailed message released by EOCG via Communications and Public Affairs reporting the most up-to-date information
12:30	Confirmation that a perpetrator is disarmed and immobilized
12:30	Still in holding pattern to keep students in classrooms because we don't know if there is a second gunman.
12:30	At 12:30 p.m. a meeting will be held in the Great Hall with all academic and administrative leaders to update them on the particular situation
12:45	Exercise concluded

Recommendations

The plans and preparations were such that an event of a related nature could be dealt with through a similar strategy. The level and consistency of training, and structure, combined with positive relationships with the London Police and TEMS allowed emergency plans to be tested and in doing so, with the assistance of participants and observers, the following is submitted to the Emergency Response Committee.

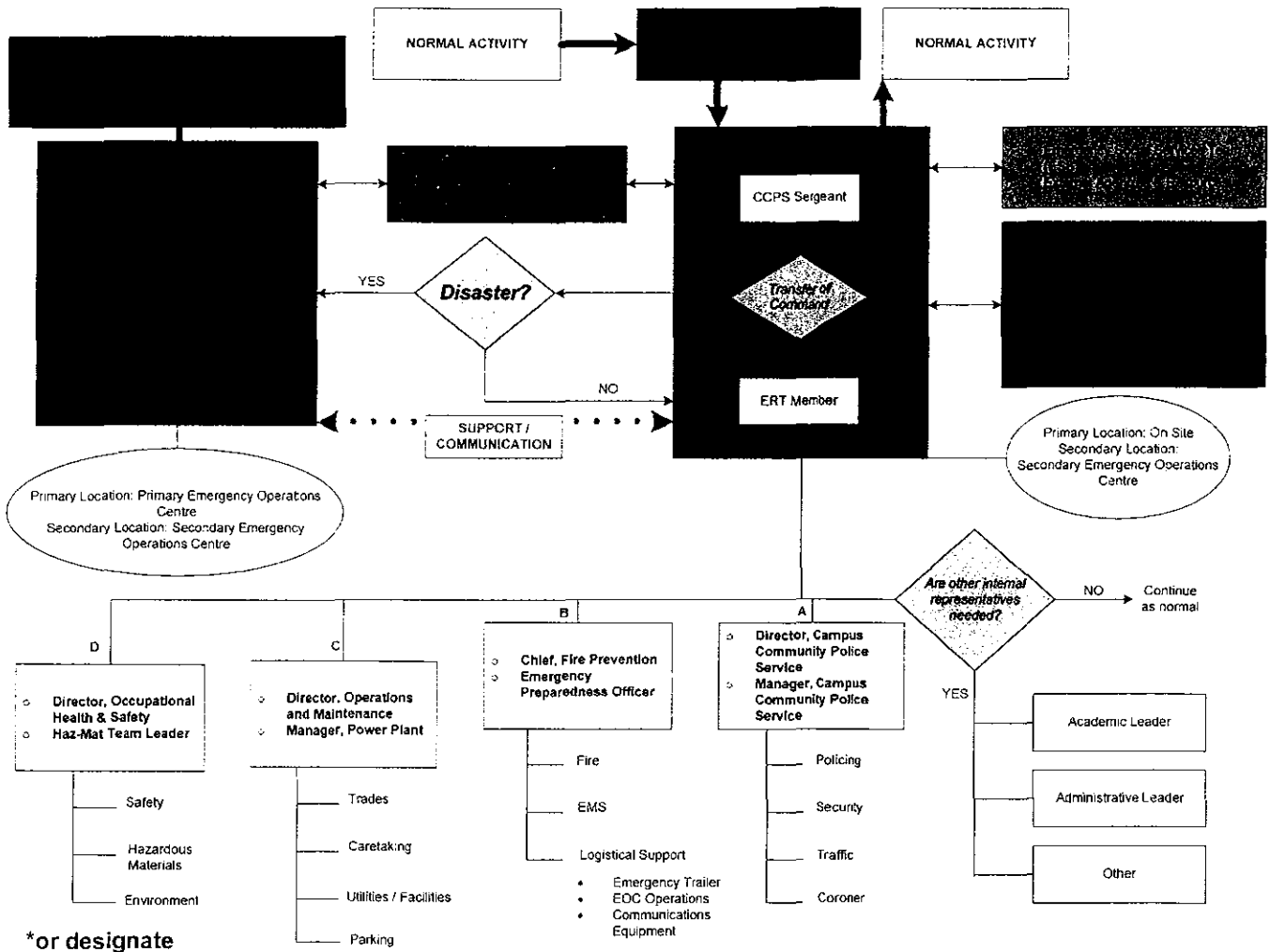
Activity	Response	Action -- by whom	Date
Develop Crisis Communications Plan, include: 1. Prompt notification of campus emergency 2. Overlay medium of communications 3. Spokesperson(s)	Enhancement of Plan including methods to meet activity goals	Ann Hutchison Communications & Public Affairs	Sept. 2007
Train EOCG members to set-up the EOC.	Training for EOCG primary and secondary members.	Steve Clemens Emergency Mng.	March 2008
Need to have EOCG aware immediately of all ERT calls	Add EOCG email list to ERT page	Steve Clemens Emergency Mng.	Complete
Notification of EOCG alternates should be concurrent with primaries	Add EOCG alternates to email/contact lists	Steve Clemens Emergency Mng.	Complete
Maintain "depth" with more training and involvement of secondary EOCG members	Continue regular training with secondary and tertiary members participating	Steve Clemens Emergency Mng.	Ongoing
Prompt and timely information flow between Incident Command to EOCG chair	Include Site Leader in emergency plan	Steve Clemens Emergency Mng.	Nov. 2007
Organize emergency contacts by zone/building	Business Continuity Plans to address this issue	Business Continuity Committee	Sept. 2008
Consistency in EOCG/ERT communications technology	Audit and develop a transitional technology plan	Neil Harvey Emergency Mng.	Oct. 2007
Clarification of ESS structure and responsibilities	Formalize the team structure and train for roles and responsibilities	Emergency Resp. Committee	Feb. 2008
Train those that most likely will need to provide information e.g. reservations	Include in crisis communications plan	Ann Hutchison Communications & Public Affairs	May 2008

Conclusions

Western's Disaster Plan addresses the universities needs in a time of crisis. This includes the allocation of people and resources, incident command and control, and a clear understanding of authorities and responsibilities. Recommendations relate to specific modular planning for enhancement. It should be noted that positive internal/ external relationships, consistency in training and effective communications is at the centre of a successful outcome.

2. UTILIZING THE DISASTER PLAN

2.1 Emergency Process - Chain of Command



The University of Western Ontario
Emergency Management Preparedness
September, 2007

1. Emergency Management Coordinator

Emergency Management and Fire Safety
Communications, planning and training

2. Emergency (Operations) Management Committee

Regular meetings and planning
ERT & Administrative leaders
University Affiliates

3. Risk Assessments

(HIRA) Hazard Identification Risk Analysis
Fire, Weather, Flood, Violence

4. Policy and Procedures

Safe Campus Community Policy – under development
Emergency Response and Preparedness Policy
Emergency closing policy
Bomb Threats and Suspicious Packages Protocol

5. Emergency Response Plan

Goals and Roles
Incident Command Structure including unified command with LPS and LFS
Campus Map – electronic, thumb drive and paper
Campus Police Server up-date every 24 hours
ERT – Emergency Response Team
EOCG – Emergency Operations Control Group
HAZMAT and CONFINED SPACE Teams and trailer
SERT (Student Emergency Response Team)
Emergency Contact List
Battery and Diesel back-up phones, lighting, computer systems
Emergency Social Services Plan
Pandemic Plan
Business Continuity Plan
Bio-Security Plan
PCB Contingency Plan
Bio-Facility Contingency Plan
Fire Safety Plan
Flood Contingency Plan
West Valley Facility (research) Emergency Plan
Commercial Aviation Plan
Fire Wardens program (350)
ERT mobile command and communications centre – logistics
Crowd Management and Control (protests plan)

6. Primary and Secondary EOC

Primary SLB R-60
Secondary PDD R-100
Virtual Emergency Operations Centre

7. Infrastructure Relationships

- City of London
- London Police
- Hospitals
- Social Services

8. Training & Exercises

- Basic Emergency Management Training (BEM) by Provincial EMO instructors
- Table Top exercises
- Live exercises
- Distinctive Incident Command & ERT vests – vest identifiers for all
- HAZMAT/ Confined space regular training
- Fire evacuation plan – regular evacuations
- Evacuation staging areas
- SERT training and competitions
- CPTED (Crime Prevention Through Environmental Design) training

9. Communications Systems

- Communications plan
- Conference phone
- Network connections and two-way radios
- Cell phones loaded with contact information
- Telecommunications back-up system (8000) phones at Campus Police
- Telecommunications messaging
- Campus 911 system (8000) phones
- Interconnection with London Police 911 system
- Interconnection with London Police through common provincial radio channel
- P/A over Fire alarm system

10. Emergency Spokesperson

- President in fatal or serious lengthy occurrences
- Incident Commander
- Communications and Public Affairs attached to ERT
- Communications and Public Affairs attached to EOCG
- Other Options, as identified

11. Campus Alert

- Emergency Contact List
- Emergency web site
- Digital phones with P/A capability (8000) – under development
- Group ERT Page
- EOCG call-out (to be integrated with ERT Alert page)
- Group leaders Email
- Telecommunications messaging
- Public radio broadcast

12. Safety and Security

- 1300 intrusion alarms and approximately 270 video cameras
- Centralized and decentralized electronic card access control
- 50 safety and security programs
- Campus 911 system
- 19 Blue light phones
- Campus Police and Security Guard patrols
- Campus Police investigations
- Code of Student Conduct, University, Provincial and Criminal enforcement
- Officer training by London Police



Safety Initiatives on Campus

<ol style="list-style-type: none"> 1. Disaster Plans <ol style="list-style-type: none"> i) Pandemic plan ii) Business Continuity plan iii) Social Services plan iv) Bomb Threats plan v) Fire Safety plans vi) Safe Campus Community Policy DRAFT) vii) Emergency Op. Committee 2. 911 System – Integration with LPS 3. Incident Command training 4. Crowd Management & Control training 5. CPTED/Safety Coordinator auditing 6. CPTED/Safety training for staff 7. Use of Force (Special Const.) training 8. Fire Alarm P/A system 9. Emergency Response Team 10. Emergency Measures Ontario training of EOCG & ERT 11. Emergency Operations Centre 12. ERT & EOCG training exercises 13. ERD (Emergency Rapid Deployment) trained special constables 14. Tactical Officers training (LPS & OPP) 15. HAZMAT Team 16. Confined Space Rescue Team 17. Radiation and Controlled Goods Program 18. Fire Watch Program 19. Roof Access Program 20. Graffiti Eradication Program 	<ol style="list-style-type: none"> 21. Women's Safety Committee 22. RAD (Rape Aggression Defence) training 23. Campus Safety Network 24. Traffic Management Program 25. Security Guards integration program 26. Western Watch 27. Protective Services 28. Emergency Blue Phones (20) 29. Foot Patrol 30. Working Alone Program 31. Lighting Inspection Program 32. Laptop Engraving Program 33. Residence Liaison Officers (RLO) 34. Discrimination and Harassment Policy 35. Code of Student Conduct 36. Residences Code of Behaviour 37. Residences safety training & patrols 38. Communications Centre at CCPS 39. Video Monitoring decentralized 40. Alarms Systems (1,300) 41. Scout Security Alarm System 42. Access Control Program (Cards & Keys) 43. Controlled Goods Program 44. Campus Community Police Service 45. Occupational Health and Safety 46. ITS (Security) and WGIS 47. Equity & Human Rights Services 48. Ombuds Services 49. Health Services (Students & Staff) 50. Affiliated University Colleges
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Your Right to Safety

Sept. 2007

Every member of the University community has the right to study, work and conduct his or her activities in an environment free of violence. The University is committed to providing and maintaining such an environment through policies, resources, regulations, educational programs and community support.

Violence is a shared concern and as such The University of Western Ontario will not tolerate violence, threats, use or intimidating use of a weapon or physical conduct by a person which results in harm or ought reasonably have been known would cause harm to person(s), or property.

Early intervention can prevent escalation. This program provides information on ways to **identify and prevent** violence and potential violence from occurring and ensure individuals know how to **effectively respond** as outlined in the **violence continuum below.**

Identify	Prevent	Respond	Resources
<p>A – ALERT Risk Low: Creates Anxiety <i>Behaviours of Concern:</i> Disruptive & Aggressive Verbal Abuse, Bullying Harassing Conduct Emotionally Abusive</p>	<p>Broadly communicate and reinforce standards of behaviour. Utilize resources. Conduct Risk assessments through CPTED. Intervene when people send "signals" that they are at risk of "losing their cool" with others. Seek resources for stress/ anger/ depression counseling before behaviour escalates.</p>	<p>Action: If behaviour occurs, stay calm and de-escalate. Faculty/ Dept. intervene quickly. Separate conflicting parties. Assess further risk; report; seek support to deal with current situation and prevent repeats. Document incident. Investigate & Follow-up</p>	<p>Support: Dean, Chair, Department Head, USC, SDS, Equity and Human Rights, HR, Registrar, Health & Counselling Services, EAP, Housing Union, Campus Police 911 when there's personal safety concerns, potential danger or Code of Student Conduct Violations</p>
<p>B – CAUTION Risk Low to Moderate: Personal Safety Concerns <i>Threatening Behaviour:</i> Hostile, Intimidating, Frightening Aggressive outbursts Threats, either verbal or written</p>	<p>Broadly communicate and reinforce standards of behaviour. Conduct Risk assessments through CPTED. Intervene when people send "signals" that they are at risk of "losing their cool" with others Suggest people seek resources for stress/anger/ depression counseling before behaviour escalates. Utilize resources.</p>	<p>Action: If behaviour occurs, stay calm and de-escalate. Faculty or Dept. intervene quickly. Ensure physical safety. Report; assess, analyze, seek support. Document incident. Investigate & Follow-up</p>	<p>Support: As above: Campus Police will investigate, assess risk, help establish a safety plan (may involve Trespass Notice), work with Registrar & Legal Services if dealing with a student; or with HR and Faculty for employees.</p>
<p>C – DANGER Risk High: Safety is at Risk <i>Physical Injury:</i> Weapons Threat, Physical Assault, Pushing, Hitting, Kicking, Punching Threat to injure self or others</p>	<p>Report personal safety concerns. Early intervention to reduce escalation. Educate all students, staff, faculty on diffusing procedures and safe responses. Incident investigation and accountability.</p>	<p>Action: If behaviour occurs, avoid escalation; Call 911 from any campus phone. Seek safe location, report incident within your faculty or department.</p>	<p>Support: Campus Police 911; SERT, EMS, OHS, HR, Housing; GCPS will follow up in Criminal Cases and for Code of Student Conduct</p>
<p>D – EMERGENCY Risk Imminent: Immediate Danger <i>Potential Death:</i> Shooting, Stabbing Beating, Choking Use of Weapon, Threat to kill</p>	<p>Establish safety procedures and safe locations Educate all students, staff, faculty on procedures, safe responses and safe locations All incidents will be thoroughly investigated with appropriate follow-up and review.</p>	<p>Action: If behaviour occurs, avoid escalation; Call 911 Seek shelter where you are; lock doors, avoid windows; evacuate public areas. The Incident Commander will communicate next steps. STAY SAFE</p>	<p>Support: Campus Police 911; SERT, EMS, OHS, ERT, London Police; Campus Police will follow up in Criminal Cases and for Code of Student Conduct. HR and EAP will be involved with employees.</p>

DEFINING VIOLENCE

Violence Continuum

Violence refers to a broad range of behaviours along a spectrum of severity that can generate concern for personal safety and/ or personal injury. At the low end of the spectrum are disruptive, aggressive, harassing or emotionally abusive behaviours that generate anxiety or create a climate of distrust that adversely affect process, productivity and morale. Further along the spectrum are words or other actions that are reasonably perceived to be hostile, intimidating, frightening, or threatening and generate a justifiable concern for personal safety. At the high end of the spectrum are acts of overt violence such as assault, pushing, shoving, hitting or physical actions that include weapons and serious physical attacks.

Violence

Broadly defined, is any incident or act of aggression that could result in injury to a person, or damage to property and includes abusive and threatening behaviour.

Safety and Confidentiality

An individual's confidentiality should be protected to the extent possible; however where there are personal safety concerns or personal safety is at risk, personal safety is the priority. Take action. Do not avoid difficult situations or decisions. Consult resources for support and assistance.

Risk Assessment Steps

If a personal safety concern exists, report, gather data, analyze, assess options and take action to prevent escalation. Understand and use resources to support decisions such as conferring with a Faculty Chair or Department Head, Registrar, Human Resources, Equity and Human Rights Services, Legal Counsel or Campus Police.

Prevention Options

Prevention initiatives include risk assessments, environmental considerations, early intervention, diffusing techniques, remaining calm, showing respect, active listening, facilitation, safety/ security measures and programs, legal measures, medical/ mental health treatment, consequences tied to thorough fact finding, and modifying employment status and/ or privileges.

Resource	Equity Services	83334	Housing	83547	
	Human Resources	80300 - 84742 - 80263	Occupational Health & Safety	84742 - 85578 - 88730	
Contacts	EAP	519-433-0700	Registrar	82120	
	USC	83574	Health & Counselling Services	84730	
Campus Police	911 or 83300	SDS (Psychological)	83031	SERT	83300

Legend

CCPS	Campus Community Police Service	Special Constables approved by the Ministry and appointed by London Police Board
911	Emergency	Contact Campus Police through any of the 8000 black office phones on campus
I/C	Incident Command	Emergency Response Team leader in major emergencies
CPTED	Crime Prevention through Environmental Design	CPTED/Safety courses and Safety/Security assessments (audits) by Campus Police
SERT	Student Emergency Response Team	dispatched by Campus Police radio to injured/ ill persons on campus
EMS	Emergency Medical Services	contacted by Campus Police Communications Operators
ERT	Emergency Response Team	dispatched by Campus Police & includes leaders in Police, Fire, OHS, HAZMAT, PPD
OHS	Occupational Health and Safety	part of the Emergency Response Team
EAP	Employee Assistance Program	professional confidential counselors – post traumatic stress counseling - contact by HR
HR	Human Resources	contacted by the individual, faculty, department, Campus Police
SDS	Student Development Services	provides counseling and crisis intervention for Western Students
USC	University Student's Council	USC will provide advice, direction and support

Pandemic Planning Sub-Committee
Pandemic Plan Summary

September 5, 2007

Membership: The membership for this committee includes representatives from Physical Plant and Capital Planning Services Operations and Maintenance, Fire Prevention, Occupational Health and Safety, Campus Community Police Service, Western Health Services, Housing and Ancillary Services, Information Technology Services, Human Resources, Communications and Public Affairs, The Office of the Registrar, Kings University College, Brescia University College and Huron University College.
The committee was also assisted with liaisons from the City of London and the Middlesex-London Health Unit

Outcomes from Western's Pandemic Plan

Prevention:

- Education for the general population through the poster and brochure campaigns - *ongoing*
- Installation of hand sanitizer stations at various strategic points on campus to encourage an overall behavioural change - *planned*
- Education messages focused on personal preparedness - *ongoing*

Preparedness

- Development of Western's Pandemic Plan - *complete*
- Development of Western's Pandemic Plan such to be a document which is collaborative with the Disaster Plan to increase the efficiency with which the emergency will be handled - *complete*
- Disaster Plan Section 4: *Looking After People* has identified provisions set out for those who can not leave such as food supplies, cooking supplies and procedures, critical staff, blankets and pillows etc. - *complete*
- Provisions and guides for individual business units to adequately prepare themselves and outfit themselves with supplies - *ongoing*
- Training opportunities and preparedness information via the WebCT application - *ongoing*
- Education and awareness program through the developed communications plan to reach all stakeholders within the University community - *ongoing*
- Identified the various stages according to the World Health Organization and modeled specific concerns and events as each stage is achieved - *complete*

Mitigation

- Hand sanitizer stations located at various spots throughout the campus to assist with infection control - *planned*
- Communications plan with redundancies to effectively communicate to the entire Western community as new and integral information regarding warnings and other mitigation information becomes available and needs to be distributed - *ongoing*
- Developed measures to coordinate the mitigation efforts remotely through a Virtual Emergency Operations Centre (VEOC) to increase isolation thus decreasing contamination of integral Command Personnel - *complete*

Response

- Purchase of supplies adequate to handle the anticipated first wave of the pandemic for faculty and staff as per Western's Pandemic Plan based on recommendations from the Public Health Authorities – *complete (awaiting delivery)*
- Detailed plans to effectively manage those people with special considerations who can not leave campus - *complete*
- Creating protocols and procedures to control the dissemination of supplies -*ongoing*
- Creating protocols and procedures to ensure protection of the incident commanders - *ongoing*
- Redundancies to the third level for all positions and cross training of Incident Command strategies to effectively manage the emergency should illness fall upon any of the Command Personnel - *ongoing*
- Identified triage protocols to effectively control contamination - *complete*
- Development of self-care measures - *complete*
- Access control for all buildings on campus to assist with the control of contamination - *complete*
- Creating procedures for handling and removing the contaminated waste - *ongoing*

Recovery

- Business Continuity Planning Guide created - *ongoing*
- Identification of a Business Continuity Team and their work on the development of the Business Continuity Plan - *ongoing*

Steve Clemens

Chief, Fire Prevention & Emergency Management
University of Western Ontario
Room 118, Services Building
Phone: 519-521-8448 (or ext 88267)
Fax: 519-661-2174

UNANIMOUS CONSENT AGENDA

FOR APPROVAL

Any member who wishes to ask a question, discuss, or oppose an item that is listed below may have it removed from the consent agenda by contacting the Secretary of the Board of Governors prior to the meeting or by asking that it be removed before the Chair calls for a mover and seconder for the following motion. [See background information at the end of this report.]

Recommended: That the following items be approved and/or received for information by the Board of Governors by unanimous consent:

Minutes

1.	Minutes of the Meeting of June 26, 2007 (public session)	ACTION
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Report of the Property and Finance Committee - Appendix II

2.	MAPP 2.22, Funding of Academic Chairs and Professorships and MAPP 2.26, Funding of Designated Faculty Fellowships - Amendments to Required Funding Levels	ACTION
3.	Student Services Building - Revisions to Plan and Budget	INFORMATION
4.	Scholarships, Awards, Prizes	INFORMATION
5.	T. R. Meighen Family Foundation Community Nursing Professorship and T. R. Meighen Family Foundation Community Nursing Fellowship	INFORMATION
6.	Nabisco Professorship in Marketing Name Change to Kraft Professorship in Marketing	INFORMATION
7.	Research Purchases Greater than \$1 Million	INFORMATION
8.	Investment Committee Appointments	INFORMATION
9.	Report of the Investment Committee	INFORMATION
10.	Quarterly Financial Report (Operating Budget)	INFORMATION
11.	Changes to Ancillary Fees	INFORMATION

Report of the Senior Operations Committee- Appendix III

12.	Appointments: McIntosh Gallery Committee Thompson Recreation and Athletic Centre Advisory Committee	INFORMATION
13.	Board Election Schedules	INFORMATION
14.	Annual Report of the Senior Operations Committee	INFORMATION

Report of the McIntosh Gallery Committee - Appendix V

15.	Size and Value of the University Art Collection	INFORMATION
16.	McIntosh Estate	INFORMATION
17.	Budget for 2007-08	INFORMATION
18.	Report of the Director	INFORMATION

Items Referred by Senate - Appendix VII

19.	Honorary Degree Recipients - Autumn Convocation 2007	INFORMATION
20.	University Council on Animal Care Annual Report	INFORMATION
21.	Academic Administrative Appointments	INFORMATION

The Unanimous Consent Agenda

The Board's parliamentary authority -- *Sturgis Standard Code of Parliamentary Procedure* -- explains the consent agenda:

Organizations having a large number of routine matters to approve often save time by use of a *consent agenda*, also called a *consent calendar* or *unanimous consent agenda*. This is a portion of the printed agenda listing matters that are expected to be noncontroversial and on which there are likely to be no questions.

Before taking the vote, the chair allows time for the members to read the list to determine if it includes any matters on which they may have a question, or which they would like to discuss or oppose. Any member has a right to remove any item from the consent agenda, in which case it is transferred to the regular agenda so that it may be considered and voted on separately. The remaining items are then unanimously approved *en bloc* without discussion, saving the time that would be required for individual votes.

A number of Canadian university Boards have employed the consent agenda format to include not only routine approval items, but also information items. One reason for using this format is to allow the Board to focus on major items of business. While approval of an omnibus motion saves time at Board meetings, Board members will want to review the agenda materials carefully in order that they properly discharge their responsibilities.

How it works:

The Secretary identifies action and information items that are routine and/or likely non-controversial. In so doing, she may consult with the Chair of the Board, the relevant committee chair, and principal resource persons. In each Committee's report, these items are flagged. (In this agenda package, such items are marked with a "Consent Agenda" icon in the right margin.) The unanimous consent motion lists each of the flagged items [see the motion on the reverse of this page]. Action and information items on the agenda and in committee reports that are not flagged will be presented singly for discussion and voting (when appropriate).



When members receive their Board agendas, they should review all reports in the usual manner. **If any member wants to ask a question, discuss, or oppose an item that is marked for the consent agenda, he or she can have it be removed from the consent agenda** by contacting the Secretary of the Board of Governors prior to the meeting or by asking that it be removed before the Chair calls for a mover and seconder for the motion to approve or receive, by unanimous consent, the items listed.

At the Board meeting, before the unanimous consent motion is presented for approval, the Chair of the Board (1) will advise the Board of items that are to be removed from the list, based on prior requests from Board members; and (2) will ask if there are any other items that should be removed from the list. The remaining items are then unanimously approved *en bloc* without discussion, saving the time that would be required for individual presentation and voting. Those matters that have been struck from the consent agenda will be handled in the usual way as each Committee's report is presented.

The minutes of the Board meeting will report matters approved as part of the consent agenda as "carried by unanimous consent". Information items received as part of the consent agenda will be reported as received.

REPORT OF THE PROPERTY AND FINANCE COMMITTEE

Contents	Consent Agenda
Video Monitoring Policy	No
MAPP 2.22 and 2.26 Amendments re Funding Levels	Yes
Research Purchases Greater than \$1 Million	Yes
T.R. Meighen Family Foundation Community Nursing Professorship and T.R. Meighen Family Foundation Community Nursing Fellowship	Yes
Name Change - Nabisco Professorship in Marketing	Yes
Membership Appointments for the Investment Committee	Yes
Report of the Investment Committee	Yes
Quarterly Financial Report	Yes
Changes to Ancillary Fees	Yes
Scholarships/Awards/Prizes	Yes

FOR APPROVAL

1. **Video Monitoring Policy**

Recommended: That MAPP 1.42, Video Monitoring Policy, as contained in **Annex 1**, be approved.

Video cameras are in place in a number of locations on campus. This policy will regulate their use with due regard to issues of safety and security and to privacy protection for individuals. Numerous drafts of the policy have been reviewed with a wide range of campus organizations and the relevant university administrators over the past year.

2. **MAPP 2.22 Funding of Academic Chairs and Professorships and MAPP 2.26 Funding of Designated Faculty Fellowships - Amendments to Required Funding Levels**

Recommended: That the increases in the required levels of support to establish a Chair, Professorship or Faculty Fellowship on an expendable or endowed basis be approved as follows:

Position	Current	Proposed
Chair	\$112,500 annually \$2.5 million endowed	\$135,000 annually \$3 million endowed
Professorship	\$56,250 to \$112,500 annually \$1.25 million to \$2.5 million endowed	\$67,500 to \$135,000 annually \$1.5 million to \$3 million endowed
Faculty Fellowship	\$11,250 to \$56,250 annually \$250,000 to \$1.25 million endowed	\$11,250 to \$67,500 annually \$250,000 to \$1.5 million endowed



That the increases to required funding levels be effective immediately upon approval, with the exception that all new Chairs, Professorships and Faculty Fellowships that are part of the established fundraising campaign of the Richard Ivey School of Business be grandparented under the current required funding levels.

The University of Western Ontario has entered the “quiet-phase” of its new fundraising campaign with priorities for each of the Faculties currently being determined and approval expected at the November meeting of the Board of Governors.

In preparation for the campaign, and in anticipation of the request to seek funding for new academic positions, a review of the required levels of funding for named Chairs and Professorships at other Canadian universities was undertaken, followed by discussion with the Development & Fund Raising Committee of the Board of Governors.

Current funding levels at other Canadian universities are outlined below:

2007 Review of Endowed Funding Levels at Canadian Universities

Institution	Chair	Professorship
University of Saskatchewan	\$3.5 Million	\$3.5 Million
University of Alberta	\$3 Million	\$1 Million
University of Calgary	3 Million	\$1.5 Million
University of Toronto	\$3 Million	n/a
University of Waterloo	\$3 Million	\$1 Million
York University	\$3 Million	\$1.5 Million
Dalhousie University	\$2.5 Million	n/a
Queen's University	\$2.5 Million	\$1.5 Million
Carleton University	\$2 Million	\$1.5 Million
McMaster University	\$2 Million	\$1 Million

The proposed increases are to ensure that adequate funding exists now and in the foreseeable future to recruit and retain exceptional faculty members in a competitive global environment and reflect inflationary pressures on salaries and research expenses. The increases are also meant to ensure that Western maintains its position as a leader among research-intensive universities in the minds of donors, based in part on the level of philanthropic support required to establish a named Chair, Professorship or Faculty Fellowship.

It is requested that the increases to required funding levels be effective immediately upon approval, with the exception that all new Chairs, Professorships and Faculty Fellowships that are part of the established fundraising campaign of the Richard Ivey School of Business be grandparented under the existing required funding levels. As noted by Dean Stephenson, Ivey has already determined its campaign priorities and marketing plans based on current values.

FOR INFORMATION

3. Research Purchases Greater than \$1 Million

In accordance with Special Resolution #2 of the Board of Governors, the President has approved the following purchase for installation at the Robart's Research Institute:



Purchase Order 626383 in the amount of \$3,173,896 was issued to Varian Inc. for a 7 Tesla MRI Magnet for Dr. Ravi Menon at The Robart's Research Institute.

This equipment is funded from the \$12,000,000 envelope provided to The University of Western Ontario from the Province of Ontario specifically for the purchase of a 3T and 7T MRIs for the Brain and Mind Research Group.

4. **T.R. Meighen Family Foundation Community Nursing Professorship and T.R. Meighen Family Foundation Community Nursing Fellowship**



At its meeting of September 18, 2007, the Property and Finance approved that the T.R. Meighen Family Foundation Community Nursing Professorship be extended by one year and that the T.R. Meighen Family Foundation Community Nursing Fellowship be created in the Faculty of Health Sciences.

Donor and Funding: The extension of this Professorship and creation of this Fellowship is made possible through a generous donation from the T.R. Meighen Family Foundation

The donor will make an expendable gift of \$90,000, paid in two installments of \$45,000 each, to be received on or before December 31 of 2007 and 2008.

Effective Date: July 1, 2007

Purpose: The gift from the T.R. Meighen Family Foundation will extend the term of the T.R. Meighen Family Foundation Community Nursing Professorship for a period of one year. It will also support the establishment of the T.R. Meighen Family Foundation Community Nursing Fellowship, for a period of one year in the Faculty of Health Sciences, after which time, both positions will cease to exist.

The administration of the spending of resources will be the responsibility of the Dean of Health Sciences. The allocation of the gift between the professorship and the fellowship will be determined following the outcome of additional donation requests.

Criteria: Dr. Marilyn Ford-Gilboe will continue to hold the position of T.R. Meighen Family Foundation Community Nursing Professor for a period of one year.

Appointment to the Fellowship will be conducted in accordance with the relevant policies of the University and on the recommendation of the Dean of Health Sciences. The appointment will be for a period of one year.

Reporting: The University, through the Faculty of Health Sciences, will report to the T.R. Meighen Family Foundation regarding the activities of the Professor and Fellow.

5. **Name Change - Nabisco Professorship in Marketing**



Nabisco Brands Incorporated, for whom this Professorship was originally named, no longer exists as a legal entity and has been taken over by Kraft Canada.

Pursuant to this change, the Professorship will now be called the "Kraft Professorship in Marketing." The original terms of the Professorship, approved in 1985, are still in effect. The effective date of the name change is April 1, 2007.

6. **Membership Appointments for Investment Committee**

At its meeting of September 18, 2007, the Property and Finance Committee appointed Stephen Coxford to the Investment Committee for a term ending March 2012 and reappointed Geno Francolini for a term ending March 2009.



7. **Report of the Investment Committee**

See [Annex 2](#).



8. **Quarterly Financial Report (Operating Budget)**

See [Annex 3](#).



9. **Changes to Ancillary Fees**

The Property and Finance Committee gave electronic approval to a number of minor amendments to the ancillary fee schedule for 2007-2008. See [Annex 4](#).



10. **Scholarships/Awards/Prizes**

See [Annex 5](#).



The UNIVERSITY of WESTERN ONTARIO
POLICIES and PROCEDURES

1.42 VIDEO MONITORING

Classification: General

Effective Date: [insert]

Supersedes: (NEW)

PURPOSE

- 1.00 The purpose of this Policy is to regulate the use of cameras for video monitoring and recording at The University of Western Ontario.

APPLICATION

- 2.00 In this Policy video monitoring means the use of cameras for the purpose of monitoring any part of the campus of The University of Western Ontario or off-campus sites owned or controlled by The University of Western Ontario. Cameras shall be deemed to include any device capable of capturing and/or recording images.

PRINCIPLES

- 3.00 Cameras may be used for video monitoring in accordance with this Policy.
- 4.00 Video monitoring may be used for purposes relating to safety of individuals and security of buildings and property. In furtherance of these purposes, video monitoring will be used to:
- a) monitor building perimeter, entrances and exits, lobbies and corridors, receiving docks, special storage areas, laboratories, cashier locations, other high risk activity areas;
 - b) monitor Access Control Systems;
 - c) monitor and record restricted access transactions at entrances to buildings and other areas;
 - d) verify security alarms, intrusion alarms, exit door controls, hold-up alarms;
 - e) conduct video patrols of public areas, transit stops, parking lots, public streets (enclosed and unenclosed), shopping areas and vehicle intersections, etc.;
 - f) conduct criminal investigations;
 - g) monitor pedestrian and vehicular traffic activity.
- 5.00 Notwithstanding 4.00, video monitoring for the following purposes is not subject to the provisions of this Policy:
- a) where it is being used as part of the teaching or research responsibilities of a member of the academic staff of the University; or
 - b) where there are reasonable grounds to believe that an employee is engaged in misconduct in the course of his or her employment activities. The Director of Campus Community Police Services (CCPS) shall be consulted prior to the use of video monitoring as part of any investigation.
- 6.00 Subject to this Policy, video monitoring shall not be installed to monitor employee performance.
- 7.00 Video monitoring under this Policy shall be conducted in accordance with the following principles:
- 7.01 Video monitoring must be conducted in accordance with the laws of Ontario and Canada;
 - 7.02 Video monitoring and recording must be conducted in a professional, ethical and legal manner;

- 7.03 Staff who monitor cameras must be appropriately trained and supervised in the responsible use of the cameras and recording equipment;
- 7.04 Staff who monitor cameras must receive a copy of this Policy and the procedures developed under this Policy and provide written acknowledgement that they have read and understood their contents;
- 7.05 The recording medium must be handled in a manner that provides continuity and security of the recorded information;
- 7.06 All recorded information shall be retained for a period set out in the procedures approved by the Video Monitoring Committee;
- 7.07 Camera locations and operation shall be limited to visual access of areas where there is no reasonable expectation of privacy;
- 7.08 Appropriate signs and notice of video monitoring and recording must be given.

RESPONSIBILITIES

- 8.00 Campus Community Police Service (CCPS) shall be responsible for the application and administration of this policy.
- 9.00 There shall be a Video Monitoring Committee which shall consist of:
- The Director, CCPS
 - The University Freedom of Information and Privacy Coordinator
 - Two members appointed by the Unity Group
 - One member appointed by the Director, Information Technology Services
- 10.00 CCPS shall develop procedures that will govern the approval, installation, operation and management of video monitoring equipment, and for the proper training of University staff using such equipment.
- 11.00 The procedures shall be consistent with the provisions of this Policy, and shall be approved by the Video Monitoring Committee.
- 12.00 The procedures shall be reviewed on an annual basis by the Video Monitoring Committee in order to accommodate developments in the interpretation of the provisions of the data protection legislation, developments in the technology involved in the recording of images, and developments in the use of such technologies, and to ensure that the procedures comply with all applicable laws and University policies, including laws and policies relating to privacy and access to information.
- 13.00 The Video Monitoring Committee, or an individual designated by the Video Monitoring Committee, shall have the authority to resolve any matters that may arise in the interpretation or application of this Policy.
- 14.00 Any inquiries with respect to Video Monitoring shall be directed to the Director, CCPS.

PROCEDURES

CONFIDENTIALITY

- 15.00 Video monitored recordings are confidential and should not be viewed by persons unless authorized to do so.
- 16.00 Video cameras shall not be directed through windows of a residential dwelling, including a university residence or any university or non-university location where an individual has a reasonable expectation of privacy.
- 17.00 Copies of recordings may be made for:

- (a) investigative and/or evidentiary purposes;
- (b) backup purposes, provided that the backup copies are capable of being destroyed in accordance with the approved retention requirements.

SECURITY

- 18.00 Video recordings shall be stored in a secure manner.
- 19.00 No attempt shall be made to alter any part of an original recording.
- 20.00 Authority to use recordings to conduct investigations of possible misconduct or illegal activity is limited to CCPS or persons designated by CCPS.

RETENTION OF RECORDINGS

- 21.00 Recordings will be retained for such period(s) as recommended by CCPS and approved by the Video Monitoring Committee.

VIDEO MONITORING RESPONSIBILITIES

- 22.00 CCPS shall identify the individual responsible for each location where a camera is installed. It shall also prescribe the responsibilities of these individuals, as approved by the Video Monitoring Committee. Such responsibilities shall include: maintaining a list of camera locations, identifying persons authorized to monitor video images, training personnel, ensuring proper supervision, maintaining confidentiality of recorded images, and ensuring the placement of proper signage.
- 23.00 All persons who have authority to monitor cameras shall be trained in proper use of video monitoring cameras in accordance with this Policy and procedures approved by the Video Monitoring Committee.

INSTALLATIONS AND AUDITING

- 24.00 Video camera(s) installation requests are made through the CPTED/Safety Coordinator at CCPS who will assist with best practices, advice on location and responsibilities of the requesting department.
- 25.00 CCPS is responsible for initial response to any suspicious activity that is observed or reported.
- 26.00 CCPS will conduct regular audits to assess compliance with this Policy.

PUBLIC NOTICE AND SIGNAGE

- 27.00 Signage giving notice of video monitoring of public areas will be posted in a discernible location in reasonable proximity to the location of cameras. Location and wording of signage must be approved by CCPS and the Video Monitoring Committee.
- 28.00. Notification of video monitoring at the University shall be posted on various university web sites, as recommended by CCPS and approved by the Video Monitoring Committee.

REPORTING

- 29.00 The annual Campus Community Police Service Report shall include information regarding video monitoring, where relevant, and statistics relating to criminal behaviour on campus.

Report of the Investment Committee

For Information

This report outlines the performance of the operating and endowment investment portfolio and the recent activities of the Investment Committee.

Performance

The investment performance of the portfolio for the past four years was as follows:

<u>Asset Class</u>	<u>Annual June 30 2007</u>	<u>Annual June 30 2006</u>	<u>Annual June 30 2005</u>	<u>Annual June 30 2004</u>	<u>Annualized for Four years Ending June 30, 2007</u>
Bonds	4.5%	-0.5%	11.9%	3.1%	4.7%
Alternative Investments (hedge funds)	9.7	5.2	4.0	N/A	N/A
Equities					
Canadian	24.4	19.4	18.3	25.4	21.8
US	17.2	4.7	-0.4	19.3	9.9
Non North American	<u>26.0</u>	<u>16.6</u>	<u>8.8</u>	<u>32.1</u>	<u>20.6</u>
<i>Total Return</i>	<u>15.3%</u>	<u>7.5%</u>	<u>9.1%</u>	<u>15.4%</u>	<u>11.8%</u>
Policy Return	<u>14.0%</u>	<u>7.5%</u>	<u>9.3%</u>	<u>15.3%</u>	<u>11.5%</u>

For additional information, please refer to the attached pages, which show the amounts held by each investment manager at June 30, 2007, the annual and annualized returns by manager over the five years ending June 30, 2007.

Returns in relation to the objective of a 5% real rate of return

One of the Investment Committee's objectives is to earn a 5% real rate of return over the long term (i.e., to earn 5% over the rate of inflation, as measured by the Consumer Price Index). Inflation has averaged 2.3% per year for the past five years and 2.1% over the past 10 years.

For the four years ending June 30, 2007, the annualized real rate of return was 9.5%, consisting of a nominal return of 11.8% less inflation of 2.3%. For the five years ending June 30, 2007, the annualized real rate of return for the portfolio was 6.8%, which consisted of a nominal (actual) annualized return of 9.1% less 2.3% for inflation. The 5 year annualized return meets the Investment Committee's performance objective of a real rate of return of 5%. A real rate of return in the amount of 5.6% annualized has been achieved over the past 10 year period.

Value added by Active Management

Another of the Investment Committee's objectives is to earn the return produced by the asset mix policy based on the returns of the market indices plus a premium to reflect the additional fees related to active management. In order to achieve this objective, investment managers with active investment mandates need to outperform their benchmark indices. For example, Canadian equity managers need to outperform the TSX Composite Index over time.

Over the five years ending June 30, 2007, the actual annualized return for the portfolio was 9.1% and the return generated by the market indices for the portfolio was 9.0% (the policy return). Over this period, our combination of managers achieved slightly higher results than could have been achieved by a passive or index style of management. It should be noted that only 35% of the total portfolio is actively managed and 65% is passively managed

Returns and asset mix for the ten years ending June 30, 2007

As shown on the attached schedules, the annualized return on the portfolio for the ten years ending June 30, 2007 was 7.8% before fees. Over this period inflation was 2.1%, resulting in an annualized real rate of return of 5.6%, which exceeds the real rate of return objective of 5%. Over the ten years ending June 30, 2007, the annualized return of 7.8% exceeded the policy return for the portfolio by 0.5% per year.

Book and Market Value of the Portfolio

As at June 30, 2007, the operating and endowment portfolio had a book value of \$366,921,000 and market value of \$452,026,000, as follows:

	Book Value (\$000's)	Market Value (\$000's)	Actual Asset Mix	Policy Asset Mix
Bonds	\$132,557	\$142,718	31.6%	35.0%
Alternative Investments (hedge funds)	17,415	22,137	4.9	5.0
Canadian equities	62,178	98,846	21.9	20.0
US equities	100,129	114,807	25.4	25.0
Non-North American equities	<u>54,642</u>	<u>73,518</u>	<u>16.2</u>	<u>15.0</u>
Total	<u>\$366,921</u>	<u>\$452,026</u>	<u>100.0%</u>	<u>100.0%</u>

At June 30, 2007 the portfolio consisted of the following components:

Portion related to Endowed funds	<i>\$187,585,000</i>	<i>41.5%</i>
Portion related to Operating and Non-endowed funds	<i>264,441,000</i>	<i>58.5%</i>
Total market value of portfolio	<i>\$452,026,000</i>	<i>100.0%</i>

Update on Investment Committee Activities and Plans

The Committee:

- Has met twice since the last reporting to the Property and Finance Committee
- Has revised the Statement of Investment Objectives Policies and Governance which includes changes to the policy asset mix. The changes being planned will add diversification to the fund by adding real estate and private equity. The revised asset mix and the changes to the Statement of Investment Objectives, Policies and Governance will be presented, for approval, to the Property and Finance Committee on October 17, 2007 and, if approved, to the Board on November 27, 2007
- Has established an implementation plan for the asset mix changes being recommended above
- Has reduced the allocation to US equities from 25% to 20% of the total portfolio

- Has hired MFS¹ as the new active EAFE Manager to replace Northwater (passive)
- Has reviewed governance issues with an Investment Consultant and plans to make improvements in the areas of attendance, new member selection, new member orientation, terms of reference for Committee Members

CAUBO Investment Survey

Attached for your information is a summary of the CAUBO Endowment Investment Survey that was discussed at the Investment Committee on August 23, 2007.

¹ MFS Investment Management is a Boston based firm and is a subsidiary of Sun Life Financial

University of Western Ontario Endowment Plan

Asset Summary
As of June 30, 2007

	<u>Total Market Value</u>	<u>% of Total Fund</u>	<u>% of Asset Class</u>	<u>Equity & Convertible</u>		<u>Fixed Income</u>		<u>Cash & Equivalents</u>		<u>Alternative</u>	
Total Fund											
UWO Endowment - Total Fund	\$ 452.1	100.0%	100.0%	\$ 285.6	63.2%	\$ 142.7	31.6%	\$ 1.6	0.4%	\$ 22.2	4.9%
Canadian Stock Managers	98.8	21.9	100.0	97.3	98.4	--	--	1.6	1.6	--	--
Beutel, Goodman Capital Mgmt.	33.9	7.5	34.3	32.3	95.3	--	--	1.6	4.7	--	--
Greystone Canada	30.2	6.7	30.5	30.2	100.0	--	--	--	--	--	--
Highstreet Asset Management	34.8	7.7	35.2	34.8	100.0	--	--	--	--	--	--
U.S Stock Managers	114.8	25.4	100.0	114.8	100.0	--	--	--	--	--	--
BGI Hedged Synthetic U.S	46.2	10.2	40.2	46.2	100.0	--	--	--	--	--	--
BGI U.S Equity	44.4	9.8	38.7	44.4	100.0	--	--	--	--	--	--
Northwater Derivatives Mid Cap	24.2	5.4	21.1	24.2	100.0	--	--	--	--	--	--
Hedge Funds	22.2	4.9	100.0	--	--	--	--	--	--	22.2	100.0
Northwater NET III	22.2	4.9	100.0	--	--	--	--	--	--	22.2	100.0
Non-North American Mgrs	73.5	16.3	100.0	73.5	100.0	--	--	--	--	--	--
BGI Hedged EAFE*	24.2	5.3	32.9	24.2	100.0	--	--	--	--	--	--
Templeton International	49.4	10.9	67.1	49.4	100.0	--	--	--	--	--	--
Fixed Income Managers	142.7	31.6	100.0	--	--	142.7	100.0	--	--	--	--
State Street Global Advisors	142.7	31.6	100.0	--	--	142.7	100.0	--	--	--	--

All dollars in millions, numbers may not add due to rounding

Performance Summary By Portfolio

Periods Ending June 30

	Annualized						Rolling 12-Months			
	3 Mths	YTD	1 Yr	3 Yrs	4 Yrs	5 Yrs	2006	2005	2004	2003
Total	1.6	3.7	15.3	10.6	11.8	9.1	7.5	9.1	15.4	(0.8)
Policy Portfolio	1.3	3.1	14.0	10.2	11.5	9.1	7.5	9.3	15.3	(0.1)
Canadian Stock Managers	5.9	9.5	24.4	20.7	21.8	16.9	19.4	18.3	25.4	(0.9)
Beutel Goodman	3.6	7.4	22.5	17.2	19.5	15.3	13.9	15.3	26.9	(0.3)
Greystone	6.2	10.2	28.5	23.9	--	--	19.8	23.7	--	--
Highstreet	8.1	11.1	23.0	--	--	--	24.6	--	--	--
S&P/TSX Composite Index	6.3	9.1	22.7	20.1	21.2	16.6	19.6	18.0	24.5	(0.3)
U.S. Stock Managers	2.5	3.7	17.2	6.9	9.9	5.9	4.7	(0.4)	19.3	(8.4)
BGI Hedged Syn.US Equity	5.7	6.1	19.1	--	--	--	7.4	--	--	--
BGI US Equity	(2.0)	(2.2)	15.3	3.4	6.7	3.1	(1.4)	(2.8)	17.5	(10.3)
Northwater U.S. Mid-Cap	5.4	11.2	17.2	14.2	--	--	11.7	13.7	--	--
S&P 500	(2.0)	(2.2)	15.3	3.4	6.8	3.1	(1.4)	(2.9)	17.5	(10.4)
US Large Cap Syn Hedged	5.7	6.1	18.9	10.6	13.0	10.4	7.4	5.9	20.6	0.4
S&P Mid-Cap	(2.4)	2.4	13.4	6.6	11.2	6.3	2.6	4.2	26.3	(11.3)
S&P 400 Hedged (Est.)	5.3	11.1	17.0	14.1	17.8	13.9	11.8	13.6	29.5	(0.4)
Non-North American Mgrs.	1.4	5.8	26.0	17.0	20.6	11.0	16.6	8.8	32.1	(20.1)
Templeton	(0.7)	3.9	26.9	15.2	20.3	10.0	12.8	6.8	36.9	(23.0)
BGI Hedged Synthetic EAFE	5.9	9.8	24.3	20.4	21.1	13.0	24.2	13.1	23.1	(14.3)
MSCI EAFE	(1.6)	1.6	22.0	13.6	17.8	10.1	15.4	4.3	31.1	(16.1)
MSCI EAFE Hedged	6.2	10.0	24.7	21.2	22.0	13.4	24.8	14.5	24.1	(15.1)
Hedge Funds	2.4	5.3	9.7	6.3	--	--	5.2	4.0	--	--
Northwater	2.4	5.3	9.7	6.3	--	--	5.2	4.0	--	--
90-Day LIBOR (Hedged)	1.3	2.6	5.4	4.1	3.4	3.0	4.4	2.5	1.2	1.5
Fixed Income Managers	(2.0)	(1.2)	4.5	5.2	4.7	5.9	(0.5)	11.9	3.1	11.2
State Street	(2.0)	(1.2)	4.5	--	--	--	(0.5)	--	--	--
SC Universe	(1.7)	(0.8)	4.8	5.2	4.7	6.0	(0.7)	12.0	3.3	11.3
Policy:										
S&P/TSX Composite	20%									
S&P 500	10%									
S&P 500 Synthetic Hedged	10%									
S&P 400 Hedged	5%									
MSCI EAFE	10%									
MSCI EAFE Hedged	5%									
SC Universe	40%									

UWO Operating - Combined

Total Fund Real Returns – June 30, 2007

	1 Year	2 Years	3 Years	4 Years	5 Years	6 Years	7 years	8 Years	9 Years	10 Years
UWO Annualized Returns	15.3	11.3	10.6	11.8	9.1	7.2	5.9	6.7	6.7	7.8
CPI (Inflation)	2.2	2.3	2.1	2.3	2.3	2.1	2.3	2.4	2.3	2.1
Real Return	13.1	9.0	8.5	9.5	6.8	5.1	3.6	4.4	4.4	5.6

	Years Ending June 30									
	2007	2006	2005	2004	2003	2002	2001	2000	1999	1998
UWO Annual returns	15.3	7.5	9.1	15.4	-0.8	-2.0	-1.5	12.4	6.8	17.9
CPI (Inflation)	2.2	2.4	1.7	2.5	2.6	1.2	3.4	2.8	1.6	1.0
Real Return	13.1	5.1	7.4	12.8	-3.4	-3.2	-4.8	9.6	5.1	16.9

Notes:

(1) All returns except for the Northwater Hedge Fund are before deducting investment managers' fees.

UWO Operating - Combined

Total Fund Value Added – June 30, 2007

	1 Year	2 Years	3 Years	4 Years	5 Years	6 Years	7 years	8 Years	9 Years	10 Years
UWO Annualized Returns	15.3	11.3	10.6	11.8	9.1	7.2	5.9	6.7	6.7	7.8
Policy Return (note 1)	14.0	10.7	10.2	11.5	9.0	6.9	4.7	6.1	6.1	7.3
Value Added	1.3	0.6	0.4	0.3	0.1	0.4	1.2	0.6	0.5	0.5

	Years Ending June 30									
	2007	2006	2005	2004	2003	2002	2001	2000	1999	1998
UWO Annual returns	15.3	7.5	9.1	15.4	-0.8	-2.0	-1.5	12.4	6.8	17.9
Policy Return (note 1)	14.0	7.5	9.3	15.3	-0.1	-3.4	-7.4	16.1	6.8	18.1
Value Added	1.3	0.0	-0.2	0.1	-0.7	1.4	5.9	-3.7	0.0	-0.2

Policy Mix (End of Year):

S&P/TSX Composite (Canada)	20%	20%	20%	20%	20%	20%	20%	20%	28%	30%
S&P 500 (U.S.)	15%	15%	10%	20%	20%	20%	20%	20%	20%	20%
S&P 500 Synthetic Hedged (U.S.)	5%	5%	10%	0%	0%	0%	0%	0%	0%	0%
S&P 400 (U.S.) Hedged	5%	5%	5%	5%	5%	5%	5%	5%	1%	0%
MSCI EAFE (Non North American)	10%	10%	10%	10%	10%	10%	10%	10%	11%	10%
MSCI EAFE Hedged	5%	5%	5%	5%	5%	5%	5%	5%	0%	0%
Total Equity	60%	60%	60%	60%	60%	60%	60%	60%	60%	60%
SC Universe (Bonds) (note 2)	40%	40%	40%	40%	40%	40%	40%	40%	40%	40%
	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Notes:

(1) The UWO Policy Return is the return that would have been earned (before investment managers' fees) by investing the portfolio in index funds in proportion to the target or policy asset mix.

(2) "Fund of funds" hedge fund strategy benchmarked against SC Universe.

(3) All returns except for the Northwater Hedge Fund are before deducting investment managers' fees.

CAUBO Investment Survey - December 31, 2006

The CAUBO Treasury Committee redesigned the annual investment survey for 2006 to include additional information and analysis. Some of the new tables are attached. The information shown for UWO is a combination of the assets and returns of Foundation Western and UWO as follows:

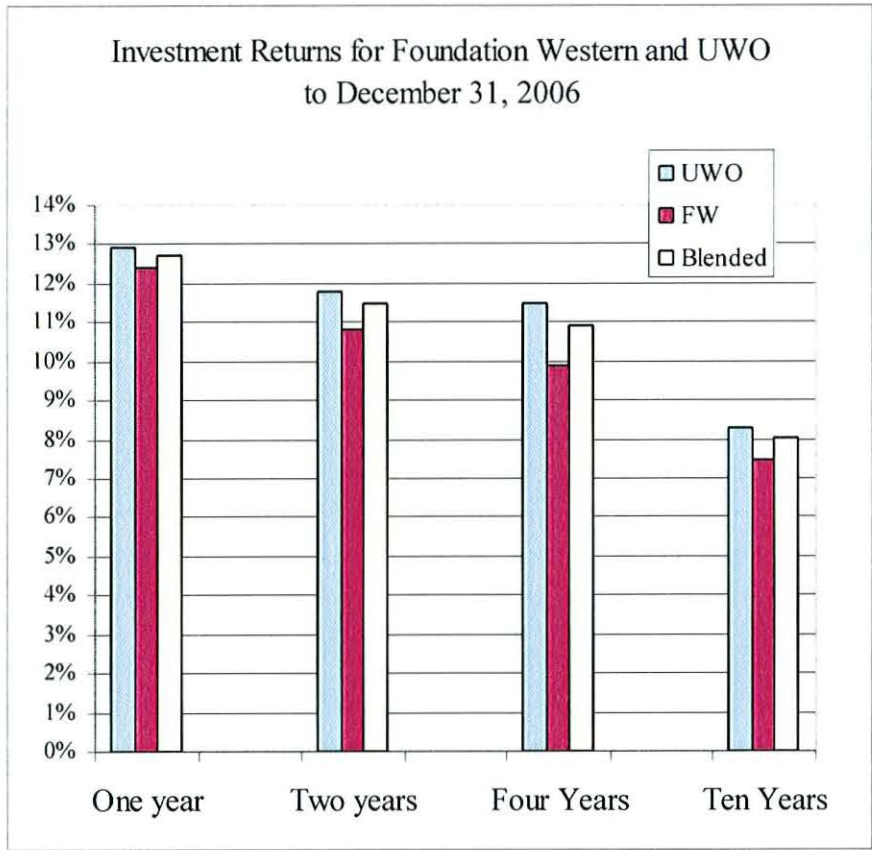
Market value of Endowments at December 31, 2006

UWO endowments*	184,433	65.3%
FW endowments	<u>98,066</u>	<u>34.7%</u>
Total	<u>282,499</u>	<u>100.0%</u>

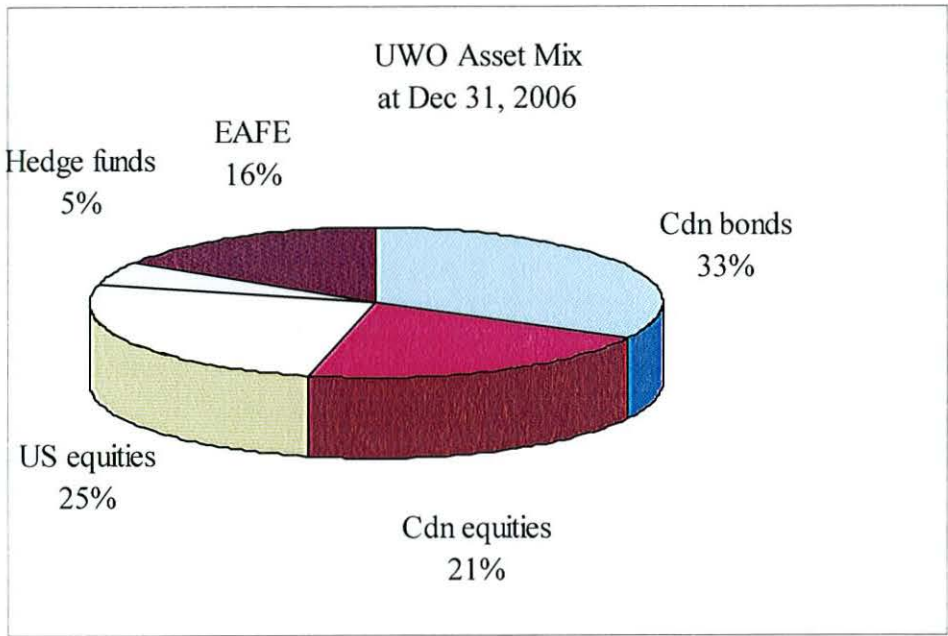
* includes \$17.4 million of OSOTF funds that are invested by UWO on behalf of FW.

Returns to December 31, 2006

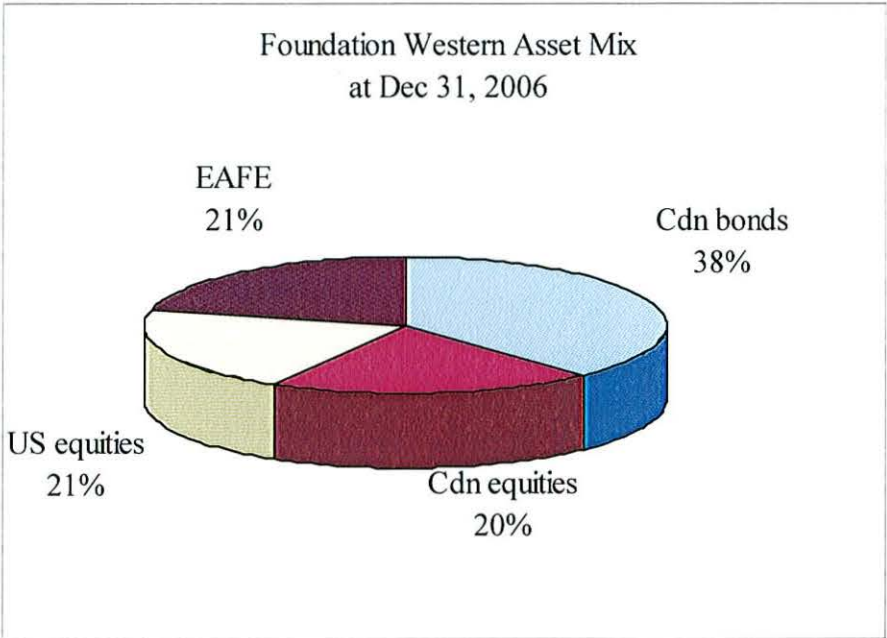
	<u>One year</u>	<u>Two years</u>	<u>Four Years</u>	<u>Ten Years</u>
UWO	12.9%	11.8%	11.5%	8.3%
FW	12.4%	10.8%	9.9%	7.5%
Blended	12.7%	11.5%	10.9%	8.0%



The asset mix for UWO was as follows:

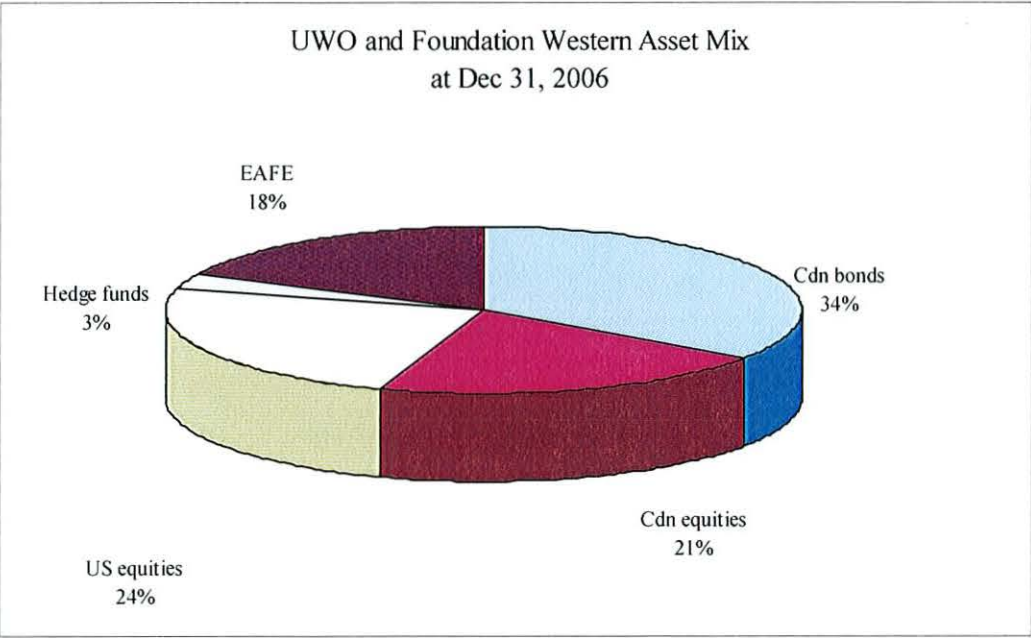


The asset mix for Foundation Western was as follows:

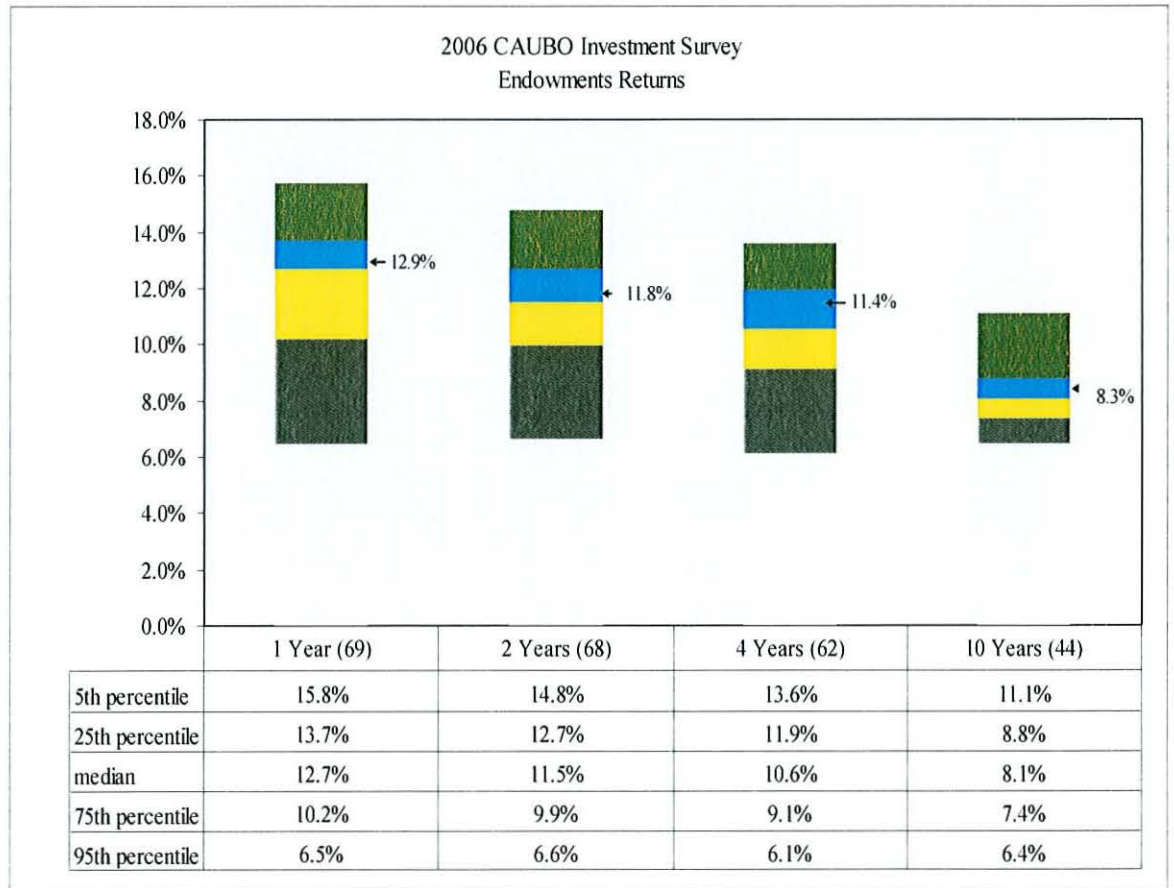


Note: Foundation Western is increasing the equity portion of investments to 66% (22% US, 22% Canadian and 22% EAFE) and reducing cash and fixed income to 34%. These changes are expected to be completed by Dec 2007.

The combined asset mix for Western and Foundation Western was as follows:



The following graph shows the range of returns reported by Canadian Universities for various periods ending December 31, 2006. The numbers in brackets indicate the number of replies for each period (e.g. 69 universities replied for the one year period and 44 for the 10 year period):



Observations:

Western's returns were above the median returns for the CAUBO survey for all periods shown above.

ENDOWMENTS

DOTATIONS

1. Annualized Rates of Return for Calendar Year ending December 31, 2006

Endowment Fund Assets	1 Year % N=69	2 Years % N=68	3 Years % N=65	4 Years % N=62	5 Years % N=58	10 Years % N=44
> \$100 Million > 100 millions \$	13.9	12.2	11.5	11.8	8.5	8.8
> \$30 Million to ≤ \$100 Million > 30 millions \$ à ≤ 100 millions \$	11.5	11.7	11.0	11.4	8.3	8.6
> \$10 Million to ≤ \$30 Million > 10 millions \$ à ≤ 30 millions \$	12.3	11.8	10.6	10.6	7.6	7.8
≤ \$10 Million ≤ 10 millions \$	9.7	9.4	8.2	7.8	6.4	7.5
Equal Weighted Average <i>Moyenne équi-pondérée</i>	12.0	11.4	10.5	10.7	7.9	8.5
Dollar Weighted Average <i>Moyenne pondérée en dollars</i>	13.4	12.3	11.6	11.9	8.2	8.7
Median <i>Médiane</i>	12.7	11.5	10.6	10.6	7.7	8.1
Fonds de dotation - actifs	1 an % N=69	2 ans % N=68	3 ans % N=65	4 ans % N=62	5 ans % N=58	10 ans % N=44

1. Taux de rendement annualisés pour l'année civile se terminant le 31 décembre 2006

Comparative indexes (provided by Russell Investments Canada)
Indices comparatifs (fournis par Investissements Russell Canada)

S&P/TSX Composite Index <i>Indice composé S&P/TSX</i>	17.3	20.6	18.6	20.5	13.1	10.0
S&P 500 Index <i>Indice S&P 500</i>	15.4	8.6	6.6	6.3	-0.3	6.7
MSCI EAFE Index <i>Indice MSCI EAEO</i>	26.4	18.5	16.3	15.7	8.4	6.3
Scotia Capital Universe Bond Index <i>Indice obligataire universel Scotia Capitaux</i>	4.1	5.3	5.9	6.1	6.6	6.9
Scotia Capital 91 Day T-Bill Index <i>Indice des bons du Trésor de 91 jours de Scotia Capitaux</i>	4.0	3.3	3.0	2.9	2.9	3.7
Canadian Consumer Price Index* <i>Indice des prix à la consommation*</i>	1.6	1.9	2.0	2.0	2.3	2.0

N: Number of institutions included in the calculation for that period.
Nombre d'établissements inclus dans le calcul pour cette période.

Averages are equal weighted except where noted.
À moins d'indication contraire, les moyennes sont équi-pondérées.

Dollar weighting is based on latest year-end market value.
La pondération en dollars s'appuie sur la valeur au marché à la fin du dernier exercice.

* Adapted from Statistics Canada data.

* Adapté des données du Statistique Canada.

ENDOWMENTS

DOTATIONS

2. Average Asset Class Allocation

Endowment Fund Assets	Equities				Fixed Income			Other
	Canadian %	US %	EAFE %	Other %	Bonds %	Cash %	Other %	%
> \$100 Million > 100 millions \$	24.5	17.3	16.2	4.3	29.1	1.6	0.9	6.0
> \$30 Million to ≤ \$100 Million > 30 millions \$ à ≤ 100 millions \$	33.6	11.7	8.1	5.5	32.4	4.3	1.9	2.5
> \$10 Million to ≤ \$30 Million > 10 millions \$ à ≤ 30 millions \$	30.3	16.5	10.7	1.5	35.9	4.0	0.2	0.9
≤ \$10 Million ≤ 10 millions \$	21.1	9.1	5.3	4.5	44.5	11.3	0.3	3.8
Equal Weighted Average <i>Moyenne équi-pondérée</i>	27.2	13.7	10.5	4.3	34.6	5.0	1.0	3.7
Dollar Weighted Average <i>Moyenne pondérée en dollars</i>	23.2	18.2	17.6	3.9	27.0	1.5	0.9	7.6
Fonds de dotation - actifs	%	%	%	%	%	%	%	%
	<i>Canadiennes</i>	<i>É.-U.</i>	<i>EAE</i>	<i>Autres</i>	<i>Obligations</i>	<i>Espèces</i>	<i>Autres</i>	<i>Autres</i>
		<i>Actions</i>			<i>Revenu fixe</i>			<i>Autres</i>

2. Répartition moyenne de l'actif

The University of Western Ontario
2007/2008 Operating Budget Summary
As at July 31, 2007
(\$000's)

	2007-2008 Budget		Increase/(Decrease) Of Revised Forecast Over Original Budget	
	As Approved By Board \$000	Revised Forecast To Date \$000	\$000	%
1 Revenues	503,919	503,919	-	0.00
2 Expenditures	506,985	512,267	5,282 (a)	1.04
3 Surplus/(Deficit) Before Reserve Transfer	(3,066)	(8,348)	(5,282)	
4 Transfer From Carryforward Reserve	8,200	13,482	5,282	
5 Surplus/(Deficit) After Reserve Transfer	5,134	5,134	-	

6 Operating Reserve, Beginning of Year	5,015	8,953	3,938
7 Surplus/(Deficit) After Reserve Transfer	5,134	5,134	-
8 Operating Reserve, End of Year	10,149	14,087	3,938

	Revenue Related	Non-Revenue Related	Total
(a) Expenditure Changes:			
a1 Carryforward Adjustment (b)		5,282	5,282
a2 Total Expenditure Changes	0	5,282	5,282

- (b) The actual April 30, 2007 carryforward was \$5,282,000 higher than the budget estimate included in the original 2007/08 budget approved by the Board.
(i.e. The actual carryforward is \$45.5 Million instead of the original forecast of \$40.2 Million.)

REQUEST FOR AMENDMENTS / ADDITIONS TO APPROVED PROGRAM SPECIFIC TUITION, PROGRAM SPECIFIC FEES, SUPPLEMENTAL FEES & OTHER CHARGES

**PROGRAM SPECIFIC TUITION AND PROGRAM SPECIFIC FEES
AMENDMENTS**

RICHARD IVEY SCHOOL OF BUSINESS

<u>Course Number</u>	<u>Course</u>	<u>Handout</u>	<u>Casebook</u>	<u>Per Student</u>	<u>Comments</u>
304F1105	Operations				
	Submitted for Approval in June	\$21.24	\$82.00	\$103.24	Additional cost associated with text that was shipped directly to students over the summer.
	Revised Request for Approval	\$42.54	\$76.65	\$119.19	
308A1105	Leading Teams				
	Submitted for Approval in June	\$33.99	\$121.00	\$154.99	Additional costs associated with externally sourced items - new for this program (Parker Team Survey, Negotiation Exercises, Change Challenge).
	Revised Request for Approval	\$62.73	\$162.43	\$225.16	

ADDITIONS

**FACULTY OF ARTS & HUMANITIES
Department of Visual Arts**

Photography 1 & 2 Materials Fee	\$30	Used to pay for photo chemicals (developer, stop, fix).
236 Printmaking Materials Fee	\$90	To pay for ink, solvents, rags and other cleaning and printing supplies.
330 Printmaking Materials Fee	\$140	To pay for ink, solvents, rags and other cleaning and printing supplies. (Full year course)

SCHOLARSHIPS/AWARDS/PRIZES

FOR INFORMATION

1. **New Awards and Scholarships**

The following have been approved by the Property and Finance Committee on behalf of the Board of Governors.

James C. Bristow Alumni Entrance Scholarship (Any Undergraduate Faculty)

Awarded annually to a first-year undergraduate student in any program, based on academic achievement (minimum 80% average). This scholarship was established by the Board of Foundation Western, with contributions from Western alumni, in recognition of James C. Bristow for his service as Executive Director of Foundation Western from 1987-2007.

Value: 1 at \$1,000
Effective: May 2007

Dorssers Family Kent County Clinical Placement Bursaries (Faculty of Graduate Studies, Health Sciences)

Awarded to full-time graduate students in Nursing, Physical Therapy, Occupational Therapy or Communication Sciences and Disorders, who are participating in a clinical placement within Kent County, based on financial need. Applicants must demonstrate a need to fund their accommodation in a placement location within Kent County for the period of their clinical/fieldwork course in addition to the cost incurred in maintaining their university location housing. Applications are available from the Faculty of Health Sciences and must be submitted by January 31. The Faculty of Health Sciences will select the recipient and at least one member of the selection committee must hold membership in the Faculty of Graduate Studies. These bursaries were established by a generous gift from the William and Mary Dorssers Family Foundation.

Effective 2007-2008 to 2009-2010: 8 at \$1,250 annually
Effective 2010-2011 only: 4 at \$1,250

OTDBASE Distance Fieldwork Bursaries in Occupational Therapy (Faculty of Graduate Studies, Occupational Therapy)

Awarded to full-time graduate students in the Occupational Therapy program who are participating in a clinical placement, based on financial need. Applicants must demonstrate a need to fund their accommodation in a Canadian placement location for the period of their clinical/fieldwork course in addition to the cost incurred in maintaining their university location housing. Applications are available from the Occupational Therapy program office and must be submitted by September 30. The Occupational Therapy program will select the recipient and at least one member of the selection committee must hold membership in the Faculty of Graduate Studies. These bursaries were established by a generous gift from Marilyn Conibear through Foundation Western.

Value: 2 at \$750 each
Effective: May 2007

HBA 1956 Award (Richard Ivey School of Business)

Awarded to a student entering HBA 1 or HBA 2 (their last or second-last year), based on academic achievement (minimum 78% average) and demonstrated financial need. Candidates must complete a financial assistance application, which is available online through the Office of the Registrar's Web site, by October 31. The Office of the Registrar will determine financial need and the HBA Scholarship Committee will make the final selection of the recipients. This award was made possible by gifts from the HBA Class of 1956 through Foundation Western.

Value: 1 at \$2,800
Effective: May 2008

This award is offered through the Ontario Trust for Student Support (OTSS) program, and recipients must meet Ontario residency requirements.

Barbara Fraser HBA Scholarship (Richard Ivey School of Business)

Awarded annually to a student entering HBA 1 at the Richard Ivey School of Business, with preference given to a female student with outstanding academic achievement (minimum 90% average) and demonstrated community leadership. The HBA Scholarship Committee will make the final selection of the recipient. The purpose of this award is to increase female enrollment at Ivey and, in turn, women in business. This scholarship was made possible by a gift from Ms. Barbara Fraser through Foundation Western.

Value: 1 at \$5,000
Effective: 2007 - 2008

C.B. (Bud) Johnston Ontario Graduate Scholarships (Faculty of Graduate Studies, Business Administration)

Awarded to full-time graduate students pursuing a PhD in Business Administration, based on academic achievement (minimum 78% average), financial need and research excellence. Only Canadian citizens are eligible for these scholarships. The recipients will be selected by a committee of graduate faculty members in the Business School, with at least one representative of the committee being a member of the Faculty of Graduate Studies. The Selection Committee will consult the Faculty of Graduate Studies to determine what other sources of graduate funding may be held concurrently with these scholarships. Recipients may not hold these scholarships beyond the normal duration for funding at the graduate level. Consult the Graduate Studies calendar for details. These scholarships were established by Ralph M. Barford (LLD'87).

Value: 5 at \$15,000 each
Effective: May 2008

Gudaitis-Bluzas Health Sector Scholarship (Faculty of Graduate Studies, Business Administration)

Awarded to a full-time student entering the Master of Business Administration program with academic merit (minimum 78% average) and demonstrated community leadership. The scholarship will be available to students in the fall cohort. The MBA Scholarship Committee of the Richard Ivey School of Business, with at least one representative who is a member of the Faculty of Graduate Studies, will make the final selection of the recipient. This scholarship is made possible by a generous gift from Edward Gudaitis, MBA '93.

Value: \$10,000
Effective: 2007-2008 to 2009-2010

Harold Crookell Memorial Scholarship (Richard Ivey School of Business)

Awarded to an international student entering HBA 1 at the Richard Ivey School of Business, based on academic achievement (minimum 80% average) and demonstrated community leadership. Applications for this award can be obtained from the HBA Program Services Office at the Richard Ivey School of Business and must be submitted by January 31. The HBA Scholarship Committee will make the final selection of the recipient. This award was established in memory of Professor Harold Crookell, who believed in diversity and the development of global business leaders.

Value: 1 at \$5,000 effective 2008-2009 to 2013-2014
1 at \$2,250 effective 2014-2015 and thereafter

HBA '85 Chris Bruyere and Joanne Fleming Memorial Scholarship (Richard Ivey School of Business)

Awarded to a full-time student entering Year 1 of the Honors Business Administration program, based on academic achievement (minimum 80% admission average) and demonstrated community leadership. The

HBA Scholarship Committee in the Richard Ivey School of Business will make the final selection of the recipient. This scholarship was established in memory of classmates Chris Bruyere and Joanne Fleming.

Value: 1 at \$5,000 per year
Effective: 2007-2008 to 2012-2013

The following awards and those listed in Appendix 1 are offered through the Ontario Graduate Scholarships (OGS) and the Ontario Graduate Scholarships in Science and Technology (OGSST) programs. Previously only the names of these scholarships were submitted to the Board for information. However, now the Faculty of Graduate Studies will be submitting the full scholarship criteria for approval and the scholarship descriptions will be made available to students on the Faculty of Graduate Studies Web site.

R.A. Barford Graduate Scholarships (Faculty of Graduate Studies, Business Administration)

Awarded to full-time graduate students who are in the Doctoral Graduate Program in Business Administration and are current holders of an Ontario Graduate Scholarship, based on academic achievement (minimum 78% average) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the Richard Ivey School of Business. These scholarships are made possible by a gift from Ralph M. Barford. In a year when there are no eligible OGS recipients, the funds will be used to support the R.A. Barford Graduate Scholarships.

Value: 5 at \$15,000
Effective: 2007-2008 only

Brock Scholarships (Faculty of Graduate Studies, Business Administration)

In the case that there are no Ontario Graduate scholarship students in Ivey's doctoral program in any given year, this scholarship will be awarded to a maximum of two full-time doctoral students at the Richard Ivey School of Business who have not received an OGS award. The award will be given to students based on academic achievement (minimum 78% average) and research excellence. The recipients will be selected by a committee of graduate faculty members in the Richard Ivey School of Business, with at least one faculty member on the selection committee who is a member of the Faculty of Graduate Studies. The selection committee will consult the Faculty of Graduate Studies to determine what other sources of graduate funding may be held concurrently with these scholarships. Recipients may not hold the Brock Scholarships beyond the normal duration for funding at the graduate level. These scholarships are made possible by a generous gift from the Bill and Anne Brock Family through The University of Western Ontario.

Value: 2 awards at \$15,000 each (Ivey will match a \$5,000 award on a 2:1 basis resulting in \$15,000 of funding per student)
Effective: May 2007

Brock Scholarships (OGS) (Faculty of Graduate Studies, Business Administration)

Awarded annually to a maximum of two full-time graduate students who are in the Doctoral Graduate Program in Business Administration and are current holders of an Ontario Graduate Scholarship, based on academic achievement (minimum 78% average) and research merit. Students may receive the Brock Scholarships for up to four years. Scholarships for up to eight students are planned. In future, if the endowment has the capacity to sustain more than two annual scholarships for their potential four-year term, the University may increase the number at its discretion. The Faculty of Graduate Studies will select the recipient in consultation with the Richard Ivey School of Business. These scholarships are made possible by a gift from the Bill and Anne Brock Family. In a year when there are no eligible OGS recipients, the funds will be used to support the Brock Scholarships.

Value: 2 at \$15,000 (to a maximum of 8 concurrent recipients)
Effective: May 2007

The Berdie & Irvin Cohen Doctoral Business Scholarship (OGS) (Faculty of Graduate Studies, Business Administration)

Awarded to full-time graduate students who are in the Doctoral Graduate Program in Business Administration and are current holders of an Ontario Graduate Scholarship, based on academic achievement (minimum 80% average) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the Richard Ivey School of Business. These scholarships are made possible by a gift from The Berdie & Irvin Cohen Fund for Doctoral Business Scholarship. In a year when there are no eligible OGS recipients, the funds will be used to support the Birdie & Irvin Cohen Doctoral Business Scholarship.

Value: 1 at \$15,000
Effective: May 2007

John F. Rankin MBA and Doctoral Scholarships (Faculty of Graduate Studies, Business Administration)

Awarded to full-time graduate students who are in the Doctoral Graduate Program in Business Administration and are current holders of an Ontario Graduate Scholarship, based on academic achievement (minimum 80% average) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the Richard Ivey School of Business. These scholarships are made possible by a gift from The Travers Fox Estate. In a year when there are no eligible OGS recipients, the funds will be used to support the John F. Rankin MBA and Doctoral Scholarships.

Value: 2 at \$15,000
Effective: 2007

2. **Scholarship and Award Revisions**

On behalf of the Board of Governors, the Property and Finance Committee has approved the following revised terms of reference for scholarships, bursaries, awards and prizes:

Larry Oehm Alumni Entrance Scholarship (Schulich School of Medicine & Dentistry, Dentistry)

Correction of spelling of name in title and criteria to:

Larry **Oehm** Alumni Entrance Scholarship

Awarded annually to a student entering Dentistry at the Schulich School of Medicine & Dentistry based on academic achievement (minimum 80% average). This scholarship was established by the Board of Foundation Western, with contributions from Western alumni, in recognition of Larry **Oehm** for his service as President of Foundation Western.

Effective: May 2007

Bob Gage Awards (Any Undergraduate Faculty)

Change in value from 2 at \$500 to 2 at \$450

Effective: May 2007

Doug Needham Memorial Award in Commercial Aviation (Faculty of Social Science, Management and Organizational Studies)

Change in number from 1 at \$1,250 to 2 at \$1,250

Effective: May 2007

Audrey and Delmar Cobban Rural Southwestern Ontario Medical Residency Award (Schulich School of Medicine & Dentistry, Medicine)

Change in value and number from 1 at \$1,200 to 2 at \$1,600

Effective: May 2007

Michael Allen Harte Award (Faculty of Law)

Change in value from: A maximum of 2 awards, up to a combined total of \$1,200.

To: A maximum of 2 awards, up to a combined total of \$1,500.
Effective: May 2007

M. E. (Peggy) Collins Memorial Awards (Any Undergraduate Faculty, Faculty of Graduate Studies)
Change in value from 2 at \$1,000 to 2 at \$1,800
Effective: May 2007

Drs. Edwin Seaborn and Richard Maurice Bucke 125th Anniversary Award in Rural Family Medicine
(Schulich School of Medicine & Dentistry, Medicine)
Change in value from: 1 at minimum \$9,000
To: 1 at \$8,500
Effective: May 2007

Helene Puskas Mayo 125th Anniversary Alumni Education Award (Faculty of Education)
Change in value from 1 at \$1,000 to 1 at \$600
Effective: May 2007

Reginald and Verena Mayo 125th Anniversary Alumni Medicine Award (Schulich School of Medicine & Dentistry, Medicine)
Change in value from 1 at \$1,000 to 1 at \$500
Effective: May 2007

Reginald and Verena Mayo 125th Anniversary Alumni Nursing Award (Faculty of Health Sciences, Nursing)
Change in value from 1 at \$1,000 to 1 at \$600
Effective: May 2007

Reginald and Verena Mayo Medicine Awards (Schulich School of Medicine & Dentistry, Medicine)
Change in value from 1 at \$3,000 to 1 at \$2,500
Effective: May 2007

Sandor and Borballa Puskas 125th Anniversary Alumni Music Award (Don Wright Faculty of Music)
Change in value from 1 at \$1,000 to 1 at \$600
Effective: May 2007

Hymn Society 125th Anniversary Alumni Music Award (Don Wright Faculty of Music)
Change in number and value from: 1 at \$1,000
To: 2 at \$1,500
Effective: May 2007

Rosslyn Kelly Swanson Arts Scholarships (Faculty of Arts and Humanities)
Change in value from 2 at \$2,000 to 2 at \$1,800
Effective: May 2007

Barry Connell Steers Scholarship (Faculty of Social Science)
Change in value from \$1,125 to \$1,500
Effective: May 2007

James F. Allen Memorial Award (Schulich School of Medicine & Dentistry)
Change in value from \$600 to \$1,400
Effective: May 2007

Suzanne Curtis Awards (Richard Ivey School of Business)
Change in number and value from 4 at \$200 to 2 at \$500
Effective: May 2007

Ralph G. Simmons Memorial Admission Scholarship (Any Undergraduate Faculty)
Change in value from \$2,000 to \$1,000
Effective: May 2007

Sidney May Memorial Bursary (Richard Ivey School of Business)
Change in value from \$1,000 to \$2,000
Effective: May 2007
'82/'83 Men's Hockey Award (Faculty of Health Sciences)
Change in value from \$1,600 to \$750
Effective: May 2007

Edwin R. Jarmain Entrance Scholarship (Any Undergraduate Faculty)
Change in value from \$1,000 to \$800
Effective: May 2007

Kranjc Family 125th Anniversary Alumni Award (Richard Ivey School of Business)
Change in value from \$450 to \$1,000
Effective: May 2007

Frank Holmes Bursaries (Any Undergraduate Faculty)
Change in value from 2 at \$1,000 to 2 at \$900
Effective: May 2007

William Jeffery Scholarship (Schulich School of Medicine & Dentistry)
Change in value from \$1,000 to \$1,200
Effective: May 2007

Mary and Barry Ullett 125th Anniversary OSOTF Award (Richard Ivey School of Business)
Change in value from \$800 to \$1,000
Effective: May 2007

Charlie Box Bursary in Kinesiology (Faculty of Health Sciences, Kinesiology)
Change in value from \$1,000 to \$900
Effective: May 2007

Meds Class of 1960 OSOTF Bursary (Schulich School of Medicine & Dentistry, Medicine)
Change in value from \$1,500 to \$800
Effective: May 2007

Beatrice Helmkey Scholarships (Faculty of Education)
Change in value from \$600 to \$500
Effective: May 2007

Arthur S. Coveart Engineering Award (Faculty of Engineering)
Change in value from: 1 at \$1,600, awarded biennially in odd years.
Change to: 1 at \$1,400, awarded biennially in odd years.
Effective: May 2007

Meds Class of 1961 Bursary (Schulich School of Medicine & Dentistry, Medicine)
Change in value from \$800 to \$950
Effective: May 2007

Dr. Marvin L. Kwitko Scholarship in the Musculoskeletal System (Schulich School of Medicine & Dentistry)
Change in value from \$700 to \$500
Effective: May 2007

Rose Gomberg Kwitko Scholarship in Philosophy (Faculty of Arts and Humanities, Philosophy)
Change in value from \$500 to \$400
Effective: May 2007

Kwitko Family Scholarship in Obstetrics and Gynaecology (Schulich School of Medicine & Dentistry)
Change in value from \$700 to \$500
Effective: May 2007

David C. Scott Memorial Award (Faculty of Law)
Change in value from \$600 to \$500
Effective: May 2007

Kevin J. Comeau Continuing Scholarship (Faculty of Law)
Change in value from: \$2,500 continuing for up to 3 years.
To: \$1,500 continuing for up to 3 years.
Effective: May 2007

Meds Class of 1970 Bursary (Schulich School of Medicine & Dentistry, Medicine)
Change in value from \$500 to \$1,400
Effective: May 2007

Barry Mitchelson Award (Any Undergraduate Faculty)
Change in value from \$1,000 to \$900
Effective: May 2007

Dorothy Emery Memorial Awards in Visual Arts Education (Faculty of Education)

Change in criteria from:

Awarded to visual arts students, one in one of the regular Elementary Divisions and one in the Secondary Division, who are registered in the Faculty of Education, have achieved an exceptional academic standing in course work, and have demonstrated excellence in art education in practice teaching. Established by the generosity of family, friends, and colleagues of the late Dorothy Emery through Foundation Western to recognize her professional skills, enthusiasm and ability to motivate students.

To: Awarded to visual arts students, one in one of the regular Elementary Divisions and one in the Secondary Division, who are registered in the Faculty of Education, have achieved an exceptional academic standing in course work, and have demonstrated excellence in art education in practice teaching. This award was established through Foundation Western by the Class of 1932 of London Normal School to recognize Dorothy Emery's professional skills, enthusiasm and her ability to motivate students. This is one of two awards offered to Visual Arts students, the intent of which is to recognize those who have achieved an exceptional academic standing in course work and have demonstrated excellence in art education in practice teaching.

Effective: May 2007

Kyle Dobrowolski Memorial Nursing Scholarship (Faculty of Health Sciences, Nursing)
Change in value from \$500 to \$450
Effective: May 2007

Tony and Betsy Little Scholarship in Visual Arts (Faculty of Arts and Humanities, Visual Arts)
Change in value from \$700 to \$900
Effective: May 2007

McArdle Family 125th Anniversary Alumni Award (Faculty of Law)

Change in value from \$500 to \$600

Effective: May 2007

William and Cecilia Davies Bursary in Business (Richard Ivey School of Business)

Change in value from \$500 to \$600

Effective: May 2007

Matthew and Cornelia Koens Scholarship (Faculty of Education)

Change in value from \$500 to \$400

Effective: May 2007

Susan Kennedy Grant Memorial Entrance Scholarship (Faculty of Graduate Studies, Journalism)

Change in value from \$1,000 to \$900

Effective: May 2008

Carswell Entrance Scholarship (Faculty of Law)

Change in value from \$900 to \$650

Effective: May 2007

Dr. Robert Nesbitt Storey Bursary in Medicine (Schulich School of Medicine & Dentistry, Medicine)

Change in value from: up to \$600

To: up to \$500

Effective: May 2007

Drs. Richard Rankin and Jainarayan Singh Diagnostic Radiology Resident Research Award (Schulich School of Medicine & Dentistry)

Change in value from \$500 to \$400

Effective: May 2007

Mitch and Leslie Frazer Scholarship (Faculty of Law)

Change in value from \$400 to \$500

Effective: May 2007

Roderick Moir McQueen Scholarship in English (Faculty of Arts and Humanities, English)

Change in value from \$500 to \$900

Effective: May 2007

Dr. John Agnos Memorial Award In Diagnostic Radiology (Schulich School of Medicine & Dentistry, Radiology)

Change in value from \$500 to \$200

Effective: May 2007

Neen Hodgins Scholarships (Faculty of Arts and Humanities, English)

Change in effective date from May 2008 to May 2007

Class of Meds '57 Entrance Accessibility Award (Schulich School of Medicine & Dentistry, Medicine)

Change in value from: \$1000 a year, continuing for 4 years.

Change to: \$1,500 a year, continuing for 4 years.

Effective: May 2007

Robert and Ruth Lumsden Undergraduate Awards in Science (Faculty of Science)

Change in number from 12 at \$1,000 to 13 at \$1,000

Effective: May 2007

Robert and Ruth Lumsden Scholarships in Science (Faculty of Science)

Change in number from 7 at \$1,000 to 8 at \$1,000

Effective: May 2007

HBA '83 Award (Richard Ivey School of Business)

Change in value from: awarded in odd years, minimum of 1 at \$1,000, continuing for 2 years

Change to: 1 at \$1,500, continuing for 2 years.

Effective: May 2007

Maritsa Brookes Concerto Awards (Don Wright Faculty of Music)

Change in value from: \$4,500 annually, up to 3 awards

Change to: up to 3 awards for a combined total of \$4,500 annually

Effective: May 2007

Milton and Helen Walker Scholarships in Mathematics Education (Faculty of Education)

Change in value from 2 at \$600 to 2 at \$500

Effective: May 2007

Milton and Helen Walker Scholarships in Science Education (Faculty of Education)

Change in value from 2 at \$600 to 2 at \$500

Effective: May 2007

Campaign Western Scholarship (Any Undergraduate Faculty)

Change in value from \$500 to \$400

Effective: May 2007

Marion and Arthur Knight OSOTF Bursary (Any Undergraduate Faculty)

Change in number and value from: 3 at \$1,000

To: 1 at \$1,500

Effective: May 2007

North Dumfries Award (Any Undergraduate Faculty)

Change in value from \$1,800 to \$1,750

Effective: May 2007

Dentistry Class of 1975 Scholarships (Schulich School of Medicine & Dentistry, Dentistry)

Change in value from:

- 3 at \$2,000 (one scholarship for a first-year student and two scholarships for students in any year)
Effective for 2006-2007 only.
- 2 at \$2,000 Effective: May 2007 and on.

To:

- 5 at \$2,000 (two scholarships for a first-year students and three scholarships for students in any year)
Effective for 2007-2008 only.
- 2 at \$2,000 Effective: May 2008 and on

Ailbe C. Flynn LLB '97 Memorial Scholarship (Faculty of Law)

Change in title to: Ailbe C. Flynn LLB '97 Memorial Scholarship in Intellectual Property, Information and Technology Law

Effective: May 2007

Alexander Hotson Memorial Award In Nursing (Faculty of Health Sciences, Nursing)

Change in criteria from: This award of \$650 is provided from the estate of the late Aletha L. Hotson and will be awarded annually to the graduating student who achieves the highest academic standing in the MScN program.

To: Awarded to the student who achieves the highest academic standing in the required nursing courses in the MScN program. Graduates of both the Fall and Spring convocations in each academic year are considered. Established by the late Miss Aletha Hotson, in memory of her father, the late Alexander Hotson, MD, graduate of Western, class of 1889.

Effective: May 2007

Toronto Crown and Bridge Study Club Awards (Schulich School of Medicine & Dentistry, Dentistry)

Change in value from:

On the recommendation of the Division of Fixed Prosthodontics:

Awarded to the first-year student who has excelled in Occlusion. \$250 and a certificate

Awarded to the second-year student who has excelled in Fixed Prosthodontics. \$250 and a certificate

Awarded to the fourth-year student who has excelled in Crown and Bridge activities throughout third and fourth years. \$500 and a certificate

To: Awarded to the fourth-year student who has excelled in Crown and Bridge activities throughout third and fourth years. \$1,000 and a certificate

Effective: May 2006-2007 only (*Change in value is to fourth-year award for one year only*)

To:

On the recommendation of the Division of Fixed Prosthodontics:

Awarded to the first-year student who has excelled in Occlusion. \$500 and a certificate

Awarded to the second-year student who has excelled in Fixed Prosthodontics. \$500 and a certificate.

Awarded to the fourth-year student who has excelled in Crown and Bridge activities throughout third and fourth years. \$500 and a certificate.

Effective: May 2007

William S. Fyfe Graduate Scholarships in Natural Resources and Sustainable Development (Faculty of Graduate Studies, Science)

Change in effective date from: 2006-2007 to 2008-2009

To: 2007-2008 to 2009-2010

Thomas H. and Sandra E. Brent Awards (Faculty of Health Sciences, Nursing)

Change in name to: Sandra E. Brent Awards

Effective: May 2007

Lilija and Janis Strauts Award in Family Medicine (Schulich School of Medicine & Dentistry, Medicine)

Change in criteria from:

Awarded to a Postgraduate Family Medicine resident based on academic achievement and professional characteristics. Candidates must be planning to practice in a rural region in Middlesex, Elgin or Oxford County. Application forms will be available for pick up in January of the year applying. Completed forms must be returned to the Department of Family Medicine by March 31, along with a statement (maximum 250 words) describing why the applicant is committed to practicing in a rural setting. The Chair of the Department of Family Medicine, in consultation with a committee, will review the statements and provide a short list of finalists to the Progression, Awards and Appeals Committee in the Schulich School of Medicine & Dentistry. This award was established by Lilija and Janis Strauts to address the need for rural family physicians and to affect positively the healthcare outcomes in their region.

To: Awarded to a Postgraduate Family Medicine resident based on academic achievement and professional characteristics. Candidates must be planning to practice in a rural region in Middlesex, Elgin or Oxford counties. Application forms will be distributed by the Department of Family Medicine to all residents in their second year of the Family Medicine residency program in February of the year applying. Completed forms must be returned to the Department of Family Medicine by March 31 along with a short essay (max 250 words) describing why he or she is committed to practicing in a rural setting. The Chair of the Department

of Family Medicine in consultation with a committee, will review the essays and select a recipient. This award was established by Liliya and Janis Strauts to address the need for rural family physicians and to positively affect the healthcare outcomes in their region.

Effective: May 2007

James G. Farmer Award (Any Undergraduate Program)

Awarded to a student entering second, third or fourth year of any faculty, on the basis of academic achievement, financial need and demonstrated athletic leadership, in that order. Applications can be accessed online through the Office of the Registrar's Web Site and must be submitted by October 31. The Office of the Registrar will determine financial need and the Faculty of Health Sciences will select the recipient in consultation with Intercollegiate Athletics. Candidates who are varsity athletes must be in compliance with current OUA and CIS regulations. Established by donations made through Foundation Western in memory of James Farmer.

This award criteria was revised in June 2007 to open the award to first year students effective 2007-2008. The donor has requested that we not change the criteria in this way. The award will revert to its original criteria as follows, but include current application information:

Cieslak Family Bursary (Faculty of Science, Computer Science)

This bursary is eligible now for matching funding through the Ontario Trust for Student Support program (OTSS) and students must meet Ontario Residency requirements.

Effective: May 2008

Alex Kennedy Memorial Scholarship (Faculty of Law)

Change in criteria from:

Awarded to a full-time undergraduate student in Year 2 or 3 in the Faculty of Law, based on academic merit (minimum B average), financial need, and a demonstrated interest in and aptitude for insurance law. Applications can be accessed through the Registrarial Services Web site and must be submitted, along with a maximum 250-word statement describing the candidate's interest in insurance law, by October 31. Registrarial Services will determine financial need and the Faculty of Law will select the recipient. Alex Kennedy was the retired General Counsel for the Insurance Bureau of Canada. For over 25 years, the Insurance Bureau of Canada and the Faculty of Law have enjoyed a close productive relationship which has established Western's reputation as a leader in the study of insurance law.

To: Awarded to a full-time undergraduate student in Year 2 or 3 in the Faculty of Law, based on academic merit (minimum A average), financial need, and a demonstrated interest in and aptitude for insurance law. Financial need applications can be accessed through the Office of the Registrar's web site and should be submitted by October 31. A letter of application for the scholarship, along with a maximum 250-word statement describing the candidate's interest in insurance law, must be submitted to the Faculty of Law by March 31. The Office of the Registrar will determine financial need and the Faculty of Law will select the recipient.

Effective: May 2006

Canadian Dental Association President's Award (Schulich School of Medicine & Dentistry, Dentistry)

Change in value from: \$500 to \$1,000

Was effective 2006-2007 only. The value reverted back to \$500 in May 2007

Godsoe Family Continuing Scholarships (Faculty of Social Science, Economics, History)

Change in criteria from: Awarded to undergraduate students in Year 2 of an Honors bachelor degree who are in an Honors Specialization in Economics or History or a double Major which includes Economics and/or History on the basis of academic achievement (minimum average of 80%) and demonstrated financial need. The scholarship will continue as long as recipients meet the criteria for the award and maintain a full-time

course load. If a recipient fails to retain the scholarship after Year 2, another student will be selected from the same year who meets the criteria. These scholarships were established by a generous donation from the Godsoe Family through Foundation Western.

To: Awarded to undergraduate students in Year 2 of an Honors bachelor degree who are in an Honors Specialization in Economics or History or a double Major which includes Economics and/or History on the basis of academic achievement (minimum average of 80%). The scholarship will continue as long as recipients meet the criteria for the award and maintain a full-time course load. If a recipient fails to retain the scholarship after Year 2, another student will be selected from the same year who meets the criteria. These scholarships were established by a generous donation from the Godsoe Family through Foundation Western.

Effective: May 2007

ASHRAE Award - Year Three Mechanical and Materials Engineering (Faculty of Engineering)

Change in value from: \$300 and a one-year ASHRAE student membership and the ASHRAE Fundamentals Handbook

To: \$500 and a one-year ASHRAE student membership and the ASHRAE Fundamentals Handbook

Effective: May 2007

Charles E. Frosst Medical Scholarship (Schulich School of Medicine & Dentistry, Medicine)

Change in value from: Bronze Medal and \$1000

To: \$1,000 and a Merck Manual

Effective: May 2006

Merck, Sharp and Dohme Award in Pharmacology (Schulich School of Medicine & Dentistry, Medicine)

Change in value from: 1 at \$1000

To: \$1,000 and a Merck Manual

Effective: May 2006

St. Willibrord Community Credit Union Admissions Scholarship (Any Undergraduate Program)

Change in name to: Libro Financial Group Admissions Scholarship

Effective: May 2007

Dr. Irene Uchida Fellowships in Life Sciences (Faculty of Graduate Studies, Biology)

Change in criteria from:

Two fellowships of \$3000 each, awarded annually to graduate students currently enrolled full-time in the Zoology or Plant Sciences Program, in the Faculty of Science. Final selection will be based on academic excellence including grade point average, publications, presentations, research progress, and evidence of financial need. Selection committee will include The Dean or Associate Dean, Faculty of Science, and the Chair and one faculty representative from both programs - Zoology and Plant Sciences. This fellowship is subject to OSOTF regulations. Full details and applications available from the Dean's Office, Faculty of Science, Rm 191 Western Science Centre Annual Deadline: June 30

To: Two fellowships of \$3000 each, awarded annually to graduate students currently enrolled full-time in the Department of Biology in the Faculty of Science. Final selection will be based on academic excellence including grade point average, publications, presentations, research progress, and evidence of financial need. The Selection committee will include the Dean or Associate Dean, Faculty of Science, and the Chair, Department of Biology. This fellowship is subject to OSOTF regulations. Full details and applications available from the Dean's Office, Faculty of Science, Room 191, Western Science Centre. Annual Deadline: June 30

Effective: May 2007

Lambton Student Fellowships in History (Faculty of Graduate Studies, History)
Change in value from 2 at \$2,775 to 2 at \$3,000
Effective: May 2007

Jessica Jean Campbell Coulson Award (Faculty of Graduate Studies, Education)
Change in criteria from:

Awarded to a full-time student pursuing an MEd or PhD in Education at Western, who is conducting research in the area of Special Education and who achieves at least a 78% average. A committee in the Faculty of Education, chaired by the Director of the Centre for Inclusive Education, with at least one representative holding membership in the Faculty of Graduate Studies, will select the recipient. This award was established through Foundation Western by Mr. David R. Campbell (BA '38) in memory of Jessica Jean Campbell Coulson.

To: Awarded to a full-time or part-time student pursuing an MEd or PhD in Education at Western, who is conducting research in the area of Special Education and who achieves at least a 78% average. A committee in the Faculty of Education, chaired by the Director of the Centre for Inclusive Education, with at least one representative holding membership in the Faculty of Graduate Studies, will select the recipient. This award was established through Foundation Western by Mr. David R. Campbell (BA '38) in memory of Jessica Jean Campbell Coulson.

Effective: May 2007

Dr. Martin W. Stapleton Award (Schulich School of Medicine & Dentistry, Medicine)

Change in value from: \$3000

To: \$1,300 for 2007-2008 and \$2500 for 2008-2009 onwards

Dr. Mohammed (Moody) Edwards Essex County Accessibility Award (Schulich School of Medicine & Dentistry, Medicine)

Change in value from: \$5000

To: \$1500 for 2007-2008 and \$4000 for 2008-2009 onwards

Gudewill HBA Entrepreneurial Award (Richard Ivey School of Business)

Change in title and criteria from:

Awarded to an outstanding student in HBA year one who is admitted through the Academic Excellence Opportunity (AEO) who demonstrates financial need and an entrepreneurial orientation. The year one HBA award will continue into HBA year two if the student continues to attain the qualifying AEO criteria. Established through the generosity of the Gudewill Family.

Value: 1 at \$5,000 continuing for 2 years

To: Gudewill Scholarships (Richard Ivey School of Business) Awarded annually to students entering HBA 1 and HBA 2 at the Richard Ivey School of Business with academic achievement (minimum 80% average) and who have graduated from a publicly funded high school. Preference will be given to students who have demonstrated an interest in entrepreneurship and/or are residents of British Columbia. Applications for this scholarship can be obtained from the HBA Program Services Office at the Richard Ivey School of Business and must be submitted by January 31. The HBA Scholarship Committee will make the final selection of the recipients. These scholarships were established with a generous gift from the Gudewill Family to help recruit and retain the best and brightest students from British Columbia to the Richard Ivey School of Business.

Value: 1 at \$10,000 for an HBA 1 student and 1 at \$10,000 for an HBA 2 student (*not a continuing award*)
Effective: 2007-2008 to 2011-2012

Yeandle Family HBA Awards (Richard Ivey School of Business)

Change in criteria from:

Awarded to students entering HBA 1 or HBA 2 with a minimum 78% average and demonstrated community

leadership. Applicants must apply through the online admission application process by January 31. The HBA Scholarship Committee will make the final selection of the recipient. These awards were established by a bequest from the Yeandle Family through Foundation Western.

To: Awarded to students entering HBA 1 or HBA 2 at the Richard Ivey School of Business with academic achievement and demonstrated community leadership. Applications are available through the HBA Program Office. The deadline for applications is January 31. The HBA Scholarship Committee will make the final selection of the recipient. These awards were established by a bequest from the Yeandle Family through Foundation Western.

Effective: May 2007

Rollick Beverage Company Inc. Scholarship (Richard Ivey School of Business)

Change in number from 1 at \$1185 to 2 at \$1185

Effective: 2007-2008 only. Number and value will revert back to 1 at \$1185 for 2008-2009 onwards

3M Canada Company Bursary in Science (Faculty of Science)

Change in criteria from: Awarded to a full-time undergraduate student in any program offered by the Faculty of Science who demonstrates financial need. This bursary is made possible by the generosity of 3M Canada Company.

To: Awarded to a full-time undergraduate student in any program offered by the Faculty of Science who demonstrates financial need and has a minimum 75% average. This bursary is made possible by the generosity of 3M Canada Company.

Effective: May 2007

3. **Renumbering Courses for May 2008**

Attached as Appendix 2 is a list of some of the awards which will be revised for May 2008 because they include references to three-digit course numbers. The University is moving to four-digit course numbers for September 2008.

4. **Discontinued Awards**

The following awards will be discontinued, effective **May 2007**:

- Peter Powell Class of 1959 Awards (Richard Ivey School of Business)
- Engineering Grad Pact Bursary (Faculty of Engineering)
- First Nations Entrance Scholarship (Faculty of Law)
- Charles Drake International Medical Outreach Program Assistance (Schulich School of Medicine & Dentistry, Medicine)
- The Ontario Municipal Administrators' Association Award of Excellence (Faculty of Social Science, Political Science)
- Faculty Association Scholarship J.A.F. Stevenson Memorial Scholarship (Any Undergraduate Program)
- Professional Association of Interns and Residents of Ontario PAIRO Trust Fund Award (Schulich School of Medicine & Dentistry, Medicine)
- UWO Faculty Association Scholarships (Any Undergraduate Program)
- McKenzie Lake Lawyers LLP Corporate and Commercial Award (Faculty of Law)
- McKenzie Lake Lawyers LLP Award in Land Use Planning (Faculty of Law)
- The A.R. Wood Scholarship (Richard Ivey School of Business)

The following awards will be discontinued, effective **May 2011**:

- Josiah and Sarah Hoover Music Admission Scholarship (Don Wright Faculty of Music)
- Joseph A. and Lea H. Rawson Music Admission Scholarships (Don Wright Faculty of Music)

OGS/ST for 2007-2008 for Senate/Board Approval

Faculty	Scholarship Name	Criteria	Value	Effective Date
Faculty of Arts and Humanities	Dr. Allison Hartz Johnson Ontario Graduate Scholarship	Awarded to a graduate student studying Philosophy of Science at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the Chair of the Department of Philosophy in the Faculty of Arts and Humanities. This scholarship is made possible by a generous gift from The Rotman Family Foundation in memory of Dr. Allison Hartz Johnson.	Value: 1 at \$15,000	Effective: 2007-2008 to 2017-2018
Faculty of Arts and Humanities	Dr. George Edward Hall Ontario Graduate Scholarship	Awarded to a graduate student studying Philosophy of Science at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the Chair of the Department of Philosophy in the Faculty of Arts and Humanities. This scholarship is made possible by a generous gift from The Rotman Family Foundation in memory of Dr. George Edward Hall.	Value: 1 at \$15,000	Effective: 2007-2008 to 2017-2019
Faculty of Arts and Humanities	Graham and Gale Wright Ontario Graduate Scholarships	Awarded to full-time graduate students studying in the Faculty of Arts and Humanities at the Masters or Doctoral level who are current holders of an Ontario Graduate Scholarship based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipients in consultation with the Faculty of Arts and Humanities. These scholarships were established by a generous gift from Graham and Gale Wright through Foundation Western.	Value: 3 at \$15,000	Effective: 2007-2008
Faculty of Arts and Humanities	Henry White Kinnear Foundation Ontario Graduate Scholarship	Awarded to a full-time graduate student studying in the Faculty of Arts and Humanities at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipients in consultation with the Faculty of Arts and Humanities. This scholarship was established by a generous gift from the Henry White Kinnear Foundation.	Value: 1 at \$15,000	Effective: 2007-2008
Faculty of Arts and Humanities	James and Margaret Fleck Ontario Graduate Scholarship	Awarded to a graduate student studying Philosophy at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the Chair of the Department of Philosophy in the Faculty of Arts and Humanities. This scholarship is made possible by a generous gift from James and Margaret Fleck through Foundation Western.	Value: 1 at \$15,000	Effective: 2007-2008
Faculty of Arts and Humanities	Mary E. Turnbull Ontario Graduate Scholarship	Awarded to a graduate student studying in English in the Faculty of Arts and Humanities at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the Faculty of Arts and Humanities. This scholarship is made possible by a generous gift from The Turnbull Family in memory of Mary E. Turnbull.	Value: 1 at \$15,000	Effective: 2007-2008 to 2009-2010
Faculty of Engineering	General Motors of Canada Limited Graduate Scholarship (OGS/ST)	Awarded to a graduate student studying in the Faculty of Engineering at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. Preference will be given to students conducting research in Electrical, Computer or Software Engineering. If possible, recipients will meet one or more of the following additional criteria: are female; are members of a visible, cultural, or ethnic minority; have a physical disability; are the first members of their families to attend a university. The Faculty of Graduate Studies will select the recipient in consultation with the Faculty of Engineering. This scholarship is made possible by a generous gift from General Motors of Canada Limited.	Value: 1 at \$15,000	Effective: 2007-2008
Faculty of Engineering	Richard Dillon Ontario Graduate Scholarships	Awarded to full-time graduate students studying in the Faculty of Engineering at the Masters or Doctoral level who are current holders of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipients in consultation with the Faculty of Engineering. These scholarships were established by a generous gift from the T.R. Meighen Family Foundation, Michael & Kelly Meighen, through Foundation Western.	Value: 3 at \$15,000	Effective: 2007-2008

OGS/ST for 2007-2008 for Senate/Board Approval

Faculty	Scholarship Name	Criteria	Value	Effective Date
Faculty of Engineering	Ron Yamada Ontario Graduate Scholarships	Awarded to full-time graduate students studying in the Faculty of Engineering at the Masters or Doctoral level who are current holders of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipients in consultation with the Faculty of Engineering. These scholarships were established by a generous gift from MDS Inc. through Foundation Western.	Value: 3 at \$15,000	Effective: 2007-2008
Faculty of Health Sciences	Arthur and Sonia Labatt Ontario Graduate Scholarships	Awarded to full-time graduate students in the Faculty of Health Sciences at the Masters or Doctoral level who are current holders of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipients in consultation with the Faculty of Health Sciences. These scholarships were established by a generous gift from Arthur and Sonia Labatt.	Value: 1 at \$15,000, effective 2007-2008, growing to 8 at \$15,000 by 2015-2016	Effective: 2007-2008
Faculty of Health Sciences	Catherine R. Aikin - Western Fund Ontario Graduate Scholarship	Awarded to a graduate student in Nursing at the Doctoral level who is a current holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the School of Nursing. This scholarship is made possible by a generous gift from the family and friends of Catherine R. Aikin and donations to the Western Fund.	Value: 1 at \$15,000	Effective: 2007-2008
Faculty of Health Sciences	ListenUp Canada Ontario Graduate Scholarships	Awarded to full-time graduate students in the MSc Communication Sciences and Disorders (Audiology) or in the MSc or PhD Health & Rehabilitation Sciences (Hearing Science) programs who are current holders of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipients in consultation with Communication Sciences and Disorders and Health & Rehabilitation Sciences. These scholarships were established by a generous gift from ListenUp Canada.	Value: 5 at \$15,000	Effective: 2007-2008 to 2009-2010
Faculty of Health Sciences	Northwater Capital Management - Western Fund Ontario Graduate Scholarship	Awarded to a full-time graduate student in Kinesiology at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the Faculty of Health Sciences. This scholarship was established by a generous gift from Northwater Capital Management and donations to the Western Fund.	Value: 1 at \$15,000	Effective: 2007-2008
Faculty of Information and Media Studies	Reader's Digest Foundation - Western Fund Ontario Graduate Scholarship	Awarded to a full-time graduate student in the Faculty of Information and Media Studies conducting research in print journalism at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the Faculty of Information and Media Studies. This scholarship was made possible by a generous gift from Reader's Digest Foundation and donations to the Western Fund.	Value: 1 at \$15,000	Effective: 2007-2008
Schulich School of Medicine & Dentistry	Canadian Arthritis Network Ontario Graduate Scholarships	Awarded to full-time graduate students in any program at the Masters or Doctoral level who are supervised by a faculty member funded by the Canadian Arthritis Network and are current holders of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipients in consultation with the Skeletal Biology Laboratories (currently known as CIHR Group in Skeletal Development & Remodelling). These scholarships were established by a generous gift from the Canadian Arthritis Network.	Value: 2 at \$15,000	Effective: 2007-2008 only
Schulich School of Medicine & Dentistry	Charles Drake Ontario Graduate Scholarships	Awarded to full-time graduate students conducting research in Neuroscience, Diabetes or Ophthalmology at the Masters or Doctoral level who are current holders of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipients in consultation with the Neuroscience program, Schulich School of Medicine & Dentistry or the Faculty of Health Sciences as appropriate. These scholarships were established by a generous gift from John and Mary Beth Drake.	Value: 2 at \$15,000	Effective: 2007-2008 only

OGS/ST for 2007-2008 for Senate/Board Approval

Faculty	Scholarship Name	Criteria	Value	Effective Date
Schulich School of Medicine & Dentistry	Dennis Walker Ontario Graduate Scholarship	Awarded to full-time graduate students conducting research in the Schulich School of Medicine & Dentistry at the Masters or Doctoral level who are current holders of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipients in consultation with the Schulich School of Medicine & Dentistry. These scholarships are made possible by a generous gift from Dr. Dennis M. Walker.	Value: 2 at \$15,000	Effective: 2007-2008 to 2012-2013
Schulich School of Medicine & Dentistry	Dr. John Robinson - Western Fund Ontario Graduate Scholarship	Awarded to a graduate student conducting research in Microbiology in the Schulich School of Medicine & Dentistry at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the Schulich School of Medicine & Dentistry. This scholarship is made possible by a gift from the Family of Dr. John Robinson and donations to the Western Fund.	Value: 1 at \$15,000	Effective: 2007-2008
Schulich School of Medicine & Dentistry	Heart & Stroke Foundation of London & Middlesex - G.H. Wood Foundation Ontario Graduate Scholarships	Awarded to full-time graduate students conducting research related to heart and stroke at the Masters or Doctoral level who are current holders of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipients in consultation with the Schulich School of Medicine & Dentistry and the Faculty of Health Sciences. These scholarships are made possible by a generous gift from the Heart and Stroke Foundation of Ontario and the Geoffrey H. Wood Foundation.	Value: 2 at \$15,000	Effective: 2007-2008 only
Schulich School of Medicine & Dentistry	Heart & Stroke Foundation of London & Middlesex - Western Fund Ontario Graduate Scholarships	Awarded to full-time graduate students conducting research related to heart and stroke at the Masters or Doctoral level who are current holders of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipients in consultation with the Schulich School of Medicine & Dentistry and the Faculty of Health Sciences. These scholarships are made possible by a generous gift from the Heart and Stroke Foundation of Ontario and donations to the Western Fund.	Value: 3 at \$15,000, Effective: 2007-2008 only	Value: 5 at \$15,000, effective 2008-2009 only
Schulich School of Medicine & Dentistry	McConnell Family Ontario Graduate Scholarship	Awarded to a graduate student conducting research in Neuroscience at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the Neuroscience program. This scholarship was established by a generous gift from the McConnell Family through Foundation Western.	Value: 1 at \$15,000	Effective: 2007-2008
Schulich School of Medicine & Dentistry	Raie and Nathan Pollack - Western Fund Ontario Graduate Scholarship	Awarded to a graduate student conducting research in the Schulich School of Medicine & Dentistry at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the Schulich School of Medicine & Dentistry. This scholarship is made possible by a gift from Raie and Nathan Pollack through Foundation Western and donations to the Western Fund.	Value: 1 at \$15,000	Effective: 2007-2008
Faculty of Science	Andrew H. Fitzsimons Ontario Graduate Scholarship	Awarded to a graduate student conducting research in Chemistry at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the Faculty of Science. This scholarship was established by a generous gift from Andrew H. Fitzsimons through Foundation Western.	Value: 1 at \$15,000	Effective: 2007-2008
Faculty of Science	Betty Spencer Ontario Graduate Scholarship	Awarded to a graduate student conducting research in the Faculty of Science at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the Faculty of Science. This scholarship was established by a generous gift from the estate of Betty Spencer through Foundation Western.	Value: 1 at \$15,000	Effective: 2007-2008

OGS/ST for 2007-2008 for Senate/Board Approval

Faculty	Scholarship Name	Criteria	Value	Effective Date
Faculty of Science	Carl and Agnes Santoni Graduate Scholarship in Mathematics (OGS)	Awarded to a full-time graduate student who is in the Masters or Doctoral Graduate Program in Mathematics based on academic achievement (minimum 78% average) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the Chair in the Department of Mathematics. This scholarship is made possible by a gift from Carl and Agnes Santoni. In awarding this scholarship, preference is given to students funded through OGS/OGSST. In a year when there is no eligible OGS/OGSST recipient, the funds may be used to support a student conducting research in Mathematics.	Value: 1 at \$15,000	Effective: 2007-2008 to 2009-2010
Faculty of Science	Dorothy J. Emery Ontario Graduate Scholarships	Awarded to graduate students conducting research in the Faculty of Science at the Masters or Doctoral level who are current holders of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipients in consultation with the Faculty of Science. These scholarships are made possible by a generous gift from Dorothy J. Emery.	Value: 2 at \$15,000	Effective: 2007-2008 to 2010-2011
Faculty of Science	J.P. Bickell Foundation Ontario Graduate Scholarship	Awarded to a full-time graduate student in any year of a Masters or Doctoral program who is pursuing research related to mining and is the holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the Faculty of Science and the Faculty of Engineering. This scholarship was established by a generous gift from the J.P Bickell Foundation (National Trust Co.Trustee).	Value: 1 at \$15,000	Effective: 2007-2008
Faculty of Science	Robert E. Ferguson Ontario Graduate Scholarship	Awarded to a graduate student conducting research in Computer Science at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the Faculty of Science. This scholarship was established by a generous gift from Robert E. Ferguson through Foundation Western.	Value: 1 at \$15,000	Effective: 2007-2008
Faculty of Social Science	France and Andre Desmarais Ontario Graduate Scholarship	Awarded to a graduate student conducting research in the Faculty of Social Science at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the Faculty of Social Science. This scholarship was established by a generous gift from France and Andre Desmarais.	Value: 1 at \$15,000	Effective: 2007-2008
Faculty of Social Science	Gracey Family Graduate Scholarships (OGS)	Awarded to full-time Masters or Doctoral students in a graduate program whose research supports the activities of the Nationalism and Ethnic Conflict Research Group and are also current holders of an Ontario Graduate Scholarship. Selection will be based on academic achievement (minimum 78% average) and research merit. The Faculty of Graduate Studies will select the recipients in consultation with the Department of Political Science. These scholarships are made possible by a gift from Mr. Donald P. Gracey.	Value: 2 at \$15,000	Effective: 2007-2008 only
Faculty of Social Science	Jackman Foundation Ontario Graduate Scholarship	Awarded to a graduate student conducting research in Clinical Psychology at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the Department of Psychology. This scholarship was established by a generous gift from the Jackman Foundation.	Value: 1 at \$15,000	Effective: 2007-2008 only
Faculty of Social Science	Ontario Graduate Scholarship in Social Science - Western Fund	Awarded to a graduate student conducting research in the Faculty of Social Science at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the Faculty of Social Science. This scholarship was established by a generous gift from an anonymous donor and donations to the Western Fund.	Value: 1 at \$15,000	Effective: 2007-2008
Faculty of Social Science	Paul Greenberg and Marla Choslovsky Ontario Graduate Scholarship	Awarded to a full-time graduate student in Economics at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with the Department of Economics. This scholarship was made possible by a generous gift from Paul Greenberg and Marla Choslovsky.	Value: 1 at \$15,000	Effective: 2007-2008 to 2011-2012

OGS/ST for 2007-2008 for Senate/Board Approval

Faculty	Scholarship Name	Criteria	Value	Effective Date
Cross Faculty	Allan and Donna Lansing - Western Fund Ontario Graduate Scholarship	Awarded to a graduate student in any program at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient. This scholarship is made possible by a generous gift from Allan and Donna Lansing through Foundation Western and donations to the Western Fund.	Value: 1 at \$15,000	Effective: 2007-2008
Cross Faculty	BMO Financial Group Ontario Graduate Scholarships	Awarded to full-time graduate students in any program at the Masters or Doctoral level who are current holders of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipients. These scholarships are made possible by a generous gift from BMO Financial Group.	Value: 13 at \$15,000. The number of scholarships offered will vary based on funds available.	Effective: 2007-2008
Cross Faculty	Harold and Margaret Rice - Western Fund Ontario Graduate Scholarship	Awarded to a graduate student in any program at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient. This scholarship is made possible by a generous gift from Harold and Margaret Rice through Foundation Western and donations to the Western Fund.	Value: 1 at \$15,000	Effective: 2007-2008
Cross Faculty	Jean and Angus McKenzie Family Endowment - Western Fund Ontario Graduate Scholarship	Awarded to a graduate student in any program at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient. This scholarship is made possible by a generous gift from the Jean and Angus McKenzie Family Endowment at Foundation Western and donations to the Western Fund.	Value: 1 at \$15,000	Effective: 2007-2008
Cross Faculty	Jim Pattison Ontario Graduate Scholarship	Awarded to a full-time graduate student in any program at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient. This scholarship was made possible by a generous gift from Mr. Jim Pattison.	Value: 1 at \$15,000	Effective: 2007-2008
Cross Faculty	Malcolm Cromarty Graduate Scholarships in Education	Awarded to full-time graduate students in Education studying at the Masters or Doctoral level based on academic achievement (minimum 78%) and research merit. Recipients will be selected by the Faculty of Education with at least one member of the selection committee holding membership in the Faculty of Graduate Studies. These scholarships are made possible by a generous gift from John Moore and Christine Cromarty.	Value: approximately \$5,000 each. The number available will be determined after the Malcolm Cromarty Graduate Scholarships in Education (OGS) have been selected.	Effective: 2007-2008 only
Cross Faculty	Malcolm Cromarty Graduate Scholarships in Education (OGS)	Awarded to full-time graduate students in Education studying at the Masters or Doctoral level who are current holders of an Ontario Graduate Scholarship based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipients in consultation with the Faculty of Education. These scholarships are made possible by a generous gift from John Moore and Christine Cromarty.	Value: up to 5 at \$15,000 each	Effective: 2007-2008 only
Cross Faculty	Mary Louise and Dr. Peter Cameron Ontario Graduate Scholarship	Awarded to a graduate student, with a mobility, hearing or visual disability, in any program at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient. This scholarship was made possible by a generous gift from Memorial Funeral Services Cooperative of London and District in honour of Dr. and Mrs. Cameron through Foundation Western.	Value: 1 at \$15,000	Effective: 2007-2008

OGS/ST for 2007-2008 for Senate/Board Approval

Faculty	Scholarship Name	Criteria	Value	Effective Date
Cross Faculty	Newalta Corporation - Western Fund Ontario Graduate Scholarship	Awarded to a full-time graduate student in Environmental Science at the Masters or Doctoral level, working with Drs. Sullivan and Kopp in the Boundary Layer Wind Tunnel project, who is a current holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient in consultation with Drs. Sullivan and Kopp. This scholarship was made possible by a generous gift from Newalta Corporation and donations to the Western Fund.	Value: 1 at \$15,000	Effective: 2007-2008
Cross Faculty	Ontario Graduate Scholarship - Anonymous Donor	Awarded to a graduate student in any program at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient. This scholarship is made possible by a generous gift from an anonymous donor.	Value: 1 at \$15,000	Effective: 2007-2008 only
Cross Faculty	Richard Konrad Ontario Graduate Scholarships	Awarded to Masters or Doctoral students who are recipients of an Ontario Graduate Scholarship (OGS) or an Ontario Graduate Scholarship in Science and Technology (OGSST). Preference will be given to students conducting research in Science who are also visa students or permanent residents. If preferred recipients are not found, then recipients who are either in Science, or are visa students or permanent residents from any faculty, will be selected. The Faculty of Graduate Studies will select the recipients in consultation with the Faculty of Science. These scholarships were established by a gift from Richard Konrad through Foundation Western.	Value: 11 at \$15,000	Effective: 2007-2008
Cross Faculty	Sarah McRobert Ontario Graduate Scholarships	Awarded to full-time graduate students in any program at the Masters or Doctoral level who are current holders of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipients. These scholarships were made possible by a generous gift from Sarah McRobert through Foundation Western.	Value: 2 at \$15,000	Effective: 2007-2008
Cross Faculty	Simon Leung Ontario Graduate Scholarship	Awarded to full-time graduate students in any program at the Masters or Doctoral level who are current holders of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipients. These scholarships were made possible by a generous gift from Mr. Lim Kin Simon Leung.	Value: 1 at \$15,000	Effective: 2007-2008 to 2010-2011
Cross Faculty	Wallace and Margaret McCain Ontario Graduate Scholarship	Awarded to a full-time graduate student in any program at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient. This scholarship was made possible by a generous gift from Wallace and Margaret McCain.	Value: 1 at \$15,000	Effective: 2007-2008
Cross Faculty	Wendel R. Smith Ontario Graduate Scholarships	Awarded to full-time graduate students in any program at the Masters or Doctoral level who are current holders of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipients. These scholarships were made possible by a generous bequest from Wendel R. Smith through Foundation Western.	Value: 3 at \$15,000	Effective: 2007-2008
Cross Faculty	Western Fund Ontario Graduate Scholarships	Awarded to full-time graduate students in any program at the Masters or Doctoral level who are current holders of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipients. These scholarships were made possible by generous gifts through the Western Fund.	Value: \$15,000 each, number varies	Effective: 2007-2008
Cross Faculty	William A. McKenzie - Western Fund Ontario Graduate Scholarship	Awarded to a full-time graduate student in any program at the Masters or Doctoral level who is a current holder of an Ontario Graduate Scholarship or an Ontario Graduate Scholarship in Science and Technology based on academic achievement (minimum 78%) and research merit. The Faculty of Graduate Studies will select the recipient. This scholarship was made possible by a generous gift from William A. McKenzie through Foundation Western and donations to the Western Fund.	Value: 1 at \$15,000	Effective: 2007-2008

Course Renumbering of Scholarships, Awards and Prizes

Effective May 1, 2008, scholarships, awards and prizes that include references to specific course numbers will have to be revised to reflect the University's move toward four-digit course numbers. The following awards include these references. In some cases there have been editorial changes to the wording. In all cases the new course numbers are highlighted.

FACULTY OF ARTS AND HUMANITIES

Department of Classical Studies Scholarship for Honors Double Major Second Year

Awarded to the full-time student registered in the Faculty of Arts and Humanities with the highest standing in Year 2 of an Honors Bachelor degree program that includes a Major in Classical Studies. The student must currently be enrolled in the Honors Bachelor degree and have an average in the Major in Classical Studies of at least 80%. Students must be registered in at least 2.0 Classical Studies courses at the ~~200~~ 2200-level or above to be considered. The Department of Classical Studies will select the recipient. Established through the Pickard Fund in Classical Studies held at Foundation Western.

Department of Classical Studies Scholarship for Honors Double Major Third Year

Awarded to the full-time student registered in the Faculty of Arts and Humanities with the highest standing in Year 3 of an Honors Bachelor degree program that includes a Major in Classical Studies. The student must currently be enrolled in the Honors Bachelor degree and have an average in the Major in Classical Studies of at least 80%. Students must be registered in at least 2.0 or more Classical Studies courses at the ~~200~~ 2200-level or above to be considered. The Department of Classical Studies will select the recipient. Established through the Pickard Fund in Classical Studies held at Foundation Western.

Department of Classical Studies Scholarship in Elementary Latin

Awarded to the student with the highest mark in Latin 1000 ~~022~~ (or the former Latin ~~002~~), provided that the student has a grade of at least 80%. The Department of Classical Studies will select the recipient. Established through the Pickard Fund in Classical Studies held at Foundation Western.

Department of Classical Studies Scholarship in Advanced Latin

Awarded to the student with the highest mark in Latin 2000 ~~222~~ (or the former Latin ~~020~~), provided that the student has a grade of at least 80%. The Department of Classical Studies will select the recipient. Established through the Pickard Fund in Classical Studies held at Foundation Western.

Kathleen V. Pearce Scholarship

Awarded to the student proceeding to the third year of an Honors Specialization in English Language and Literature with the highest standing in English courses at the ~~200~~ 2200-level or above in second year. Established through Foundation Western Endowed by William M. Pearce in memory of his wife Kathleen, MA '65 English, through Foundation Western.

Renata Purekevich Scholarship

Awarded to a student with the highest marks in German ~~030~~ 1030, ~~030w/x~~ 1030W/X, ~~200~~ 2200 or German ~~200w/x~~ 2200W/X who is entering the second year of an Honors Bachelor degree with either an Honors Specialization in German Language and Literature or double Major including German Language and Literature. This scholarship was established through Foundation Western in memory of Renata Purekevich (PhD '72).

Special German Honors Scholarship

Awarded to a student entering the second year in an Honors Bachelor degree with either an Honors Specialization in German Language and Literature or a double Major including German on the basis of grades in German ~~200~~ 2200 or ~~200w/x~~ 2200W/X. There is no restriction based on citizenship, native language or language spoken by the student's family; however, preference is given to students who have received their secondary school education outside of German-speaking countries. Endowed by Raymond Immerwahr, Professor of German from 1970-1978.

Sarah J. Shorten UWO Faculty Association Scholarship

Awarded to a student with the best academic performance in second year of a program in Philosophy, who has taken at least three full Philosophy courses, no more than one of which is at the ~~020~~ 1000-level. Endowed in memory of the late Sarah Shorten by colleagues and friends.

Marie Smibert Writing Program Student Achievement Prizes

Awarded annually to undergraduate students in the following four divisions of the Writing Program:

1. Introductory essay writing (Writing ~~101~~ 2101F/G and ~~121~~ 2121F/G)
2. Advanced essay writing (Writing ~~202~~ 2202F/G)
3. Non-fiction writing (Writing ~~203~~ 2203F/G and special topics courses)
4. Technical writing (Writing ~~205~~ 2205F/G, ~~207~~ 2207F/G, ~~209~~ 2209F/G, and special topics courses).

Students submit their entries from Writing Program courses taken during the summer, fall and winter terms to the Writing Program office for evaluation. Deadline for submissions is April 30.

FACULTY OF ENGINEERING

Accolade Consulting Group Inc. Award

Awarded to a full-time fourth-year student, graduating from the Department of Chemical and Biochemical Engineering, who has achieved a minimum 80% average in his/her design report in CBE 4497 (Chemical Process and Plant Design) and has demonstrated outstanding oral and written communications skills in his/her fourth-year capstone design presentation. The recipient should also exhibit leadership qualities through involvement in extra-curricular activities. The Department of Chemical and Biochemical Engineering will select the recipient. Applications can be obtained from the Faculty of Engineering and must be submitted along with a short statement (maximum 250 words) by April 30. This award is made possible by a gift from the Accolade Consulting Group Inc.

ASHRAE Award - Year Four Mechanical and Materials Engineering

Awarded annually to a student in his/her graduating year in the Department of Mechanical and Materials Engineering based on the candidate's marks in HVAC I (MME 4483A) and HVAC II (MME 4460B). The student must have a minimum Year Weighted Average of 70% and taken five full courses during the year.

ASHRAE Award - Year Three Mechanical and Materials Engineering

Awarded annually to a student in his/her third year in the Department of Mechanical and Materials Engineering based on the candidate's mark in Thermodynamics II (MME 3334A/B), financial need, continuing educational studies, and career goals in the heating, refrigeration and air conditioning profession. The student must have a minimum Year Weighted Average of 70% and have taken five full courses during the year. Students repeating MME 3334A/B or the former MME 258a/b do not qualify. Applications can be accessed online through the Office of the Registrar web site. The online application and an essay (maximum 250 words) must be submitted by September 30. The Faculty of Engineering will select the recipient once financial need has been determined by the Office of the Registrar. This award is made possible by the generosity of ASHRAE, London Chapter, Canada.

FACULTY OF SCIENCE

London Life Actuarial Prize for Second Year

Criteria reworded from: Awarded to the best student in the second-year courses Actuarial Science 223a and 225b. Established through the generosity of London Life Insurance Company.

To: Awarded to the student with the highest marks in Actuarial Science 2553A and 2555B. Established through the generosity of London Life Insurance Company.

London Life Actuarial Prize for Third Year

Criteria reworded from: Awarded to the best student in the third year courses Actuarial Science 427a and 429b. Established through the generosity of the London Life Insurance Company.

To: Awarded to the student with the highest marks in Actuarial Science 3427A and 3429B. Established through the generosity of London Life Insurance Company.

FACULTY OF SOCIAL SCIENCE

Sociology 1020/1021E Award (was named the Sociology 020/021E Award)

Awarded annually to the student with the highest standing in Sociology 1020 and 1021E. Students registered at an affiliated college are not eligible.

Sociology Essay Awards

Awarded to students in Year 3 or 4 of a module offered by the Department of Sociology. Eligible students must have a minimum 70% academic average in their current year of study. The Chair of the Undergraduate Committee will invite instructors to submit one essay from each of the 3000 and 4000 level courses that they have taught over the academic year. Every nominated essay must have been awarded a grade of 80% or higher. The deadline for nominating an essay is April 30. The faculty members of the Undergraduate Committee will select the recipients. These awards are supported annually through the Department of Sociology.

REPORT OF THE SENIOR OPERATIONS COMMITTEE

Contents		Consent Agenda
	Recommendation to Establish a Presidential Selection Committee	No
	Appointments - McIntosh Gallery Committee and Thompson Recreation and Athletic Centre Advisory Committee	Yes
	Board Election Schedule - Revision	Yes
	Annual Report of the Senior Operations Committee	Yes

FOR APPROVAL

1. **Recommendation to Establish a Presidential Selection Committee**

Recommended: That a Presidential Selection Committee be struck at the November 22, 2007 meeting of the Board and that the Senate be invited to elect its representatives to the Presidential Selection Committee by November 22, 2007.

Background:

An outline and timeline for the presidential search process is attached as [Annex 1](#).

FOR INFORMATION

2. **Appointments - McIntosh Gallery Committee and Thompson Recreation and Athletic Centre Advisory Committee**

On behalf of the Board, the Committee approved reappointment of Marilyn Kertoy, Dalin Jameson and Ian Trip as members-at-large on the McIntosh Gallery Committee for terms ending October 2008.

On behalf of the Board, the Committee approved the appointment of Matt Reid to the Thompson Recreation and Athletic Centre Advisory Committee for a term to June 2009.

3. **Board Election Schedule Revision**

The Election Schedule provided at the June meeting has been slightly amended: the deadline for submission of nominations was changed from September 7 to September 14.

4. **Annual Report of the Senior Operations Committee**

See [Annex 2](#).



Presidential Search Process

1. The Act

Section 19 of the *University of Western Ontario Act* provides:

19. the Board may ..
- (a) appoint the President and Vice-Chancellor, but before so acting, the Board shall request a recommendation from a committee, to be convened by the chairman of the Board, composed of five members of the Board named by it, and five persons named by the Senate, which committee shall appoint its own chairman and determine its own procedure, and any recommendation of the committee shall be made in writing signed by at least eight members and delivered to the Board within nine months of the date on which the Board names five members to the committee or the date on which the Board requests the Senate to name five persons to the committee, whichever date is later, and, failing a recommendation within that period, the Board may appoint the President

Notes:

The Senate has, by resolution, determined that one of the five members elected by the Senate shall be a student.

If the selection committee does not conclude its work within nine months of the establishment of the committee but is making progress, it should propose a recommendation to the Board that its mandate be extended to "x" date. The date selected should be such that the committee will have adequate time to properly finish its task.

2. Timetable for Establishment of the Committee and Search

September 2007	Board of Governors, by resolution, asks that the Senate elect 5 members to the selection committee at its November 2007 meeting
October 2007	At the direction of the Board Chair, the Secretary of the University invites consulting firms to submit proposals for the presidential search. Set out timetable and constraints. Deadline for submissions - end of November 2007.
November 2007	Senate elects 5 members, including one student, to the selection committee Board of Governors elects 5 members, including the Board Chair, to the selection committee
December 2007	Initial meeting of the Committee. Chair elected [traditionally the Chair of the Board since the Board Chair is the person authorized to discuss contractual matters with candidates]. Review proposals from consultants; select consulting firm to assist in the search.
Winter/Spring 2008	Search is underway

June 2008	Recommendation to the Board to extend the August deadline for completion of the search to the end of November 2007 [a reasonable deadline, given that activities are slow over the summer; interviews can take place in September; time needed to negotiate with selected candidate]. If the search concludes earlier than the end of November, then a special meeting of the Board would be called.
End of August 2008	[Nominal 9-month deadline for the selection committee to have reached a decision.]
End of November 2008	Extended deadline for a recommendation to go to the Board for the appointment of a new President.
June 30, 2009	End of Paul Davenport's third five-year term as President
July 1, 2009	New President takes office. (five-year term, ending June 30, 2014, renewable).

Annual Report on Activities of the Senior Operations Committee, July 2006 - June 2007

The terms of reference of the Senior Operations Committee are set out in Special Resolution No. 1-M of the Board of Governors and are attached hereto.

1. PERSONNEL MATTERS

Conducted the annual review of the President

- Invited written submissions from Board members
- Invited written submissions from the broader University community (*Western News* and *Gazette* advertisements)
- Received and reviewed 14 letters which were shown (without attribution) to the President and discussed with him

Received the annual performance appraisal of and set annual salaries for:

- The President
- The “statutory senior salary group” (Vice-Presidents, Deans, Registrar, University Librarian, and the Secretary of the University)
- The Senior Administrative Staff Group (SASG)
- Members of faculty excluded from the UWOFA bargaining unit, including Associate Deans, Board members, Vice-Provost (Policy, Planning and Faculty), and Associate Vice-President (Research)

Reviewed and approved negotiation mandates and made recommendations to the Board to ratify negotiated collective agreements and policy provisions for:

- UWOFA
- Professional/Managerial Group (PMA)
- CUPE 2361 – Physical Plant
- Campus Police
- SAGE
 - PMA
 - UWOSA
 - GTAs
 - Food Service Workers
 - Librarians/Archivists
 - Post Doctoral Fellows – Employment Option

Recommended to the Board the following appointment(s) and approved conditions and terms of employment for same:

- Vice-Provost (Academic Programs and Students) [& Registrar] – Dr. Roma Harris (reappointment)
- Dean, Faculty of Information and Media Studies – Dr. Tom Carmichael
- Dean, Faculty of Education – Dr. Julia O’Sullivan
- Dean, Faculty of Social Science – Dr. Brian Timney (reappointment)

Approved and recommended for Board approval amendments to the Senior Administrative Staff Group list of positions

2. NOMINATING COMMITTEE MATTERS

Made recommendations to the Board for the following appointments:

- Chair and Vice-Chair of the Board (terms beginning January 1, 2007)
- Chairs and Vice-Chairs of Board Standing Committees for 2007
- Members of Board Standing Committees for 2007
- University Discipline Appeal Committee (UDAC)
- Electoral Board for Chancellor

Made appointments to a number of bodies external to the Board, as well as filling occasional vacancies on standing committees of the Board (reported to the Board, for information)

Identified, and authorized the Chair and the President to meet with potential new members for the Board in external constituencies

3. BOARD OPERATIONS

- Annual Board Retreat
- Provided feedback to the Board on the outcomes of the September 2006 Retreat
- Board Self-Assessment 2006 – reviewed submissions and implemented changes as a result:
- Suspension of CCAC
- Revisions to bylaws to give fuller access to committee meetings and records for Board members not on a particular committee
- Change to membership year for elected members to July 1 – June 30

4. ADVICE TO AND REPORTS FROM SENIOR ADMINISTRATION

- Purchase of Brescia lands
- Robarts integration
- Reviewed the President's Priorities for 2006-07 and 2007-08
- The Gazette April Fools issue
- Updates on core performance and activity indicators
- Research Park proposals
- Western Road widening
- Special compilation of data for Maclean's

5. OTHER

- President's Annual Expenses
- Annual Report on University Functions Held at Gibbons Lodge
- Annual Report on Political Events Attended (with costs approved by the Board Chair)
- Terms of Reference for Review of Foundation Western Agreement

**The UNIVERSITY of WESTERN ONTARIO
BOARD OF GOVERNORS**

SENIOR OPERATIONS COMMITTEE

Ref: Special Resolution No. 1-M

Amended: 29JAN04

Terms of Reference

1. The Senior Operations Committee is a standing committee of the Board with the duties, responsibilities and authorities as set out below.
2. The Committee's principal responsibilities are:
 - (a) personnel issues, including:
 - (i) to review and make recommendations to the Board regarding negotiated collective agreements
 - (ii) to negotiate and approve on behalf of the Board the terms of the contract with the President
 - (iii) to fix and provide for the remuneration, retirement and superannuation of the following employees of the University:

President and Vice-Chancellor
Vice-Presidents
University Librarian
Registrar
Secretary of the Senate
Secretary of the Board
Deans
 - (iv) to fix, on the recommendation of the President, the salary of those occupying positions in the Senior Administrative Staff Group, numbering not more than 20, as identified by the Board on the recommendation of the President.
 - (v) when not so determined by approved policies, group plans or collective agreements, to authorize expenditures of such sums as may be required to establish funds for the payment of gratuities, retirement allowances, pensions, life insurance or other insurance (including health insurance) for the benefit of employees
 - (vi) to review recommendations from the President in personnel matters which fall outside existing University policies
 - (vii) to review annually the performance of the President and to receive from the President and Provost the performance appraisals of the other employees listed in (iii) and (iv) above;
 - (viii) to receive from the President the President's proposed priorities for each forthcoming academic year and to make a recommendation thereon to the Board

In all of the foregoing, the Committee shall have due regard for collective agreements which have been approved by the Board.

- (b) to advise the Board or the President in matters concerning compensation, and to report and make recommendations to the Board on matters of policy as the Chair deems advisable
 - (c) to act as the Nominating Committee of the Board for the purpose of making recommendations to the Board
 - for the appointment of external members to the Board in accordance with Guidelines for the Identification and Appointment of External Board Members as may be approved by the Board from time to time
 - for the appointment of members to standing committees of the Board ¹
 - for the appointment of members to other bodies as may be appropriate in the judgment of the Committee
 - (d) to plan initial and ongoing orientation activities for Board members
3. On behalf of the Board, the Committee may approve and authorize actions noted in 2.(a)(ii), (iii), and (iv) above and may report thereon to the Board in summary form.

Membership and Procedure

4. The membership of the Committee shall be:

Chair of the Board, who shall be Chair of the Committee
Vice-Chair of the Board, who shall be Vice-Chair of the Committee
Chair of the Audit Committee
Chair of the By-Laws Committee
Chair of the Campus & Community Affairs Committee
Chair of the Development & Fundraising Committee
Chair of the Property & Finance Committee
Immediate Past Chair of the Board

Notwithstanding the ex officio membership of the Committee, in light of the mandate of the Committee with respect to personnel, employee contract and remuneration issues,

all members of the Committee must be external members of the Board.

5. The Committee shall meet as directed by the Board, at the call of the Chair, or as requested by the President.

1

The Senior Operations Committee may fill vacancies in the membership of committees and subcommittees as necessary in the succeeding twelve months [following the Board's approval of the initial list in January each year]. **By-Law No. 1, sec. G.5.(a).**

REPORT OF THE AUDIT COMMITTEE

Contents	Consent Agenda
Retirement Income Fund Financial Statement	No
Retirement Income Funds - Change in Redemption Process	No
Audited Financial Statements	No
Safe Disclosure Policy	No
Related Companies and Other Organizations	No

FOR APPROVAL

1. **Retirement Income Fund Financial Statement**

Recommended: That the Board of Governors approve the audited financial statements for the Retirement Income Funds for the fiscal year ended December 31, 2006 (**Annex 1**, Tab 16), with the addition of Note 10, Subsequent Event:

At July 31, 2007, the Fund had investments in non-bank Asset Backed Commercial Paper (ABCP) with a carrying value of approximately \$4.4 million. Subsequent to this date, market conditions called into question the liquidity, and therefore the valuation of such investments. These investments have the highest possible credit rating from Dominion Bond Rating Services based on the assessed quality of the underlying assets. Due to the market conditions which may continue to exist, there may be uncertainty in the future valuation of these investments. The likelihood of loss, if any, is not determinable at this time.

2. **Retirement Income Funds - Change in Redemption Process**

Recommended: That redemption restrictions, consistent with the restrictions imposed by the manager of the investment funds for the Retirement Income Funds, be applied to individual RIF Members effective September 30, 2007 (see **Annex 2**).

The UWO Retirement Income Funds are individual retirement products administered by the University for former faculty and staff and their spouses. The investments are selected and monitored by the academic and administrative staff pension boards in a manner consistent with the pension plans sponsored by the University. There are currently 345 former faculty and staff for whom the University administers individual Retirement Income Funds. The market value of the accounts on July 31, 2007 was \$215 million of which \$4.4 million is currently invested in illiquid securities. The individual members fund their retirement by taking redemptions from their investments on a monthly basis. There are approximately 140 RIF investors who fund part of their monthly payment from one of the funds on which the restrictions for redemptions have been imposed.

The Board is asked to recommend approval of the change in redemption process for the RIF Members. The Academic and Administrative Staff Pension Boards have already approved the change in redemption processes for the pension plan members.

3. **Audited Financial Statements - The University of Western Ontario**

Recommended: That the Board of Governors approve the combined audited financial statements for the University for the fiscal year ended April 30, 2007 (**Annex 1**, Tab 1).

4. **Safe Disclosure Policy**

Recommended: That the Board of Governors approve Policy 1.43 - Safe Disclosure Policy (**Annex 3**).

The Audit Committee asked that the University develop a policy that provides a safe environment for employees to provide information about potential problems. The Audit Committee noted that procedures developed for implementation should:

- Avoid a negative connotation
- Focus on preventive measures
- Consider the impact on University administration
- Identify the appropriate office or individual who would handle specific circumstances
- Be considered as a measure to build trust among employees.

The draft policy was developed with consideration to the Audit Committee's request and with the following principles:

- Honors existing policies at Western that allow employees to provide information while protecting their rights.
- Does not override collective agreements.
- Provides confidential reporting which allows for a simpler process than would be required under anonymous reporting.
- Guides people where to go when they have information that could be used to prevent harm or expose a breach of law or duty.
- Allows for disclosure without retribution providing the disclosure is done in good faith and not to promote a political or moral agenda or obtain revenge or personal gain.

The policy has been reviewed with the Associate Vice-Presidents, Human Resources and Financial Services, the Office of Faculty Relations, University Legal Counsel, the University Secretary, the President/Vice-Presidents group and employee groups.

FOR INFORMATION

5. **Related Companies and Other Organizations**

The financial statements for related companies and other organizations of The University of Western Ontario for the fiscal year ended April 30, 2007 are provided for the information of the Board. Attached as **Annex 4** is a list setting out the organizations included in the Combined Financial Statements.

WESTERN RETIREMENT PLANS

CHANGE IN REDEMPTION PROCESS

Overview

On Tuesday, September 4, Northwater Capital Management formally notified the University they were imposing immediately temporary restrictions on redemptions, which affect five funds offered as investment options under the Western Retirement Plans due to recent problems in capital markets concerning non-bank asset-backed commercial paper. Northwater manages three synthetic US equity mandates for the Retirement Plans accessible to members through the five choices noted below. Their strategy combines money market securities and futures contracts to replicate the performance of US equity stock indices. The five affected funds are the:

- Balanced Income Fund (Tier 1)
- Balanced Growth Fund (Tier 1)
- Diversified Equity Fund (Tier 2)
- US Equity Hedged Fund (Tier 3)
- US Equity Unhedged Fund (Tier 3)

The Pension Board decided on September 7 that the restrictions placed on the plan will be applied to the individual member effective for September 30, 2007 transactions.

Background

On Monday, August 13, David Paterson and Mohamed Khaki of Northwater Capital Management contacted Martin Bélanger and Stu Finlayson to inform Western that the three funds they manage for us contains non-bank asset-backed commercial paper (ABCP), and that these securities have ceased trading. At that time, Northwater was not planning to implement redemption restrictions on its funds.

The Associate Director, Retirement Plans, Martin Bélanger, contacted the other seven investment managers of the Western Pension Plans to inquire about the impact on their portfolios of the liquidity crisis. No other managers held asset-backed commercial paper, including State Street Global Advisors, the manager of our Money Market Fund.

On Friday, August 17, an information release was placed on the Human Resources website to inform members about the implications of the financial markets volatility on our plans; at that time, no managers had placed any restrictions on redemptions.

On Monday, August 27, Northwater Capital Management informed us that they would start placing restrictions on redemptions. Clients will only have access to the portion of fund that is invested in liquid assets and the balance will be placed in a liquidating trust. Management decided to net out the retirement cash flows and rebalance the portfolios within the policy constraints to avoid making new contributions or redemptions from the Northwater investments. In August 2007, members have made net withdrawals of \$196,714 from the U.S. Equity Hedged Fund and \$231,757 from the U.S. Equity Unhedged Fund. The units redeemed from those funds were transferred into the U.S. Equity Hedged Fund and U.S. Equity Unhedged Fund within the Diversified Equity Fund. Members requested that \$1,108,102 to be taken out of the Diversified Equity Fund. The redemptions were handled with the remaining liquid options of the Diversified Equity Fund.

Management contacted our Retirement Plan consultant and legal counsel to provide their opinion on the situation -- Bruce Curwood of Russell Investments and Lisa Mills of Hicks Morley.

- Russell's opinion was that it makes sense for members to face the exact same restrictions that the University is facing, that is to have a portion of their holdings held up in case of a redemption.

- Russell does not have a formal position on the ABCP situation. They are advising their clients on a case-by-case basis. There is no sense of pulling money out of Northwater at this point, as clients will be stuck with the liquidating trusts. They believe that the best course of actions is to wait for new developments.
- Furthermore, Russell Investments does not have any formal opinion on Northwater because they are an index manager
- Hicks Morley also favoured imposing redemption restrictions on members.

On September 4, Northwater Capital Management formally confirmed the redemption restrictions in a letter to the University.

Overall, the percentage of assets within the Western Retirement Plans affected by these restrictions is quite small, representing just \$26.3 million out of \$1.2 billion in total assets (about 2.2% of all assets).

Here are the percentages of assets that cannot be sold by the manager at this time:

Fund	Value of assets invested in non-bank asset-backed commercial paper	Total Fund Assets	% of the Fund affected
Balanced Income Fund	\$ 0.263 million	\$ 24.5 million	1.1%
Balanced Growth Fund	\$ 1.702 million	\$ 63.9 million	2.7%
Diversified Equity Fund	\$ 21.242 million	\$556.1 million	3.8%
US Equity Hedged Fund	\$ 2.846 million	\$ 16.3 million	17.5 %
US Equity Unhedged Fund	\$ 0.262 million	\$ 9.0 million	2.9 %

A consortium representing banks, asset providers and major investors in asset-backed commercial paper agreed to take significant steps to re-establish a normal market. The signatories agreed in principle to a Long-Term Proposal where all investors in ABCP would exchange their holdings for a long-term floating rate note. They also signed an Interim Agreement. Starting on August 16, 2007, ABCP issuers have agreed to waive collateral calls over the next 60 days and investors have agreed that they would not request liquidity over the next 210 days.

Managing the Change

The University will be establishing identical “companion” funds to receive all ongoing member and employer contributions to the affected funds. These companion funds have no exposure to the non-bank asset-backed commercial paper investments that are involved in this illiquid market. The members have the opportunity to change the investment direction of their contributions to a variety of funds with different investment mandates and may make these elections only once per month effective at the end of the month

The Members received communication on September 10th outlining the redemption changes with detailed questions and answers (**Appendix 1**). Information sessions are organized for members and partners to receive additional information from the Western Pension Professionals over the next two weeks.

Northwater has put two procedures in place to meet the needs of members who wish to redeem units.

(1) **No restrictions on liquid assets in a fund.**

Those choosing to redeem or transfer from affected funds will receive a full redemption of assets in funds that are not affected by the liquidity crisis. For example, if they redeem \$1,000 of Diversified Equity Fund units and 3.8% of the Fund is illiquid, they will be able to redeem and reallocate \$962 (96.2%) of the total amount.

- (2) **Special Liquidating trust set up for other assets.**
The fund manager has established and is managing a liquidating trust that will hold the share of the assets that are currently illiquid. In the example above, 3.8% of the redemption request (\$38) would be placed in this separate liquidating trust. The assets in this liquidating trust would remain until the market for these securities is re-established and the intrinsic value of these securities is recognized. The member will receive proceeds of these liquidations as they occur and will be able to reallocate these proceeds based on your investment directions. In this example, \$38 will not receive any interest and is not exposed to the market.

The Investment Committee of the Pension Board will review Northwater Capital Management and its mandates to consider whether the investment is still consistent with our principles.

From: hr-communication@uwo.ca
Sent: Monday, September 10, 2007 5:55 PM
To:
Subject: Changes to redemption processes for Western Retirement Plans

You may be aware of recent volatility in investment markets, partially due to issues with sub prime mortgages and other credit concerns in the U.S. These same issues have caused challenges in the trading and liquidity of an investment product called non-bank asset-backed commercial paper (ABCP). This is an investment that is held in Western Retirement Plans.

The market uncertainty has made it difficult for one of our fund managers to sell its ABCP holdings.

As a result, temporary restrictions on the redemption of some of these assets are being put in place by the manager. For members who want to make redemptions effective September 30, 2007, the redemptions will be a combination of cash and unit holdings in a special trust.

Ongoing contributions to Western Retirement Plans are not affected by these restrictions.

More detailed explanations about this situation are found in the special edition of Pension News on the University faculty and staff news website at <http://communications.uwo.ca/facultyandstaff/>.

Batch EmailID: 2007091000001799140000809



September 10, 2007

Market turmoil causes temporary changes to redemption process for some funds under the Western Retirement Plans

Overview

You may be aware of recent volatility in investment markets, partially due to issues with sub prime mortgages and other credit concerns in the U.S. These same issues have caused challenges in the trading and liquidity of an investment product called non-bank ***asset-backed commercial paper*** (ABCP). This is an investment that is held in Western Retirement Plans.

The market uncertainty has made it difficult for one of our fund managers to sell its ABCP holdings. As a result, temporary restrictions on the redemption of some of these assets are being put in place by the manager. This could affect the ability of some members to gain access to a small percentage of investments in the Western Retirement Plans. The following five funds are affected to some degree.

- Balanced Income Fund (Tier 1)
- Balanced Growth Fund (Tier 1)
- Diversified Equity Fund (Tier 2)
- US Equity Hedged Fund (Tier 3)
- US Equity Unhedged Fund (Tier 3)

Redemption restrictions will only apply if you initiate any transactions in these funds.

For members who want to make redemptions effective September 30, 2007, the redemptions will be a combination of cash and unit holdings in a special trust. The fund manager has established this special trust for the restricted investments, from which members will receive the proceeds when the manager can sell the ABCP holdings.

Ongoing contributions to Western Retirement Plans are not affected by these restrictions.

More detailed explanations about this situation are found in the enclosed special edition of ***Pension News***. On page 2 of Pension News you will find a chart that shows what percentage of each of the above-listed funds are affected.

Here are some of the most important things you should know:

- The percentage of Western retirement assets that are affected is small - just 2.2% (approximately \$26.3 million of a total of \$1.2 billion in assets).
- Most members have investments in at least one of the affected funds (the *Diversified Equity Fund*) so the changes will apply to most members.
- If you choose to trade or redeem units from one of the affected funds, you'll receive the full proceeds minus the portion that represents the investments that can't be sold (for most, this will represent from 1% to 4% of the proceeds).
- Redemption and changes to portfolios are only allowed on the last day of the month, so the restrictions on redemption will only affect transactions that are undertaken on September 30th, 2007. As we know more, we will update you on how redemptions may be affected for October and beyond.
- The withheld units of the fund will be placed in a special trust. As the securities are eventually sold, you will receive the proceeds and can reinvest them in any other plan investment.
- Redemption restrictions will only apply if you initiate any transactions in these funds.
- You will find information about your personal portfolio holdings in the hard copy of your personal statement that was sent to you in March of this year. You may also review your holdings by logging in to "Pension Account" from Western's main Human Resources web page (www.uwo.ca/humanresources).
- Ongoing contributions to Western Retirement Plans are not affected. All contributions to the affected funds are now being deposited to newly created fund options that are identical "companion" funds but which have no exposure to the ABCPs.

Special meetings on redemption changes – September 12th, 14th, 20th and 21st

Members of our pension staff will be hosting meetings for Plan members to explain the redemption changes and answer any further questions you may have. All members of the Western Retirement Plans and their spouses are invited to attend at the following times.

All meetings will be in the ***Kresge Building, Room 106***.

Wednesday September 12, 2007	10:00 a.m.
Friday September 14, 2007	10:00 a.m.
Thursday September 20, 2007	4:30 p.m.
Friday September 21, 2007	9:30 a.m.

Pension News

September 10, 2007

Details on changes to redemption process

Temporary restrictions have been imposed by a manager on redemptions which affect five funds offered as investment options under the Western Retirement Plans due to recent problems in capital markets concerning non-bank asset-backed commercial paper. These restrictions are effective for transactions in the Retirement Plans effective September 30, 2007. As we know more, we will update you on how redemptions may be affected for October and beyond.

The five affected funds are the:

- Balanced Income Fund (Tier 1)
- Balanced Growth Fund (Tier 1)
- Diversified Equity Fund (Tier 2)
- US Equity Hedged Fund (Tier 3)
- US Equity Unhedged Fund (Tier 3)

Please note that there are no restrictions on redemptions on the Money Market Fund as that fund does not hold these securities.

Why the restrictions?

In our most recent web based Pension Update dated August 17, 2007, we explained the current issues with non-bank asset-backed commercial paper – short-term debt issued by companies for terms of generally less than a year. These investments currently have no liquid market due to recent concerns about the quality of the underlying assets that back such paper (typically car loans, mortgages, and credit-card receivables). The term “liquid market” or “liquidity” simply refers to the ease in which an investor can buy or sell a particular investment. If there are a limited number of buyers (as is the case here), the market is described as “illiquid.” If there are a sufficient number of buyers, then it is a “liquid” market.

Three of the Plans’ synthetic index funds – funds that replicate an equity market index through futures contracts and money market investments – hold investments in this type of commercial paper. The three funds are the US Equity Hedged Fund, US Equity Unhedged Fund, and the US Mid Cap Hedged Fund (which is only available to members through the Diversified Equity Fund and the Balanced Funds).

In investing in commercial paper securities, the manager of these funds complied with their mandate, investing only in the highest-quality commercial paper based on ratings from established ratings agencies. However, the unprecedented nature of the current market instability has created enormous challenges for our manager and others who have relied on the strong and open market that existed for these investments in the past.

While the market for these securities is expected to return, there is currently too much uncertainty about the assets backing these securities to create a liquid market. For this reason, the fund manager has imposed some temporary restrictions on how fund units are redeemed over the near term.

The restrictions explained

Overall, the percentage of assets within the Western Retirement Plans affected by these restrictions is quite small, representing just \$26.3 million out of \$1.2 billion in total assets (about 2.2% of all assets).

Here are the percentages of assets that cannot be sold by the manager at this time:

Fund	Value of assets invested in non-bank asset-backed commercial paper	Fund Assets as of July 31 2007	Estimated % of the Fund affected
Balanced Income Fund	\$ 0.263 million	\$ 24.5 million	1.1%
Balanced Growth Fund	\$ 1.702 million	\$ 63.9 million	2.7%
Diversified Equity Fund	\$ 21.242 million	\$556.1 million	3.8%
US Equity Hedged Fund	\$ 2.846 million	\$ 16.3 million	17.5 %
US Equity Unhedged Fund	\$ 0.262 million	\$ 9.0 million	2.9%

The fund manager has put two procedures in place to meet the needs of members who wish to redeem units.

- (1) **No restrictions on liquid assets in a fund.** Those choosing to redeem or transfer from affected funds will receive a full redemption of assets in a fund that are not affected by the liquidity crisis. For example, if you're redeeming \$1,000 of Diversified Equity Fund units, and 3.8% of the Fund is illiquid, you will be able to redeem and reallocate \$962 (96.2%) of the total amount.
- (2) **Special Liquidating trust set up for other assets.**
The fund manager has established and is managing a liquidating trust that will hold your share of the assets that are currently illiquid. In the example above, 3.8% of your redemption request (\$38) would remain invested in these illiquid investments but would be placed in this separate liquidating trust. The assets in this liquidating trust would remain until the market for these securities is re-established and the intrinsic value of these securities is recognized. You will receive the proceeds of these liquidations as they occur and will be able to reallocate these proceeds based on your investment directions. In this example, \$38 will not receive any interest and is not exposed to the market while in this special liquidating trust.

Staying the course

For plan members who do not redeem units of their current funds or reallocate their accumulated funds, the investments remain exposed to the US equity market through the futures contracts in these funds. As these investors will know, the equity markets can have significant returns - both positive and negative - but over the long term they are expected to provide higher average returns relative to money market or bond investments.

In contrast, for members who redeem units by initiating an interfund transfer or lump sum withdrawal transaction on these funds, a portion of their entitlement will be transferred to a liquidating trust. There will be no exposure to the US equity market in this liquidating trust. There is no expected income on investment in this trust until normal market conditions return.

It is expected that values for these securities will eventually be established through a renewed liquid market and allowing for a return to normal procedures for redemptions to members.

Your ongoing contributions

Your ongoing contributions to the Western Retirement Plans are not affected by the liquidity issues discussed above. Identical “companion” funds have been established to receive all ongoing member and employer contributions to the affected funds. These companion funds have no exposure to the non-bank asset-backed commercial paper investments that are involved in this illiquid market. As always you have the opportunity to change the investment direction of your contributions to a variety of funds with different investment mandates.

Assistance in making this directional decision is available by speaking with a member of the professional pension staff in Human Resources.

More information to come

Special meetings on redemption changes – September 12th, 14th, 20th and 21st

Members of our pension staff will be hosting meetings for Plan member to explain the redemption changes and answer any further questions you may have. All members of the Western Retirement Plans and their spouses are invited to attend at the following times. All meetings will be in the ***Kresge Building, Room 106.***

Wednesday September 12, 2007	10:00 a.m.
Friday September 14, 2007	10:00 a.m.
Thursday September 20, 2007	4:30 p.m.
Friday September 21, 2007	9:30 a.m.

We will continue to provide you with regular updates on substantial changes to market conditions and to our redemption procedures as they occur. Please refer to <http://communications.uwo.ca/facultyandstaff/> for the all the latest updates on this issue.

In the meantime, if you have any questions about any of these issues, please contact one of our pension staff at Human Resources Communication Centre: (519) 661-2194 or extension 82194, or by email at hr-communication@uwo.ca.

Questions and Answers

(1) How can I find out how much of my retirement account is affected?

You will find information about your personal portfolio holdings in the hard copy of your personal statement that was sent to you in March of this year. You may also review your holdings by logging in to 'Pension Account' from Western's main Human Resources web page (www.uwo.ca/humanresources). You will need to multiply the amount of your personal holdings in the affected funds by the percentages provided on page 2 to estimate the amount of your personal account that may be affected if you initiate a transaction.

(2) Why were only certain funds affected by the liquidity problems?

Every fund offered under the Western Retirement Plans has a specific mandate to invest the fund according to set objectives. The three investment funds affected – the US Equity Hedged Fund, US Equity Unhedged Fund, and the U.S. Mid Cap Hedged Fund – were all synthetic index funds that must hold a combination of futures contracts and money market investments to replicate their target index. The non-bank asset-back commercial paper made up a portion of the money market investments held by the funds. Again, these were all rated of the highest quality by independent rating agencies.

(3) Why wasn't the Western Money Market Fund affected?

The Money Market Fund has a different mandate than the synthetic index funds and was managed by an entirely different manager. The money market manager invested in other types of securities to meet the fund objectives.

(4) When do you expect a regular liquid market for these securities to resume?

We don't know when a liquid market will re-emerge. It depends on many factors. As the situation changes, we will keep you updated. Keep in mind that while this situation is extremely challenging for investment managers and investors who deal in the commercial paper market, the assets affected by the currently illiquidity represent only 2.2% of total assets of the Western Retirement Plans. It may interest you to know that a committee of investors holding these securities in Canada has been struck and they have made a standstill agreement on these assets which extends to October 15, 2007. The details of this agreement is outlined in the *Montreal Proposal*.

Montreal Proposal

NOTEHOLDER ACKNOWLEDGEMENT

To: Ernst & Young

In light of recent proposals relating to the restructuring of the Canadian structured finance asset backed commercial paper ("ABCP") market, as described in the press release of August 16, 2007 entitled "*A group of financial institutions agree on a solution to the liquidity problem on the structured finance asset-backed commercial paper market*" (the "Press Release"), the undersigned, being the beneficial holder of ABCP issued by the trusts listed on the Schedule attached hereto (the "Issuers"):

- (i) agrees in principle to the Interim Agreement described in the Press Release and, in particular, commits to continuing to roll its ABCP during the 60-day Standstill Period described in the Press Release and to refrain from taking any action that would precipitate a default by any of the Issuers;
- (ii) certifies that it is the beneficial holder of the face amounts of each series of ABCP issued by the Issuers set out on the attached Schedule; provided that the information on the Schedule is given on the basis that:

(check one box)

- such information will be kept confidential by Ernst & Young and not provided to any other party except in a form that does not identify the undersigned or the undersigned's holdings;

OR

- such information may be provided by Ernst & Young to legal and financial advisors and to representatives of holders of ABCP to be used solely in connection with the implementation of the proposal described in the Press Release and on condition that any recipient of such information shall agree to keep it confidential.

Dated as of: _____, 2007

Name of Noteholder: _____

By: _____
Name:
Title:

By: _____
Name:
Title:

Schedule

Holdings of ABCP

Issuer

Series

Face Amount

Issuers

<i>Apollo Trust</i>	<i>Devonshire Trust</i>	<i>MMAI-I Trust</i>	<i>Selkirk Funding Trust</i>	<i>Structured Investment Trust III</i>
<i>Apsley Trust</i>	<i>Encore Trust</i>	<i>Newshore Canadian Trust</i>	<i>Silverstone Trust</i>	<i>Symphony Trust</i>
<i>Aria Trust</i>	<i>Foundation Trust</i>	<i>Opus Trust</i>	<i>Skeena Trust</i>	<i>Whitehall Trust</i>
<i>Aurora Trust</i>	<i>Gemini Trust</i>	<i>Planet Trust</i>	<i>SLATE Trust</i>	
<i>Comet Trust</i>	<i>Ironstone Trust</i>	<i>Rocket Trust</i>	<i>Structured Asset Trust</i>	

1.43 SAFE DISCLOSURE POLICY

Classification: General

Effective Date: [insert]

Supersedes: NEW

GENERAL

The University of Western Ontario is committed to high standards of ethical behaviour by its members when they are carrying out their responsibilities. The University recognizes that its members, in carrying out their daily activities, are often in the best position to identify activities which could potentially cause harm to other members of the University, risk the reputation of the University, harm it financially or in other ways.

The University depends on its members to report apparent breaches of ethical conduct in order that they may be investigated and corrective action taken. This policy provides a mechanism to disclose concerns without fear of retaliation and reflects the University's commitment to accountability and ethical conduct.

POLICY

- 1.00 The University of Western Ontario is committed to providing an environment where members of the University community can disclose, in good faith, their concerns about unethical behaviour or fraudulent activities that have occurred or could potentially occur, without being subject to reprisal of any kind. The University community includes employees, students, volunteers and other individuals who work or study at the University.
- 2.00 Individuals will not be retaliated against, who in good faith, report unethical behaviour or fraud, or provide assistance or information in relation to the investigation of an allegation.
- 3.00 This policy is in addition to and does not replace the rights or responsibilities of an individual under law, employment contract or professional ethics.
- 4.00 Nothing in this policy shall limit or amend the provisions of other policies or the provisions of collective agreements entered into between the University and its employee groups and where such policies and agreements have application, those provisions shall prevail.
- 5.00 To allow a competent investigation to be completed, all individuals involved in a disclosure or an investigation shall keep the details and results confidential, to the extent possible within the limitations of the law, collective agreements and University policies.
- 6.00 This policy applies only to individuals who disclose information in good faith. Individuals who knowingly make false allegations may be subject to the appropriate disciplinary action.
- 7.00 Any individual who experiences any type of retaliation as a result of reporting evidence of unethical behaviour or fraud should immediately inform the person to whom the original report was made. This person must contact the appropriate human resource officer.

PROCEDURE

- 8.00 The University has established the following processes to deal with various acts of misconduct:
 - The Campus Community Police should be contacted where an individual is aware that a possible criminal offence has occurred.
 - Issues that involve discrimination and harassment should be referred to the Equity and Human Rights Office through Policy 1.35 or through provisions in the relevant collective agreement.
 - In cases of suspected academic dishonesty, matters should be reported in accordance with Policy 7.0, Policy and Procedures for the Conduct of Research. Faculty members suspected of a faculty conflict of interest should use the provisions provided in the Faculty Collective Agreement.
 - Individuals with concerns about unethical behaviour that may be addressed through provisions of a collective agreement or other employment agreement governing their

relationship with the University should follow the process identified by the relevant agreement.

- 9.00 The following sections describe the processes that are followed if an individual is disclosing unethical behaviour that is not addressed through another University policy or employment agreement or is reporting possible improper financial activity.
- 10.00 Individuals reporting unethical behaviour or improper financial activity should contact their immediate supervisor and the Internal Audit Department as soon as possible after becoming aware of the possible improper financial activity. If for some reason, the employee does not feel comfortable communicating concerns to his/her immediate supervisor, the employee should notify the person one level above the immediate supervisor. If this route is also uncomfortable for any reason, the employee should contact the Internal Audit Department directly (www.uwo.ca/internal_audit).
- 11.00 Unethical behaviour is defined in general terms as activity which is believed to be contrary to the policies of the University or relevant laws.
- 12.00 Improper financial activity is defined as an act, omission, expression, or concealment calculated to deceive another to his/her financial disadvantage. Examples include but are not limited to:
- Financial fraud (including claiming fictitious travel and other expenses)
 - Theft, embezzlement, misappropriation, misapplication, destruction, removal or concealment of University property
 - Forgery, falsification, misrepresentation, inappropriate alteration or inappropriate destruction of documents (paper or electronic)
 - Bribery, kickbacks, or rebates
 - Authorizing or receiving payment for goods not received or services not performed
 - Authorizing or receiving payments for hours not worked
 - Non-Reported Conflicts of Interest (Refer to Policy 3.4 for examples of conflicts of interest).
- 13.00 Individuals making a report of improper financial activity should provide the following information:
- The nature of the activity being reported
 - Description of all parties believed to be involved
 - Financial interests and rewards believed to be involved
 - Policies, laws or regulations alleged to be breached.
 - Any other information believed to be relevant
- 14.00 The Internal Audit Department will evaluate the information provided and determine whether further investigation is required. The purpose of the investigation will be to obtain additional information and determine if further action is necessary. If the person making the original disclosure is available, he or she may be contacted for additional information (protection of his/her confidentiality will continue, as stated in Policy section 5.0). If the matter should be dealt with under a different University procedure, the individual making the disclosure will be advised and offered assistance with the appropriate steps to be taken.
- 15.00 The findings of any investigation under clause 14.0 will be communicated to the appropriate Vice-President. All completed investigation findings relating to improper financial activity will be communicated to the Vice President (Resources and Operations). The Audit Committee of the Board of Governors will also be informed of any findings in cases where the investigation has concluded that unethical behaviour or improper financial activity has occurred. In cases where the investigation has concluded that unethical behaviour or fraud has not been committed, the Audit Committee will receive a summary of aggregate allegations compiled by the Internal Audit Department. Names of individuals are not disclosed on the reports.
- 16.00 Records relating to disclosures where unethical behaviour or improper financial activity has not been committed will be securely retained in the Internal Audit Department for one year after the completion of the process and then will be confidentially destroyed. If there is evidence of unethical behaviour or financial impropriety, records will be maintained as required by the nature of the investigation undertaken and any action taken in compliance with provisions of any relevant collective or employee agreement.

RELATED COMPANIES AND OTHER ORGANIZATIONS

FOR INFORMATION

Related Companies and Other Organizations

The following financial statements for related companies and other organizations of The University of Western Ontario for the fiscal year ended April 30, 2007, are provided for the information of the Audit Committee:

The Museum of Ontario Archaeology, as presented by the Museum's auditors, KPMG, and as approved by the Board of Directors of the Company on June 20, 2007.

Foundation Western, as presented by the Foundation's auditors, KPMG, approved by the Financial & Operations Committee on July 9, 2007 and as subject to approval by the Directors of Foundation Western on October 1, 2007.

The University of Western Ontario Foundation Inc., as presented by the Foundation's auditors, KPMG, and as subject to approval by the members of the UWO Foundation Inc., at their annual meeting in April 2008.

The University of Western Ontario Research and Development Park, as presented by the Company's auditors, KPMG, and as approved by the Directors of the Company on September 7, 2007.

Ivey Management Services, Richard Ivey School of Business Foundation, and Richard Ivey School of Business (Asia) Limited, as presented by the Ivey's auditors, KPMG, and as approved by the Board of Directors on September 5, 2007.

The Pension Fund for Members of the Academic and Administrative Staff - for the fiscal year ended December 31, 2006, as presented by the Pension Funds auditors, KPMG, and as approved by the Academic Pension Board and the Administrative Pension Board on June 6, 2007.

The Canadian Centre for Activity and Aging, as presented by the Centre's auditors, KPMG, and as approved by the Centre's Board of Directors on September 10, 2007.

1675025 Company (Wind Tunnel), as presented by the Company's auditors, KPMG, and as approved by the Company's Board of Directors on September 11, 2007.

The Siebans-Drake Research Institute as presented by the Institute's auditors, KPMG, and as approved by the Institute's Board of Directors on August 31, 2007.

REPORT OF THE MCINTOSH GALLERY COMMITTEE

Contents	Consent Agenda
Size and Value of the University Art Collection	Yes
McIntosh Estate	Yes
Budget for 2007-08	Yes
Report of the Director	Yes

FOR INFORMATION

1. **Size and Value of the University Art Collection**

The size and value of the University Art Collection as of December 31, 2006 is as follows:

Number of works in Collection	3,314
Appraised value for insurance	\$10,660,449.00

A list of works acquired in 2006 is available on file in the office of the McIntosh Gallery.

2. **McIntosh Estate**

The McIntosh Estate proceeds for the year ended April 30, 2007, were used towards maintenance of the gallery building.

3. **Budget for 2007-08**

The statement of the unexpended balance for the year ending April 30, 2007 and the approved budget for 2007-08, is attached as **Annex 1**.

4. **Report of the Director**

The Director of the McIntosh Gallery presented her report to the Committee in June 2007. A copy may be obtained on request.



W.M. McINTOSH ESTATE
MAY 1, 2007– APRIL 30, 2008

	<u>Unexpended Balance (Deficit) April 30, 2007</u>	<u>Estimated Receipts Canada Trust May 1, 2007- Apr.30, 2008</u>	<u>Estimated Funds Available May 1,2007 - April 30, 2008</u>	<u>Approved Budget 2007/2008</u>
<u>Prizes & Music</u>				
Geography	0	410.00	410.00	410.00
Business	0	410.00	410.00	410.00
Public Speaking	0	410.00	410.00	410.00
Promotion of Music	9,774.71	410.00	10,184.71	10,184.00
<u>Pictures</u>	<u>0</u>	<u>1,600.00</u>	<u>1,600.00</u>	<u>1,600.00</u>
Totals	9,774.71	3,240.00	13,014.71	13,014.00

Western Retirement Plans Report to the Board of Governors September 2007

FOR INFORMATION

1. **Summary**

This report covers the calendar year January 1, 2006 to December 31, 2006. The Academic and Administrative Staff Pension Boards are responsible for the administration and oversight of two pension plans and the investment of assets for individual Retirement Income Funds (RIF) that are sponsored by the University. This report provides an overview of the plans, reviews the changes made to the plan in 2006, describes the projects under way at the end of the year and analyses the impact of significant market events on the Western Retirement Plans. The Supplementary documents that have been distributed include the *Financial Statements* for the Master Trust, for each of the pension plans and the RIF.

2. **Plan Overview**

Asset Values, Membership and Investment Returns

The combined plans include over 6,000 investors and assets with a market value of approximately \$1.2 billion. The *Master Trust* holds funds for both retirement plans and the individual RIF investors. As defined contribution pension plans, members direct the investment of both their contributions and the University's contributions among 15 different investment options¹. The fund options are designed in tiers, meant to match the level of education or willingness to make independent diversification decisions. The funds are outlined below:

Tier 1: Comprehensive Funds	Asset Value at 31/12/06	Number of Investors (can be in more than 1 fund)	Investment Returns in 2006
Balanced Income	\$23.1m	585	9.02%
Balanced Growth	\$54.7m	1265	15.10%
Tier 2: Broad Asset Class Funds			
Diversified Bonds	\$189.4m	2890	4.52%
Diversified Equity	\$552.2m	3914	19.56%
Tier 3: Regional and Specific Strategy Funds			
Money Market	\$52.7m	1395	4.03%
Target Date Funds	\$50.4m	435	3.48% to 4.61%
Canadian Bond	\$56.5m	611	3.94%
Global Bond	\$1.7m	148	5.62%
Long Term Bond	\$26.7m	463	4.16%
Canadian Equity	\$80.1m	1296	18.13%
US Equity Hedged	\$17.6m	684	14.42%
US Equity Unhedged	\$11.0m	446	15.51%
Non North American	\$27.6m	767	26.98%

*The Investments and Investment Income for each fund can be found in note 3 of the Master Trust financial statements.
Communication and Education*

¹ The Global Bond Fund was eliminated on January 31, 2007, reducing the number of options to 14.

Members have access to various reports and material to assist them in monitoring their retirement funds. These resources include investment return sheets, the annual report, newsletters, and access to external managers' research, to name a few.

Members can also use the services of two full time pension and benefit consultants to assist them with their retirement plan decisions. Members can bring their spouses, family members or investment advisors to these individual sessions with the pension and benefit consultants. The consultants had more than 1100 individual sessions with members in 2006. The most frequently discussed topics were investments, enrollment, retirement planning, and life income funds.

Despite all the resources available to members, there was one area where the Western Retirement Plans did not comply with the Capital Accumulation Plan (CAP) Guidelines. These guidelines, which are voluntary, were developed by the Joint Forum of Financial Market Regulators and were effective January 2005. The guidelines specify that a plan sponsor must make investment decision-making tools available to its members. More focused work was done in the second half of 2006 to fill that gap. This work culminated with the launch in July 2007 of three new services:

- a) An on-line investment decision-making tool: Members have the opportunity to respond to an investment personality questionnaire available through the Human Resources website. Based on their answers, they are provided with an investor profile that will assist them in making investment allocation decisions on their Western Retirement Plan. The asset allocation recommendations include identification of specific investment funds available under the respective programs.
- b) A one-day financial and retirement planning workshop: Facilitated by the Financial Education Institute of Canada, the expanded workshop format will provide an opportunity for members and their spouses to engage in a focused planning session. Topics will include their Western Retirement Plan, tax and estate planning, retirement income options and lifestyle considerations.
- c) On-line financial education curriculum and tools: The Financial Education Institute of Canada hosts a comprehensive and interactive website providing financial and retirement education. The modular course format allows employees to work through the topics of interest to them, and to do so at their own pace. An exhaustive collection of calculators will assist in planning everything from a mortgage to education savings and income needs in retirement.

Resources Available

Each of the pension boards consist of seven members: four elected by the membership and three appointed by the University. These boards work together jointly as *the Joint Pension Board* in the design of investment, communication and administrative policies. The design and implementation of policy is completed with significant assistance from human resources staff (8 full time dedicated pension staff). The board and staff also rely on external service providers to fulfill their duties. These external service providers include investment consultants, investment management firms, custodian and trustee, software vendors, actuarial consultants, legal consultants, and auditors.

In total, the non-investment operational expenses are approximately (\$1.3 million) or .11% of assets under management. The investment management and custodian costs are approximately .29% of assets under management. When compared to other Universities and institutional operations this level of operational costs is extremely lean.

The operational costs are outlined in detail in note 7 of the Master Trust financial statement.

Special Members

Prior to July 1970 (Academic) and March 1974 (Administrative Staff), the University pension plans were defined benefit plans: annual pension income at retirement was based on a promised formula; there are still some retired employees and active members who are entitled to that promised pension formula. These individuals are referred to as "Special Members". As at December 31, 2006, there were 49 special members in the Academic Staff plan,

all retired, and 176 special members in the Administrative Staff Plan, 90 of which are still active and 86 who are retired.

It is the opinion of the University's actuarial consultant that all of the active special members will most likely receive a higher pension from their defined contribution account than with the defined benefit formula. As such, it is unlikely that the University will have to make additional payments to fund their pensions once they retire. There are restrictions on how special members can invest the funds in their regular account; they can invest up to 70% of their assets in equity funds.

The University has set aside funds to pay for these promised pensions. These funds are in the general account of the pension plans. As at December 31, 2006, the Administrative Staff Pension Plan had \$2.4 million in the general account, while the Academic Staff Pension Plan had \$8.6 million. Our actuarial consultant determined in our latest actuarial valuation report that the Academic Staff Pension Plan had a going-concern surplus of \$2.1 million as at December 31, 2006, down from \$2.3 million as at December 31, 2003. For the Administrative Staff Plan, there is a going-concern deficit of \$188,000 as at December 31, 2006, up from \$32,000 as at December 31, 2003.

For the Academic plan, the long-term asset mix policy of the general account is 60% Diversified Bond Fund and 40% Diversified Equity Fund. For the Administrative plan, 100% of the assets are invested in an "Immunized Bond Fund" created in October 2006.

As discussed in previous reports to the Audit Committee, the Administrative Staff Pension Board recommended that the assets set aside to fund the prior plan benefits to be invested 100% in bonds. This decision was effective October 1, 2006. Through State Street Global Advisors, one of our fixed income managers, the assets from the general account were transferred to an "Immunized Bond Fund". The fund invests almost exclusively in zero-coupon bonds issued by the provinces of Quebec and Ontario where the maturity of the bonds matches expected pension benefit payments. A special payment of \$150,000 was made on October 1, 2006 to allow full immunization. Immunizing the assets ensures that no significant funding deficit may occur in the future due to investment returns. Other factors, such as poor mortality experience, may still negatively impact the funding of the plan. The previous investment policy (investing 50% in the Diversified Equity Fund and 50% in the Diversified Bond Fund) created a mismatch between the assets and the liabilities and could have resulted in potentially higher deficits.

Changes Made in 2006

The Joint Pension Board's preference is to use passive management, unless there are implementation problems, there are attractive active management strategies or there is no clearly attractive benchmark available. In 2004, the Canadian equity mandate was changed substantially to include four active managers. In 2005, Pyramis Global Advisors (previously Fidelity Investments) was hired to manage a portion of the Non-North American equity mandate. Investment research has demonstrated that the Canadian, European and Asian markets are less efficient than the U.S. market, which implies that there are greater opportunities for active investment managers to outperform their benchmark in those markets. Although the U.S. market is generally considered the most efficient in the world, research has demonstrated that significant value added can be found in the small cap segment of the market. In the U.S., small capitalization companies are generally defined as those with a market value smaller than U.S. \$3 billion. Based on this research, the Joint Pension Board appointed PanAgora Asset Management to manage 4% of the Diversified Equity Fund with a U.S. small cap equity strategy, effective October 13, 2006.

3. Projects Underway as at December 31, 2006

Reorganization of the Diversified Bond Fund and Closing of the Global Bond Fund

The Investment Policy Committee of the Joint Pension Board recommended in November 2006 to close the Global Bond Fund and to reorganize the Diversified Bond Fund. Prior to the reorganization, the Diversified Bond Fund had the following structure:

Investment Manager	Asset Class	Style	Weight
State Street Global Advisors	Canadian bonds	Passive	33%
AllianceBernstein	Canadian bonds	Active	47%
AllianceBernstein	Global bonds	Active	20%

The following structure was proposed:

Investment Manager	Asset Class	Style	Weight
State Street Global Advisors	Canadian bonds	Passive	33%
AllianceBernstein	Global bonds	Active	67%

The portion of the portfolio managed by AllianceBernstein in the proposed structure is a global bond fund that has a neutral asset mix of 50% Canadian bonds and 50% non-Canadian bonds. AllianceBernstein has the flexibility to increase the foreign component of their portfolio to 65%. No more than 25% of their portfolio can be exposed to currency fluctuations. Based on the changes made to AllianceBernstein's allocation in the Diversified Bond Fund, the neutral asset mix of the fund is now 66.5% Canadian bonds and 33.5% in non-Canadian bonds.

The Global Bond Fund was closed at the same time the Diversified Bond Fund was reorganized. These changes occurred on January 31, 2007.

The reasons for these changes are the following:

- a) Due to low participation by members, there were not enough assets in the Global Bond Fund. As a result, the fund fee in 2005 was 0.84%, more than twice the average fee of our other funds.
- b) It is prudent that the regional and currency decisions related to fixed income investments including our bond funds be made by a professional investment manager. Professional money managers have all the resources to make the most appropriate decisions.

Members impacted by these decisions were contacted individually in writing in November 2006. They were asked to reallocate their assets to the other investment options available. Members were informed that if they failed to make a selection, their assets invested in the Global Bond Fund would be transferred to the Diversified Bond Fund as the default fund. We did not receive any negative feedback from our members on this decision.

Introduction of a Socially Responsible Investment Option

Members have been requesting that an investment option with a socially responsible focus be added to the Western Retirement Plans. In the fall of 2006, the investment policy committee did extensive research on socially responsible investments, including analyzing the impact on performance of applying social screens and finding potential investment managers. A survey was conducted among members to gauge their interest in a socially responsible fund and to find out what are the social issues that they would like the fund to address. All members were contacted directly through email. We received a positive response, with 353 members providing comments. More than 80% of members responded that they would consider investing in a global equity fund with a socially responsible focus. Environmental issues, human rights and social issues were the most frequently ethical criteria cited.

Registration of Diversified Bond Fund with the Canada Revenue Agency

Currently the Diversified Bond Fund is not available to RIF annuitants because it invests in non-qualified investments. RIF annuitants have access to the following fixed income options: a passively managed Canadian bond fund, a passively managed Canadian long-term bond fund, three target date funds and a money market fund. We believe that members would benefit from having access to a well-diversified bond fund. In late 2006, we initiated discussions with our legal counsel to present a submission to the Canada Revenue Agency (“CRA”) in order to qualify the fund as a qualified investment for RRIF investment. Based on preliminary discussions with the CRA, our legal counsel is confident that the submission will be successful.

3. Significant Market Events

Taxation of Income Trusts

On October 31, 2006, the Department of Finance announced a new tax on distributions from publicly traded income trusts and limited partnerships. As a result of this unexpected change, the S&P/TSX Composite Index and the S&P/TSX Income Trust Index have dropped by 2.4% and 12.5% respectively on November 1, 2006. This decision impacted the funds invested in Canadian equities, such as the Canadian Equity Fund, the Diversified Equity Fund, the Balanced Income Fund and the Balanced Growth Fund. The exposure of our Canadian Equity Fund to income trusts was about 4%, well below the proportion of the S&P/TSX Composite Index that was allocated to income trusts at the time (11.49%). We communicated to our members through a Q&A document posted on the Human Resources website.

This event reinforced our philosophy of offering only broadly diversified investment options to our members. Members have requested an income trust fund in the past few years due to their strong performance. Those who would have invested a significant portion of their assets in such a fund would have been very negatively impacted. We believe that our investment managers are in the best position to make decisions on how to allocate assets to various sectors or regions and that specialty funds that invest in a specific sector or region should not be offered by our plans.

Liquidity Crisis with the Asset-Backed Commercial Paper Market

As a consequence of problems in the U.S. subprime mortgage markets in recent months (July-August 2007), non-bank asset-backed commercial paper (ABCP) stopped trading on August 13, 2007. All of our managers were quickly contacted to discuss their exposure to this segment of the market. Our money market and fixed income funds did not hold any non-bank ABCP. Our only exposure to non-bank ABCP is through our investments in synthetic equity investments, for a total value of \$26.3 million. The investment options impacted by this are the Balanced Income Fund, the Balanced Growth Fund, the Diversified Equity Fund, the U.S. Equity Hedged Fund and the U.S. Equity Unhedged Fund. The investment manager managing those assets, Northwater Capital Management, has imposed redemption restrictions. As we are writing this report, we are still evaluating what is the best course of actions for the Western Retirement Plans.

We appreciate the opportunity to present this report to Audit Committee and welcome and comments or questions you may have.

Academic Pension Board Membership

Dr. Stephen Hicoek, Earth Sciences
Prof. David Burgess, Economics
Ms. Michelle Loveland, Management and Org. Studies
Ms. Ann Bigelow, Management and Org. Studies

Administrative Staff Pension Board Membership

Ab Birch, Financial Services
Lonnie Wickman, Faculty of Engineering
Pat Loria, Information Technology Services
Brian Jeffs, Schulich School of Medicine and Dentistry

Appointed University Representatives on Each Board

Stu Finlayson, Financial Services (Designate of VP Administration)

Jane O'Brien, Associate Vice-President Human Resources

Louise Koza, Director Human Resources (Total Compensation)

ITEMS REFERRED BY SENATE

Contents	Consent Agenda
<i>Appointment Procedures for Senior Academic and Administrative Officers</i>	No
Honorary Degree Recipients -Autumn Convocation 2007	Yes
University Council on Animal Care Annual Report	Yes
Academic Administrative Appointments	Yes

FOR APPROVAL

1. **Appointment Procedures for Senior Academic and Administrative Officers**

Recommended: That Section Q of the *Appointment Procedures for Senior Academic and Administrative Officers* read as follows:

Q. VICE-PROVOST (GRADUATE STUDIES)

Composition of Selection Committee

A committee to select a Vice-Provost (Graduate Studies) shall consist of:

- (a) the Provost & Vice-President(Academic), who shall be Chair
- (b) the Vice-President (Research & International Relations)
- (c) 5 persons elected by Senate, one of whom shall be a Dean and one of whom shall be a Graduate Student

Procedure

- 1. The Chair shall convene the Committee.
- 2. The Chair shall undertake negotiations with prospective candidates.
- 3. The Chair shall report to Senate through the President & Vice-Chancellor.

Terms

The term for the *Vice-Provost (Graduate Studies)* is five years, renewable. In the case of renewal of an appointment where the incumbent takes a Study Leave at the end of the first term, the term of reappointment will be six years.

Background

Senate has approved in principle the new structure for graduate education described in the Report of the Ad Hoc Committee to Review Graduate Education (www.uwo.ca/pvp/vpacademic/gsreview.html and **Annex 1**). The first element of the new structure requiring approval is the creation of the new vice-provostial position so that a search can be undertaken. The proposed new section for the Appointment Procedures will allow that search to begin while keeping the role of Dean of Graduate Studies intact for the time being. When the handover to the Vice-Provost takes place (anticipated to be July 1, 2008), a recommendation will come forward to discontinue the decanal position and amend the Appointment Procedures accordingly.

FOR INFORMATION

2. **Honorary Degree Recipients - Autumn Convocation 2007**

See [Annex 2](#).

3. **University Council on Animal Care Annual Report**

See [Annex 3](#).

4. **Academic Administrative Appointments**

Faculty of Arts and Humanities

T. Isaacs, Chair, Dept. Of Women's Studies and Feminist Research, July 1, 2007 - June 30, 2012

S. Brennan, Chair, Dept. of Philosophy, July 1, 2008 - June 30, 2011

P. Coates, Acting Chair, Film Studies, July 1, 2007 - June 30, 2008

R. Stainton, Acting Associate Dean (Research), July 1, 2007 - June 30, 2008

Don Wright Faculty of Music

R. Park, Associate Dean, July 1, 2007 - June 30, 2010

Faculty of Education

J. O'Sullivan, Dean, August 1, 2007 - July 31, 2012

R. Macmillan, Associate Dean (Graduate Program and Research), July 1, 2007 - June 30, 2010

M. McNay, Associate Dean (Undergraduate and Preservice Programs), July 1, 2007 - June 30, 2010

Faculty of Engineering

H.-P. Hong, Acting Chair, Dept. of Civil and Environmental Engineering, July 1- December 31, 2007

E. Yanful, Chair, Dept. of Civil and Environmental Engineering, January 1, 2007 - June 30, 2012

Faculty of Health Sciences

A. Mandich, Director, School of Occupational Therapy, July 1, 2007 - June 30, 2012

C. Lee, Acting Program Director of the Bachelor of Health Sciences Program, July 1, 2007 - June 30, 2008

J.B. Orange, Director, School of Communication Sciences and Disorders, July 1, 2007 - June 30, 2012

Faculty of Law

P. Puri, Torys LLP Faculty Fellow in Business Law, January 1- 31, 2008

Schulich School of Medicine & Dentistry

F. Chan, Associate Dean, Admissions and Student Affairs, July 1, 2007 - June 30, 2008

J. Lewis, Assistant Dean, July 1, 2007 - June 30, 2010

N. Paterson, Associate Dean (Clinical Affairs), July 1, 2007 - June 30, 2008

K. Leitch, Assistant Dean (External), July 1, 2007 - June 30, 2008

T. Lacroix, Assistant Dean, July 1, 2007 - December 31, 2007

J. Takhar, Associate Dean, Continuing Medical Education, July 1, 2007 - June 30, 2009

D. Litchfield, Chair, Dept. of Biochemistry, July 1, 2007 - June 30, 2012

M. Rebel, Associate Dean, July 1, 2007 - June 30, 2010



Faculty of Social Science

J. McMullin, Acting Dean, July 1- December 31, 2007
M. Carroll, Chair, Dept. of Sociology, July 1, 2007 - June 30, 2010
S. MacDougall-Shackleton, Assistant Dean, Faculty of Social Science, July 1 - December 31, 2007
J. Finegan, Acting Director of Management & Organizational Studies, July 1, 2007 - June 30, 2008
T. Isaacs, Chair, Dept. of Women's Studies and Feminist Research, July 1, 2007 - June 30, 2012
K. McRae, Assistant Dean, July 1 - December 31, 2007
C. Robinson, Acting Chair, Dept. of Economics, July 1, 2007 - Sept. 30, 2007

Don Wright Faculty of Music

C. Nolan, Acting Chair, Dept. of Music History, July 1, 2007 - June 30, 2008
V. Meredith, Acting Chair, Dept. of Music Education, July 1, 2007 - June 30, 2008

Faculty of Science

S. Osborn, Acting Chair, Dept. of Computer Science, July 1, 2007 - June 30, 2008
R. Schincariol, Acting Chair, Dept. of Earth Sciences, July 1, 2007 - June 30, 2008
D. Jeffrey, Acting Chair, Dept. of Applied Mathematics, July 1, 2007 - June 30, 2008

Ad hoc Committee to Review the Faculty of Graduate Studies

The draft report of the *ad hoc* Committee to Review the Faculty of Graduate Studies was published on Western's website in June for review and discussion by the University community. Most of the comments received by the Committee had to do with specific details and the mechanics of implementation of the draft report's overall recommendations. Several individual and collective responses commented on nomenclature and roles for the proposed Vice-Provost and Vice-Deans, on the nature and representation of the Graduate Education Council, and on issues of transition to the new, recommended structures.

Of particular note to the Committee were the following issues, followed by a general response by the Committee as the draft report goes forward to be received by Senate:

Nomenclature

There was much discussion as to the designation of the proposed "School of Graduate (and Postdoctoral) Studies." In its own deliberations the Committee explored a number of possible alternatives while drafting the report, and has decided to reaffirm its recommendation that this unit be designated "School."

There was resistance to the term "Vice-Deans" in a unit headed by a Vice-Provost in the absence of a designated Dean; the Committee therefore recommends that these positions be redesignated "Associate Vice-Provost." The Committee wishes further to stress that these positions would have key roles in the ongoing liaison with Associate Deans with responsibility for Graduate Education and Graduate Chairs in the Faculties and would be the School's primary representatives in the areas of appeals and academic discipline. They would also have a primary role in preparing documentation for OCGS appraisals and would sit on all the appropriate committees of the Graduate Education Council.

Graduate Education Council

During the course of its discussions, the Committee returned frequently to the structure and composition of the Graduate Education Council. Several comments on the draft report suggested alternative membership. The Committee continues to believe that the suggested composition of the Council outlined in the draft report is appropriate and does not propose amendment.

Faculty of Registration

Some comments focused on the draft report's recommendation that graduate students in all programs would be registered in the School of Graduate (and Postdoctoral) Studies rather than in the Faculty in which their program is located. The Committee considered these comments in the context of overall responsibility for graduate education across the University and, specifically, in the availability of an advocacy and appeals mechanism beyond the Faculty-of-study and decided to maintain the recommendation that graduate students be enrolled in the School. This also reflects the unanimous recommendation of the Society of Graduate Students, as expressed to the Committee at the spring Annual General Meeting of SOGS.

Interdisciplinary Programs

The draft report's recommendations with regard to the three interdisciplinary graduate programs currently administered through the Faculty of Graduate Studies gave rise to discussion that proved very helpful to the Committee. The Committee recognizes the established success of these programs (Centre for the Study of Theory and Criticism, Neuroscience, and Biomedical Engineering), the intellectual dimensions of true interdisciplinarity stressed in representations to the Committee, and the need to assess governance and administrative structures currently in place. The Committee believes that each of these programs has a role in determining its future place and organization within the academy and that a prescriptive formula for all is not appropriate. It will be an important responsibility of the Vice-Provost and the School of Graduate (and

Postdoctoral) Studies to ensure that the future of these programs reflects their traditions and academic directions. The final paragraph of Section VIII, of the draft report, “Disciplinary and Interdisciplinarity” has therefore been revised as noted below.

Revisions to the Draft Report

The Committee recommends that the following revisions be incorporated into the text of the draft report published in June 2007, to be received by SCUP and Senate:

V. A Student-Focussed Environment

(Delete final paragraph)

VIII. Disciplinarity and Interdisciplinarity

(Final paragraph):

Engaging the Future places considerable emphasis on stimulating and providing clear passages for interdisciplinary scholarship at Western. This impetus from the Strategic Plan has resulted in a formal mechanism for the proposal and development of interdisciplinary activities in general (Interdisciplinary Development Initiatives – IDI). This mechanism requires that program governance be embedded within the academic Faculties, with specific commitments from the sponsoring Faculty and all participating Faculties in support of the program. The Committee embraces this initiative and accepts the IDI process as a workable model for supporting and administering new interdisciplinary graduate programs. In addition, the Committee recognizes the long histories behind each of the three established interdisciplinary programs (the Centre for the Study of Theory & Criticism, the Program in Neuroscience, and Biomedical Engineering). Therefore, the Committee advocates a formula of flexibility that would allow a program’s official residency within a sponsoring Faculty, with the possibility of relocation based on shifts in either program emphasis or leadership. It is the Committee’s recommendation that all interdisciplinary graduate programs be hosted in the academic Faculties supporting those programs, that an appropriate model of financial and academic support be established within the Faculties, and that teaching and research responsibilities in interdisciplinary programs be recognized effectively in faculty workload assignments and evaluations within the home units of participating faculty members.

IX. Placement of Academic and Administrative Responsibilities

(Second Bullet: Admissions):

Final Sentence: replace “(e.g., through its Policy and Programs Committee)” with “(e.g., through its Scholarships and Funding Committee)”

REPORT OF THE HONORARY DEGREES COMMITTEE

FOR INFORMATION

HONORARY DEGREE RECIPIENTS - AUTUMN CONVOCATION 2007

The Honorary Degrees Committee of the Senate announces that the following are to be honored by conferment of degrees *honoris causa* at the 290th Convocation of the University to be held on main campus on Thursday and Friday, October 18 and 19, 2007.

Thursday, October 18 - 3:30 p.m.

Calvin R. Stiller - D.Sc.

Faculty of Graduate Studies*
Don Wright Faculty of Music
Faculty of Education
Faculty of Engineering
Faculty of Health Sciences
Faculty of Law
Richard Ivey School of Business
Schulich School of Medicine & Dentistry

* = students in programs hosted by the Don Wright Faculty of Music, Faculties of Education, Engineering, Health Sciences, and Law, the Richard Ivey School of Business and the Schulich School of Medicine & Dentistry

Friday, October 19 - 10:00 a.m.

Alanis Obomsawin - LL.D.

Faculty of Graduate Studies*
Faculty of Arts and Humanities
Faculty of Science
Faculty of Science and the Schulich School of Medicine & Dentistry (BMSc)
Brescia University College
Huron University College
King's University College

* = in programs hosted by the Faculties of Arts and Humanities and Science

Friday, October 19 - 3:30 p.m.

Fernando Cardoso - LL.D.

Faculty of Graduate Studies*
Faculty of Information and Media Studies
Faculty of Social Science

* = in programs hosted by the Faculties of Information and Media Studies and Social Science

FOR INFORMATION

2006 ANNUAL REPORT OF THE UNIVERSITY COUNCIL ON ANIMAL CARE

(U C A C)

There were two meetings of Council, on June 2 and December 5, 2006.

Items discussed included, but were not limited to, the following:

- Revisions to Animal Use Protocol Forms: Signing Authority for Minor Modifications. The revisions to MAPP 7.12: Policy and Procedures for the Use of Animals in Research and Teaching, were approved by Senate at its June 23, 2006 meeting.
- The 2005 UCAC Annual Report to Senate and the 2005 Animal Use Subcommittee (AUS) Report to UCAC.
- A tour of the West Valley Building by UCAC and AUS members.
- Updated forms which are to be used for protocol submission using the SIRIUS software program.
- A visit to campus in February 2006 by representatives of the Canadian Council on Animal Care (CCAC) to ensure UWO and the affiliated institutions were maintaining appropriate standards to remain on the five-year assessment cycle.

Kevin Shoemaker, Chair
University Council on Animal Care